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**Conference of the Parties to the Basel Convention
on the Control of Transboundary Movements of
Hazardous Wastes and Their Disposal
Tenth meeting**

Cartagena, Colombia, 17–21 October 2011

Item 3 (f) (i) of the provisional agenda*

**Matters related to the implementation of the Convention:
financial matters: programme of work and budget**

Information on financial matters

Note by the Secretariat

Addendum

1. The present note provides updated information on income and expenditure for the year 2011 for both Basel Convention Trust Funds.
2. The information is presented in the following annexes:
 - (a) Expenditures for the Basel Convention Trust Fund as at 30 September 2011 (annex I);
 - (b) Contributions received to the Basel Convention Trust Fund as at 30 September 2011 (annex II);
 - (c) Annual distribution of the unpaid contributions to the Basel Convention Trust Fund for 2010 and prior years as at 30 September 2011 (annex III);
 - (d) Contributions received to the Technical Cooperation Trust Fund in 2009, 2010 and 2011 (annexes IV and IVa);
 - (e) Statement of income and expenditure and changes in the reserve and cumulative fund balance for the years 2001–2011 for the Basel Convention Trust Fund (annex V);
 - (f) Statement of income and expenditure and changes in the reserve and fund balance for the years 2001–2011 and cumulative fund balance for the Technical Cooperation Trust Fund (annex VI).

* UNEP/CHW.10/1.

Basel Convention Trust Fund

Expenditures for 2011 (as of 30 September 2011)

	Modified budget approved by OEWG7	Actual expenditures Jan. Sep	Projected expenditures Oct. Dec	Total	Balance	Variance %
	2011	2011	2011	2011	2011	2011
20 SUB-CONTRACT COMPONENT						
2100 Sub-contracts Component						
2101 Information System	20'000	10'000	5'000	15'000	5'000	25%
2199 Sub-total sub-contracts - non-commercial	20'000	10'000	5'000	15'000	5'000	25%
2999 TOTAL SUB-CONTRACT COMPONENT	20'000	10'000	5'000	15'000	5'000	25%
30 MEETINGS AND CONFERENCES						
3300 Travel and DSA Costs of Participants						
3303 Expanded Bureau (9 travels per meeting)	32'000	21'308	0	21'308	10'692	33%
3304 Committee for administering the Mechanism for Implementation and Compliance (7 travels per meeting)	20'000	15'365	0	15'365	12'635	45%
3305 Coordination meeting of BCRCS, SCRCS, FAO and UNEP offices	30'000	0	0	0	30'000	100%
3308 Third consultative meeting on the new strategic Framework for BC***	30'000	12'988		12'988	17'012	67%
3399 Sub-total Meetings and Conferences	120'000	49'661	0	49'661	70'339	59%
3999 TOTAL MEETINGS AND CONFERENCES	120'000	49'661	0	49'661	70'339	59%
40 EQUIPMENT AND PREMISES COMPONENT						
4100 Expendable Equipment						
4101 Office Supplies, library acquisitions and computer software	24'500	3'040	5'000	8'040	16'460	67%
4199 Sub-total, Expendable Equipment	24'500	3'040	5'000	8'040	16'460	67%
4200 Non Expendable Equipment						
4201 Computer Equipment, printers, furniture, multimedia and others	20'000	31'046	0	31'046	-11'046	-55%
4299 Sub-total, Non Expendable Equipment	20'000	31'046	0	31'046	-11'046	-55%
4300 Premises						
4301 Office space fees, building maintenance, security, utilities and insurance	110'000	121'575	0	121'575	-11'575	-11%
4399 Total, Premises	110'000	121'575	0	121'575	-11'575	-11%
4999 TOTAL, EQUIPMENT AND PREMISES COMPONENT	154'500	155'661	5'000	160'661	-6'161	-4%
50 MISCELLANEOUS COMPONENT						
5100 Operation and Maintenance of Equipment						
5101 Computers, Printers, photocopiers and other	71'300	15'578	5'000	20'578	50'722	71%
5199 Sub-total, Maintenance of Equipment	71'300	15'578	5'000	20'578	50'722	71%
5200 Reporting costs						
5201 Newsletters, publications and other media	35'000	13'275	10'158	23'433	11'567	33%
5202 Booklets on the requirements in respect of notifications of national definitions and decisions to prohibit imports of hazardous wastes in accordance with Articles 3, 4 and 13, including in cooperation with the Rotterdam and Stockholm conventions, as appropriate	6'000	0	3'500	3'500	2'500	42%
5203 Printing of the training manual on illegal traffic in all official languages of the United Nations	40'000	42'503	0	42'503	-2'503	-6%
5204 Printing of the updated text of the Basel Convention in all official languages of the United Nations	15'000	3'147	13'128	16'275	-1'275	-9%
5205 Joint publications with the secretariats of the Rotterdam and Stockholm conventions	30'000	3'624	23'835	27'459	2'541	8%
5206 Translation of information transmitted by Parties pursuant to articles 3 and 4	35'000	0	30'900	30'900	4'100	12%
5206 Translation of national reports	45'000	0	0	0	45'000	100%
5299 Sub-total, Reporting costs	206'000	62'549	81'521	144'070	61'930	30%
5300 Sundry						
5301 Communications, freight and other	70'000	48'699	20'000	68'699	1'301	2%
5399 Sub-total Sundry	70'000	48'699	20'000	68'699	1'301	2%
5400 Hospitality						
5401 Hospitality	9'500	4'277	3'000	7'277	2'223	23%
5499 Sub-total Hospitality	9'500	4'277	3'000	7'277	2'223	23%
5999 TOTAL, MISCELLANEOUS COMPONENT	356'800	131'103	109'521	240'624	116'176	33%
99 TOTAL OPERATIONAL COSTS	4'589'192	3'641'828	1'213'407	4'855'235	-266'043	-6%
13% Programme Support Costs	598'595	473'438	157'743	631'181	-34'588	-6%
TOTAL BUDGET AND EXPENDITURE OF THE TRUST FUND	5'185'787	4'115'266	1'371'150	5'486'416	-300'629	-6%

* Funded by UNEP from 13% Programme Support Cost (PSC).

** Temporary replacement for the staff member on maternity leave.

*** Co-funded from voluntary contributions provided by Canada

Annex II

**TRUST FUND FOR THE BASEL CONVENTION ON THE CONTROL OF TRANSBOUNDARY
MOVEMENTS OF HAZARDOUS WASTES AND THEIR DISPOSAL (BC)**
Status of contributions as at 30 September 2011
(Expressed in US Dollars)

Country	Unpaid pledges for 2009 & prior yrs. As at 31.12.2010	Pledges for 2011	Collections during 2010 for 2011 and fut yrs	Collections during 2011 for 2010 and prior years	Collections during 2011 for 2011 and fut. yrs	Unpaid pledges for 2010 & Prior Yrs as at 30.09.2011	Unpaid pledges for 2011	Unpaid pledges for prior yrs., 2010 & 2011	Deferred Income
AGREED									
1 Albania	330	351		330	351	0	0	0	0
2 Algeria	4'678	4977		4678	4977	0	0	0	0
3 Andorra		468			444	0	24	24	0
4 Antigua and Barbuda		117	117			0	0	0	0
5 Argentina	34'919	19'029		34'919	19'029	-0	0	-0	-0
6 Armenia		117			117	0	0	0	0
7 Australia		104'632	104'632			0	0	0	0
8 Austria		51'935			51'935	0	0	0	0
9 Azerbaijan		293			293	0	0	0	0
10 Bahamas		937	11'975			0	-11'038	-11'038	-11'038
11 Bahrain		1'932			1'932	0	0	0	0
12 Bangladesh	2'561	566				2'561	566	3'147	0
13 Barbados		527			527	0	0	0	0
14 Belarus		1'171				0	1'171	1'171	0
15 Belgium		64'524			64'524	0	0	0	0
16 Belize		59	59			0	0	0	0
17 Benin		59				0	59	59	0
18 Bhutan		59	50			0	9	9	0
19 Bolivia		351				0	351	351	0
20 Bosnia & Herzegovina		351			351	0	0	0	0
21 Botswana		820	820			0	0	0	0
22 Brazil	1'418	51'291		1'418	51'243	0	48	48	0
23 Brunei Darussalam		1'522	1'497			0	25	25	0
24 Bulgaria		1'171	1'171			0	0	0	0
25 Burkina Faso	241	117				241	117	358	0
26 Burundi	107	59				107	59	166	0
27 Cambodia		59			59	0	0	0	0
28 Cameroon	2'503	527		2'503	775	0	-248	-248	-248
29 Canada		174'308	174'308			0	0	0	0
30 Cape Verde	298	59				298	59	357	0
31 Chad	298	59				298	59	357	0
32 Central African Republic	207	59				207	59	266	0
33 Chile		9'427	18'288			0	-8'861	-8'861	-8'861
34 China		156'157			156'157	0	0	0	0
35 Colombia		6'148				0	6'148	6'148	0
36 Comoros	181	59				181	59	240	0
37 Congo	195	59		152		43	59	102	0
38 Cook Islands	298	59				298	59	357	0
39 Costa Rica	8'216	1'874		800		7'416	1'874	9'290	0
40 Cote d'Ivoire	1'969	527				1'969	527	2'496	0
41 Croatia		2'928			2'928	0	0	0	0
42 Cuba	14'060	3'162				14'060	3'162	17'222	0
43 Cyprus		2'576			2'576	0	0	0	0
44 Czech Republic		16'453	16'453			0	0	0	0
45 Dem. Rep. Of Korea	752	410				752	410	1'162	0
46 Dem. Rep. Of Congo	897	176				897	176	1'073	0
47 Denmark		43'270			43'270	0	0	0	0
48 Djibouti	298	59		298		0	59	59	0
49 Dominica	298	59				298	59	357	0
50 Dominican Rep.	1'271	1'405				1'271	1'405	2'676	0
51 Ecuador		1'230				0	1'230	1'230	0
52 Egypt	4'843	5'153		4'843	5'153	0	0	0	0
53 El Salvador		1'171	5'580			0	-4'409	-4'409	-4'409
54 Equatorial Guinea	598	117				598	117	715	0
55 Eritrea		59	59			0	0	0	0

	Country	Unpaid pledges for 2009 & prior yrs. As at 31.12.2010	Pledges for 2011	Collections during 2010 for 2011 and fut yrs	Collections during 2011 for 2010 and prior years	Collections during 2011 for 2011 and fut. yrs	Unpaid pledges for 2010 & Prior Yrs as at 30.09.2011	Unpaid pledges for 2011	Unpaid pledges for prior yrs., 2010 & 2011	Deferred Income
56	Estonia		937	916		21	0	0	0	0
57	Ethiopia		176	176			0	0	0	0
58	European Union		117'003			117'003	0	0	0	0
59	Finland		33'023	33'023			0	0	0	0
60	France		368'934			368'934	0	0	0	0
61	Gabon	859	468				859	468	1'327	0
62	Gambia		59				0	59	59	0
63	Georgia		176			176	0	0	0	0
64	Germany		502'198			502'198	0	0	0	0
65	Ghana		234			234	0	0	0	0
66	Greece		34'897				0	34'897	34'897	0
67	Guatemala	3'438	1874		3'438		0	1874	1874	0
68	Guinea	682	59				682	59	741	0
69	Guinea-Bissau	207	59				207	59	266	0
70	Guyana		59	42		17	0	0	0	0
71	Honduras		293			293	0	0	0	0
72	Hungary		14'287			14'287	0	0	0	0
73	Iceland		2'166	2'166			0	0	0	0
74	India	67'071	26'348		24'732		42'399	26'348	68'687	0
75	Indonesia		9'427	9'427			0	0	0	0
76	Iran	27'823	10'539		10'314		17'509	10'539	28'048	0
77	Ireland		26'056	26'056			0	0	0	0
78	Israel		24'533	24'533			0	0	0	0
79	Italy	271'437	297'384		157'993		113'444	297'384	410'828	0
80	Jamaica	1'548	586		1'548	581	0	25	25	0
81	Japan		973'363			973'363	0	0	0	0
82	Jordan		703			703	0	0	0	0
83	Kazakhstan	19	1'680		19	1'680	0	19	19	0
84	Kenya		586	1'631			0	-1'045	-1'045	-1'045
86	Kiribati	55	59				55	59	114	0
88	Korea, Rep. of	11'569	127'233		11'569	127'233	-0	0	0	0
87	Kuwait		10'656			10'656	0	0	0	0
88	Kyrgyzstan		59	98			0	-39	-39	-39
89	Latvia		1'054	1'054			0	0	0	0
90	Lebanon	3'652	1'991				3'652	1'991	5'643	0
91	Lesotho		59				0	59	59	0
92	Liberia	207	59				207	59	266	0
93	Libyan Arab Jamahiriya	10'494	3'630				10'494	3'630	14'124	0
94	Liechtenstein		586			586	0	0	0	0
95	Lithuania		1815				0	1815	1815	0
96	Luxembourg		4977			4977	0	0	0	0
97	Macedonia		293				0	293	293	0
98	Madagascar		117	1'073			0	-956	-956	-956
99	Malawi		59	17			0	42	42	0
100	Malaysia		11'125	11'110			0	15	15	0
101	Maldives		59	59			0	0	0	0
102	Mali	107	59				107	59	166	0
103	Malta		995			995	0	0	0	0
104	Marshall Islands	207	59				207	59	266	0
105	Mauntania	298	59				298	59	357	0
106	Mauritius		644	644			0	0	0	0
107	Mexico	252'302	132'151				252'302	132'151	384'453	0
108	Micronesia	207	59				207	59	266	0
109	Moldova		59	59			0	0	0	0
110	Monaco		176	176			0	0	0	0
111	Mongolia		59	59			0	0	0	0

	Country	Unpaid pledges for 2009 & prior yrs. As at 31.12.2010	Pledges for 2011	Collections during 2010 for 2011 and fut yrs	Collections during 2011 for 2010 and prior years	Collections during 2011 for 2011 and fut. yrs	Unpaid pledges for 2010 & Prior Yrs as at 30.09.2011	Unpaid pledges for 2011	Unpaid pledges for prior yrs., 2010 & 2011	Deferred Income
112	Montenegro		59	59			0	0	0	0
113	Morocco		2'459	29'168			0	-26'709	-26'709	-26'709
114	Mozambique		59			59	0	0	0	0
115	Namibia		351	351			0	0	0	0
116	Nauru	298	59				298	59	357	0
117	Nepal	1'091	176				1'091	176	1'267	0
118	Netherlands		109'667			109'657	0	10	10	0
119	New Zealand		14'969	15'339			0	-50	-50	-50
120	Nicaragua	315	117				315	117	432	0
121	Niger	298	59				298	59	357	0
122	Nigeria	1'310	2810				1'310	2810	4'120	0
123	Norway		45'787			45'787	0	0	0	0
124	Oman		4'274	4'274			0	0	0	0
125	Pakistan	823	3'455				823	3'455	4'278	0
126	Panama	6'120	1'347				6'120	1'347	7'467	0
127	Papua New Guinea		117	575			0	-458	-458	-458
128	Paraguay	841	293		841	522	0	-229	-229	-229
129	Peru	17'618	4'567		17'618		0	4'567	4'567	0
130	Philippines		4'567	512		6'738	0	-2'683	-2'683	-2'683
131	Poland		29'334			29'334	0	0	0	0
132	Portugal		30'857	30'857		30'857	0	-30'857	-30'857	-30'857
133	Qatar		4'977			4'957	0	20	20	0
134	Romania		4'099	4'099			0	0	0	0
135	Russian Fed.	30	70'282		30	70'282	0	0	0	0
136	Rwanda		59				0	59	59	0
137	Saint Kitts and Nevis	55	59				55	59	114	0
138	Saint Lucia		59	9'897			0	-9'828	-9'828	-9'828
139	St. Vincent & the Grenadines	298	59				298	59	357	0
140	Saudi Arabia	43'926	43'797		41'086		2840	43'797	46'637	0
141	Senegal	1'390	234				1'390	234	1'624	0
142	Serbia		1'230	1'230			0	0	0	0
143	Seychelles		117	117			0	0	0	0
144	Singapore		20'317	20'317			0	0	0	0
145	Slovakia		3'689	3'689			0	0	0	0
146	Slovenia		5'621	5'594			0	27	27	0
147	Somalia	0	59				0	59	59	0
148	South Africa		16'980	16'980			0	0	0	0
149	Spain		173'781	164'323		9'458	0	0	0	0
150	Sri Lanka		937			937	0	0	0	0
151	Sudan	1'877	586				1'877	586	2'463	0
152	Swaziland		117	271			0	-154	-154	-154
153	Sweden		62'709	10		62'669	0	30	30	0
154	Switzerland		71'199			71'199	0	0	0	0
155	Syrian Arab Republic		937	87			0	850	850	0
156	Tanzania		351	275			0	76	76	0
157	Thailand		10'891	21'783			0	-10'892	-10'892	-10'892
158	Togo	207	59				207	59	266	0
159	Tonga	28	59				28	59	87	0
160	Trinidad and Tobago		1'581	1'581			0	0	0	0
161	Tunisia	9'476	1815		9'476	1815	0	0	0	0
162	Turkey		22'308			22'308	0	0	0	0
163	Turkmenistan	330	351				330	351	681	0
164	Uganda		176	9'376			0	-9'200	-9'200	-9'200
165	Ukraine		2'635				0	2'635	2'635	0
166	U.A.E.	22'632	17'683				22'632	17'683	40'315	0
167	United Kingdom		388'900	388'900			0	0	0	0

Country	Unpaid pledges for 2009 & prior yrs. As at 31.12.2010	Pledges for 2011	Collections during 2010 for 2011 and fut yrs	Collections during 2011 for 2010 and prior years	Collections during 2011 for 2011 and fut. yrs	Unpaid pledges for 2010 & Prior Yrs as at 30.09.2011	Unpaid pledges for 2011	Unpaid pledges for prior yrs., 2010 & 2011	Deferred Income
168 Uruguay		1'581				0	1'581	1'581	0
169 Uzbekistan	594	468		468		126	468	594	0
170 Venezuela	10'482	11710				10'482	11710	22'192	0
171 Viet Nam		1'405	50		1'355	0	0	0	0
172 Western Samoa		59	59			0	0	0	0
173 Yemen	1'904	410				1'904	410	2'314	0
174 Zambia		59	2'144			0	-2'085	-2'085	-2'085
TOTAL	855'562	4'681'128	1'178'935	329'072	2'998'471	526'490	623'463	1'149'953	-119'741
Additional contribution									
USA									
Grand Total	855'562	4'681'128	1'178'935	329'072	2'998'471	526'490	623'463	1'149'953	-119'741

NB: Negative amounts mean contributions received in advance

Annex III

**TRUST FUND FOR THE BASEL CONVENTION ON THE CONTROL OF TRANSBOUNDARY MOVEMENTS OF
HAZARDOUS WASTES AND THEIR DISPOSAL (BC)
ANNUAL DISTRIBUTION OF THE UNPAID CONTRIBUTIONS FOR 2010 AND PRIOR YEARS
AS AT 30 SEPTEMBER 2011
(Expressed in US dollars)**

Country	2010	2009	2008	2007	2006	2005	2004	TOTAL
1 Bangladesh	550	524	397	381	380	329		2'561
2 Burkina Faso	110	105	26					241
3 Burundi	55	52						107
4 Cape Verde	55	52	51	49	49	42		298
5 Central African Republic	55	52	51	49				207
6 Chad	55	52	51	49	49	42		298
7 Comoros	55	52	51	23				181
8 Congo	43							43
9 Cook Islands	55	52	51	49	49	42		298
10 Costa Rica	1'761	1'677	1'537	1'475	966			7'416
11 Cote d'Ivoire	495	472	512	490				1'969
12 Cuba	2'972	2'830	2'204	2'114	2'114	1'819	7	14'060
13 Dem. Rep. Of Congo	165	157	154	147	147	127		897
14 Dem. Rep. Of Korea	365	367						752
15 Dominica	55	52	51	49	49	42		298
16 Dominican Republic	1'271							1'271
17 Equatorial Guinea	110	105	102	98	98	85		598
18 Gabon	440	419						859
19 Guinea	55	52	154	147	147	127		682
20 Guinea-Bissau	55	52	51	49				207
21 India	24'767	17'572						42'339
22 Iran	9'907	7'603						17'510
23 Italy	113'444							113'444
24 Kiribati	55							55
25 Lebanon	1'871	1'781						3'652
26 Liberia	55	52	51	49				207
27 Libyan Arab Jamahinya	3'412	3'249	2'932	901				10'494
28 Mali	55	52						107
29 Marshall Islands	55	52	51	49				207
30 Mauritania	55	52	51	49	49	42		298
31 Mexico	124'219	118'284	9'799					252'302
32 Micronesia	55	52	51	49				207
33 Nauru	55	52	51	49	49	42		298
34 Nepal	165	157	205	197	197	170		1'091
35 Nicaragua	110	110	51	44				315
36 Niger	55	52	51	49	49	42		298
37 Nigera	1'310							1'310
38 Pakistan	823							823
39 Panama	1'266	3'092	974	788				6'120
40 Saudi Arabia	2'840							2'840
41 Senegal	220	210	256	246	246	212		1'390
42 St Kitts and Nevis	55							55
43 St. Vincent & the Grenadines	55	52	51	49	49	42		298
44 Sudan	550	524	410	393				1'877
45 Togo	55	52	51	49				207
46 Tonga	28							28
47 Turkmenistan	330							330
48 U.A.E.	16'621	6'011						22'632
49 Uzbekistan	126							126
50 Venezuela	10'482							10'482
51 Yemen	365	367	307	295	295	255		1'904
TOTAL	322'278	166'552	20'785	8'425	4'982	3'460	7	526'490
Percentage	61.21%	31.63%	3.95%	1.60%	0.95%	0.66%	0.00%	100.00%

Annex IV

**Basel Convention Trust Fund to Assist Developing Countries and other
Countries in Need of Technical Assistance (BD)
Status of contributions
2009, 2010 and 2011
(Expressed in US Dollars)**

Donor countries	2009	2010	2011 as at 30.09.2011
Canada	66'852	4'052	41'161
China	9'800	10'000	10'000
Colombia			637'224
Denmark	0	75'170	0
Finland	0	0	21'368
Germany	63'081	53'050	57'143
Japan	409'787	401'672	0
Liechtenstein	10'000	0	10'000
Madagascar	0	1'000	0
Norway	306'048	221'324	458'892
Slovenia	0	4'048	0
Spain	56'180	0	42'334
Sweden	58'223	77'017	0
Switzerland	57'692	133'453	140'925
UK	90'000	60'000	25'000
USA	150'000	325'000	20'000
	1'277'663	1'365'786	1'464'047

Other donors

The Dutch E-waste Compliance Scheme (NVMP)	331'852	0	
European Commission	0	667'845	370'897
Basel Action Network	1'000	1'500	1'500
Bureau for International Recycling	9'955	10'000	10'000
Boliden Mineral AB	975	975	975
Centre for Recycling & Reuse	1'000	1'000	0
Close-The-Gap	0	200	200
DELL	0	0	10'000
Global eSustainability Initiative	0	9'972	0
Deutsche Gesellschaft für Technische Zusammenarbeit (GTZ)	0	52'579	0
Institute of Environment and Resources	3'000	3'000	3'000
International Precious Metals Institute	3'000	0	0
Institute of Scrap Recycling Industries	0	20'000	10'000
Information Technology Industry Council	10'000	10'000	0
Vodafone	6'151	0	0
PC Rebuilders and Recyclers	1'000	0	1'000
SIMS Recycling Solutions	0	6'600	3'300
Mr. John Bullock	0	1'000	1'000
TES-AMM (Singapore) Pte Ltd	3'300	3'300	3'300
	371'233	787'971	415'172
TOTAL	1'648'897	2'153'756	1'879'219

Annex IVa

**Basel Convention Trust Fund to Assist Developing Countries and other
Countries in Need of Technical Assistance (BD)
Status of contributions as at 30 september 2011
(Expressed in US Dollars)**

COUNTRY	Unpaid pledges for 2010 & prior yrs	Adjustments	Pledges for 2011	Collections in 2011 for 2010 & prior yrs	Collections in 2011 for 2011	Unpaid pledges for 2011 & prior yrs
Canada			41'161	14/	41'161	0
China			10'000	15/	10'000	0
Colombia			637'224	34/	637'224	0
Denmark			38'668	32/		38'668
EC			370'897	7/	370'897	0
Finland			21'368	29/	21'368	-0
Germany			57'143	28/	57'143	0
Liechtenstein	5'000	4/			5'000	0
Liechtenstein			5'000	5/	5'000	0
Norway	109'805	3/			109'805	0
Norway			39'337	12/	39'337	0
Norway			309'750	13/	309'750	0
Spain			42'334	17/	42'334	0
Sweden			30'817	31/		30'817
Switzerland			69'995	26/	69'995	0
Switzerland			70'930	27/	70'930	0
UK			25'000	30/	25'000	0
USA			150'000	33/		150'000
USA-EPA			20'000	16/	20'000	0
BAN			1'500	25/	1'500	0
BIR			10'000	24/	10'000	0
Boliden Mineral			975	9/	975	0
Close the Gap			200	10/	200	0
DELL			10'000	19/	10'000	0
IER			3'000	23/	3'000	0
ISRI			10'000	22/	10'000	0
ITIC			10'000	21/		10'000
John Bullock			1'000	6/	1'000	0
PC Rebuilders & Recycling			1'000	11/	1'000	0
SIMS			3'300	20/	3'300	0
TES-AMM			3'300	8/	3'300	0
Total	114'805		1'993'900	114'805	1'764'415	229'485

3/ NOK 150,000 Development of legal analysis of the application of Basel Convention to hazardous wastes and other wastes generated on board Ships

NOK 500,000 to Support the development of a draft instruction manual for the legal profession on the prosecution of illegal traffic as set out in the decision OEWG-VII/14

4/ USD 5,000 voluntary contribution for 2010

5/ USD 5,000 voluntary contribution for 2011

6/ USD1,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Mr John Bullock**

7/ EUR 270,000 for E-waste project in Africa

8/ USD3,300 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **TES-AMM**

9/ USD 975 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Boliden Mineral**

10/ USD 200 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Close the Gap**

11/ USD 1,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **PC Rebuilders and recyclers**

12/ NOK 220,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

13/ NOK 540,000 support of the workshop on joint implementation of the Basel, Rotterdam and Stockholm conventions for Western Asia and North Africa

NOK 310,000 support of the workshop on joint implementation of the Basel, Rotterdam and Stockholm conventions for French speaking countries of west Africa

NOK 450,000 in support of the updating of the annexes to volume C of the training manual for hazardous waste project managers.

NOK 400,000 support of the international workshop on hazardous substances within the life cycle of electronic and electric products, Vienna, Austria

14/ CAD 40,000 towards the Consultative Meeting on the Strategic framework 2012-2021 for the Basel Convention

15/ USD 10,000 voluntary contribution for 2011

16/ USD 20,000 agreement X8-83380401-1 cooperative agreement 3rd payment

17/ USD 42,334.28 voluntary contribution for 2011

19/ USD 10,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **DELL**

20/ USD 3,300 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **SIMS Recycling Solutions**

21/ USD 10,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Information Technology Industry**

22/ USD 10,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Institute of Scrap Recycling Industries**

23/ USD 3,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Institute of Environment and Resources**

24/ USD 10,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Bureau of International Recycling**

25/ USD 1,500 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Basel Action Network**

26/ CHF 60,000 as support for the Partnership for Action on Computing Equipment (PACE) for 2011 by **Switzerland**

27/ CHF 60,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

28/ EUR 40,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

29/ EUR 15,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

30/ USD 25,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

31/ SEK 200,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

32/ DKK 202,000 support the travel of participants from developing countries and countries in transition to attend COP10 in October 2011

33/ USD 150,000 voluntary contribution for 2011

34/ USD 637,224 COP10 Host Country Agreement (HCA)

Annex V

Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal											
STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN RESERVE AND FUND BALANCE FOR THE YEARS 2001 - 2011 AND CUMULATIVE (in USD)											
INCOME	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011*
Voluntary contributions	3'157'795	3'126'879	3'119'791	3'119'791	3'361'077	3'854'735	3'855'440	3'969'755	4'188'933	4'399'199	4'680'112
Interest income	563'080	412'684	231'754	93'228	111'155	162'351	126'865	95'515	55'561	41'791	-
Deferred income	7'955	-	-	-	-	-	-	-	-	-	-
Miscellaneous income	(9'074)	-	-	390	53	-	-	1'276	40'982	119'764	-
TOTAL INCOME	3'719'756	3'539'563	3'351'545	3'213'409	3'472'285	4'017'086	3'982'325	4'066'546	4'285'476	4'560'754	4'680'112
EXPENDITURE											
Staff and other personnel costs	1'475'656	2'301'622	2'771'755	3'291'631	2'527'876	3'251'328	2'726'125	2'981'587	2'580'703	3'180'830	3'995'785
Travel	201'832	176'446	92'207	195'548	188'744	126'106	150'375	154'293	125'951	165'353	179'332
Contractual services	577'973	534'623	402'570	528'199	283'288	346'768	270'305	202'369	56'998	100'740	229'172
Operating expenses	595'329	1'065'424	257'274	475'537	290'276	331'443	217'018	180'294	100'740	114'113	160'661
Acquisitions	102'008	89'891	82'647	87'575	69'895	105'292	97'799	117'894	102'370	131'981	310'963
Programme support costs	383'864	541'836	468'839	595'204	436'810	540'834	448'400	470'460	387'956	480'092	631'181
TOTAL EXPENDITURE	3'336'662	4'709'842	4'075'292	5'173'694	3'796'889	4'701'771	3'910'022	4'106'897	3'354'718	4'173'109	5'507'094
EXCESS OF INCOME OVER EXPENDITURE	383'094	(1'170'279)	(723'747)	(1'960'285)	(324'604)	(684'685)	72'303	(40'351)	930'758	387'645	(826'982)
Prior period adjustments	(6'635)	(1'320)	(9'110)	(82)	-	-	-	-	-	-	-
Transfer to reserves	-	-	-	-	(642'914)	-	-	-	-	(19'525)	-
FUND BALANCE AT THE BEGINNING OF THE PERIOD	6'896'412	7'272'871	6'101'272	5'368'415	3'408'048	2'440'530	1'755'845	1'827'721	1'787'370	2'718'128	3'086'248
FUND BALANCE AT THE END OF THE PERIOD	7'272'871	6'101'272	5'368'415	3'408'048	2'440'530	1'755'845	1'827'721	1'787'370	2'718'128	3'086'248	2'259'266
UNPAID PLEDGES	405'520	649'055	124'547	658'075	196'928	909'251	1'106'083	508'396	752'166	853'883	949'968
DEFERRED PLEDGES	413'803	50'394	353'426	-	-	106'345	792'895	871'787	969'249	1'178'874	119'741
FUND BALANCE CARRIED FORWARD	7'281'154	5'502'611	5'597'294	2'749'973	2'243'602	952'939	1'514'533	2'150'761	2'935'211	3'411'239	1'429'039
FUND BALANCE EXCLUDING UNPAID CONTRIBUTIONS	6'867'351	5'452'217	5'243'868	2'749'973	2'243'602	846'594	721'638	1'278'974	1'965'962	2'232'365	1'309'298

*Projection. Based on 87% rate for the payment of assessed contributions for 2011 and 60% rate for the payment of prior year's unpaid contributions.

Annex VI

Trust Fund to Assist Developing Countries and Other Countries in Need of Technical Assistance in the Implementation of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal

**STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN
RESERVE AND FUND BALANCE FOR THE YEARS 2001 - 2011 AND CUMULATIVE (in USD)**

INCOME	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010*	2011**
Voluntary contributions	378'875	488'839	680'466	651'875	1'486'522	1'194'273	1'965'573	2'601'141	1'565'578	2'123'050	2'108'704
Interest income	159'405	147'012	100'105	61'499	94'486	185'423	206'728	138'389	60'874	45'613	-
Miscellaneous income	262	6'038	(2'640)	-	-	-	124'916	43'488	60'113	2'204	-
TOTAL INCOME	538'542	641'889	777'931	713'374	1'581'008	1'379'696	2'297'217	2'783'018	1'686'565	2'170'867	2'108'704
EXPENDITURE											
Staff and other personnel costs	20'030	26'683	1'659	220'379	133'653	459'380	251'350	308'753	279'549	392'762	577'919
Travel	4'660	2'090	3'189	10'492	17'825	30'718	7'521	8'260	41'502	162'677	409'565
Contractual services	159'634	221'248	75'484	666'300	368'346	816'473	653'287	513'909	617'949	1'422'386	1'285'193
Meetings and conferences	31'863	-	-	-	-	-	-	-	-	-	-
Operating expenses*	-	539'527	141'813	187'079	225'295	475'771	489'296	331'120	300'471	923'423	21'137
Acquisitions	990	6'537	5'020	273	(127)	-	-	-	1'390	(1'390)	842'723
Programme support costs	28'233	103'491	29'341	140'988	96'849	231'660	182'233	151'065	153'258	278'876	357'497
TOTAL EXPENDITURE	245'410	899'576	256'506	1'225'511	841'841	2'014'002	1'583'687	1'313'107	1'394'119	3'178'734	3'494'034
EXCESS OF INCOME OVER EXPENDITURE	293'132	(257'687)	521'425	(512'137)	739'167	(634'306)	713'530	1'469'911	292'446	(1'007'867)	(1'385'330)
Refund to donors	-	-	(53'841)	-	-	-	-	-	(47'335)	(128'312)	-
Prior period adjustments	-	124'279	(15'173)	(103'707)	13'170	(14'011)	-	-	-	-	-
Provisional savings on or cancellation of prior periods' obligations	-	(70'922)	70'922	-	-	-	-	-	-	-	-
FUND BALANCE AT THE BEGINNING OF THE PERIOD	2'722'055	3'015'187	2'810'857	3'334'190	2'718'346	3'470'681	2'822'364	3'535'896	5'005'807	5'250'917	4'114'738
FUND BALANCE AT THE END OF THE PERIOD	3'015'187	2'810'857	3'334'190	2'718'346	3'470'681	2'822'364	3'535'896	5'005'807	5'250'917	4'114'738	2'729'408

* The exchange loss of USD 464,306 due to the revaluation of the EUR cash pool is included under operating expenses in 2010

**Projection A significant proportion of the accumulated fund balance has been earmarked by donors.