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**Conference of the Parties to the Basel Convention  
on the Control of Transboundary Movements of  
Hazardous Wastes and Their Disposal  
Tenth meeting**

Cartagena, Colombia, 17–21 October 2011  
Item 3 (f) (i) of the provisional agenda\*

**Matters related to the implementation of the Convention:  
financial matters: programme of work and budget**

## **Programme budget for the biennium 2012–2013**

### **Note by the Secretariat**

#### **Addendum**

#### **Introduction**

1. In its decision IX/31, the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal noted the need to facilitate priority-setting by providing parties with timely information on the financial consequences of different options and to that end requested the Executive Secretary to include in the proposed programme budget for the biennium 2012–2013 two alternatives based on:

- (a) The Executive Secretary's assessment of the required rate of growth of the programme budget;
- (b) Maintaining the average annual operational budget at the 2009–2011 level in real terms.

2. Pursuant to that decision, the Secretariat has presented in document UNEP/CHW.10/23 the alternative draft programme budget based on maintaining the average annual operational budget at the 2009–2011 level in real terms. The annexes to the present addendum set out the alternative draft programme budget based on the Executive Secretary's assessment of the required rate of growth of the programme budget. The Executive Secretary's assessment is that the required rate of growth for the biennium 2012–2013 is zero nominal growth as compared to the 2009–2011 budget.

3. The Executive Secretary's assessment, and the resulting alternative proposed programme budget, are based on a recognition that a number of countries have seen significant economic dislocations stemming from the 2008 recession and environmental disasters. It also recognizes that, while budget reduction is not one of its objectives, the process for synergies between the Basel Convention, the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade and the Stockholm Convention on Persistent Organic Pollutants offers the prospect of significantly improving the delivery of activities to parties without increasing the budget from its 2009–2011 level. It further reflects the fact that the parties to the Rotterdam Convention approved a reduced budget for 2012–2013 compared to the

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\* UNEP/CHW.10/1.

budget for 2009–2011 triennium, while the parties to the Stockholm Convention approved an increase of 1.2 per cent in the budget for the biennium 2012–2013. This was based on, among other things, the considerations above and the intention of the parties to the three conventions to forge effective synergies between them.

4. In the light of the above the Executive Secretary expects that the parties may adopt a budget that remains at the level of the 2009–2011 budget or even shrinks. The parties, however, may wish to adopt decisions that might require increased funding. In particular, the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention or the strategic framework decisions, if adopted, offers the possibility of significantly developing the work of the Convention but could require funding beyond what might be available in a flat growth budget.

5. The parties may therefore wish to take note of the extent to which financial resources are available in the Basel Convention Trust Fund (BC Trust Fund) and the Basel Convention Technical Cooperation Trust Fund (BD Trust Fund) and to determine whether, and to what extent, such resources might be used to implement the priorities of the parties as agreed upon at their tenth meeting while maintaining a disciplined budget that minimizes or eliminates potential increases in assessed contributions.

6. The total programme resources required under the Executive Secretary's assessment of the required rate of growth for the biennium 2012–2013, including programme support costs, amount to \$19,255,476, of which \$8,907,840 is proposed to be financed from the BC Trust Fund through assessed contributions by the parties and \$10,347,636 from voluntary contributions of the parties and other donors through the BD Trust Fund. This scenario implies an increase of 0.85 per cent in the average BC Trust Fund budget for the biennium 2012–2013 and, an increase of 0.77 per cent in average annual contributions by parties, as shown in annex I (b).

7. This zero nominal growth scenario would require a reduction in staffing resources. The impact of this, however, could be minimized or eliminated by taking the same approach as was used by the conferences of the parties to the Rotterdam and Stockholm conventions, i.e., using an indicative staffing table for costing purposes and authorizing the Executive Secretary to determine the staffing levels, numbers and structure of the Secretariat in a flexible manner provided that he remains within the overall budget for the biennium 2012–2013. In addition, two posts previously approved by the Conference of the Parties have not yet been filled, although they are frequently encumbered by temporary staff to accomplish the Secretariat's work. Furthermore, the Executive Secretary has been tasked with adjusting and streamlining the operational and administrative functioning of the three secretariats, which is likely to result in greater efficiency and flexibility. Work in this regard is well under way and the resulting proposals will be made to the parties by 31 December 2011.

8. It should be noted that the travel budget has been reduced by \$50,000 per year and that the amounts allocated for the translation of documents for meetings of the Conference of Parties and sessions of the Open-ended Working Group have been reduced by \$60,400 and \$32,700, respectively. The latter measure will, of course, reduce the Secretariat's capacity to produce all working documents in the six official languages of the United Nations, unless fewer and shorter documents are prepared.

9. The projected level of the accumulated reserve of the BC Trust Fund by the end of 2011 is shown in annex IV to the present addendum. Annex V presents the information on the reserve and fund balance of the BD Trust Fund at the end of 2010.

10. All figures are in United States dollars, unless otherwise stated.

## List of annexes

- Annex I Programme budget for 2012–2013 based on maintaining the average annual operational budget at the 2009–2011 level in nominal terms
- Annex I (a) Programme budget for 2012–2013: maintaining the average annual operational budget at the 2009–2011 level in nominal terms, summary table of costs and requirements
- Annex I (b) Programme budget for 2012–2013 funded from the Basel Convention Trust Fund: maintaining the average annual operational budget at the 2009–2011 level in nominal terms
- Annex I (c) Trust Fund for the Basel Convention on Control of Transboundary Movements of Hazardous Wastes and Their Disposal: scale of contributions for 2012–2013 for the programme budget scenario based on maintaining the average annual operational budget at the 2009–2011 level in nominal terms
- Annex II Comparison of the programme budget scenarios for 2012–2013 with the programme budget for 2009–2011 (non-staff costs of activities)
- Annex III Comparison of programme budget scenarios and their consequences for programme implementation
- Annex IV Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal: Statement of income and expenditure and changes in reserve and fund balance for the years 2001–2011 and cumulative
- Annex V Trust Fund to assist developing countries and other countries in need of technical assistance in the implementation of the Basel Convention on the Control of Transboundary Movements Of Hazardous Wastes And Their Disposal: Statement of income and expenditures and changes in reserve and fund balance for the years 2001–2010 and cumulative

## Annex I

## Programme budget for 2012–2013 based on maintaining the average annual operational budget at the 2009–2011 level in nominal terms

### I. Conferences and meetings

#### Mandate

The Secretariat's functions as mandated by Article 16 of the Convention, the rules of procedure and subsequent decisions of the Conference of the Parties, including decisions IX/10, on cooperation and coordination among the Basel, Rotterdam and Stockholm conventions, and BC.Ex-1/1, omnibus decision adopted by the Conference of the Parties to the Basel Convention.

#### Objectives

To ensure effective preparation, delivery and follow-up of meetings of the Conference of the Parties and its subsidiary bodies.

#### Indicators of achievement

1. Adequate meeting facilities and services are provided.
2. Percentage of working documents that are made available to parties in all working languages within deadlines.
3. Interpretation services are provided for percentage of plenary sessions of the Conference of the Parties and meetings of subsidiary bodies.
4. Adequate participation of representatives from developing countries and countries with economies in transition is ensured at meetings (subject to the availability of funding).

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total	BC Trust Fund	BD Trust Fund
1	Organize and support the eleventh meeting of the Conference of the Parties: conclude host country agreement; liaise with and assist the host country; prepare, translate and publish all related documents; support the Chair before and during the meeting; provide logistical support including interpretation, travel of participants, security; support the Conference and its working groups during and between meetings. Duration: 5 days.	Successfully organized meeting of the Conference of the Parties; documentation for the meeting in the six official languages of the United Nations	Internal; funds for salaries/travel of conference staff, translation, logistics, temporary assistance (\$654,600) and participation of sponsored delegates at the eleventh meeting of the Conference of the Parties (\$600,000).	45 022	300 000	345 022	345 022	—	455 114	954 600	1 409 714	809 714	600 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total	BC Trust Fund	BD Trust Fund
	Organize an extraordinary meeting of the Conference of the Parties, to be held simultaneously with extraordinary meetings of the conferences of the parties to the Rotterdam and Stockholm conventions.												
2	Organize and support the eighth session of the Open-ended Working Group; prepare, translate and publish all related documents, support the Co-Chairs before and during the meeting; provide logistical support including interpretation, travel of participants, security; support the Open-ended Working group and its working groups during and between meetings. Duration: 5 days.	Successfully organized sessions of the Open-ended Working Group; documentation for the meeting in the six official languages of the United Nations.	Internal; funds for salaries/travel of conference staff, translation, logistics, temporary assistance (\$519,300) and participation of sponsored delegates (\$600,000).	361 974	1 119 300	1 481 274	881 274	600 000	—	—	—	—	—
3	Organize the logistics and documentation for meetings of the Expanded Bureau and one joint Bureau meeting in 2013: prepare and publish all related documents.	Successfully organized meetings of the Expanded Bureau and Joint Bureau; documentation for meetings in English only.	Internal (funds for travel of the members of the Expanded Bureau (\$45,100) and the members of the Bureau to a joint Bureau meeting in 2013 (\$18,500)).	43 221	—	43 221	43 221	—	91 772	63 600	155 372	155 372	—
4	Organize the logistics and documentation for meetings of the Implementation and Compliance Committee; prepare and publish related documents.	Successfully organized meetings of the Implementation and Compliance Committee; documentation for meetings in English only.	Internal (funds for travel of the members of the Implementation and Compliance Committee (\$49,200), funds for travel of 5 parties that are the subject of a submission (\$16,000)).	18 009	—	18 009	18 009	—	80 535	65 200	145 735	129 735	16 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total	BC Trust Fund	BD Trust Fund
5 (S6)	Support the work of and coordination between the scientific bodies of the conventions and identify common issues and linkages between the conventions by working with relevant scientific experts to tackle common issues that have a negative impact on the effective functioning of the scientific bodies, studying and reviewing linkages between conventions and identifying cross-cutting technical issues for which cooperation needs to be developed or enhanced, e.g., climate change and persistent organic pollutants; handling of electronic wastes containing brominated flame retardants and mercury; life cycle management of hazardous chemicals; sound trade of hazardous chemicals; identification of hot spots of hazardous chemicals, wastes and other environmental occurrences; convention linkages with biodiversity and land degradation, among others, with clearing-house mechanism, establish database of roster of experts relevant to the technical and scientific bodies of the three conventions; an "alumni website" of participants in meetings, training and awareness-raising workshops and develop	Technical and scientific bodies of the three conventions receive technical and policy support, facilitating the exchange and sharing of relevant information between them and with other relevant intergovernmental organizations, including the Strategic Approach; and ensuring the effectiveness of the work of the Chemical Review Committee, the Persistent Organic Pollutants Review Committee and other technical committees.	Internal (staff travel (\$6,000), consultants for review of linkages, development of websites and development or updating of technical guidance material (\$13,300), subcontracts (\$7,000), participants' travel (\$14,000) and translation and printing of guidance material (\$3,000)).	52 225	21 650	73 875	58 875	15 000	54 314	21 650	75 964	60 964	15 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding		
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total	BC Trust Fund	BD Trust Fund	
	joint scientific and technical documents or guidance materials as required. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$6,650 and BDTF: \$15,000 per year; Rotterdam: RO: \$6,650 and RV: \$25,000 per year; Stockholm: SC: \$7,700 and SV: \$25,000 per year; total \$20,000 and \$65,000 per year.</i>													
				Subtotal	520 450	1 440 950	1 961 400	1 346 400	615 000	681 735	1 105 050	1 786 785	1 155 785	631 000

## II. Programme and cross-cutting-support

### (a) Legal support

#### Mandate

- Articles 13 and 16 of the Convention.
- Decisions VIII/9, IX/18, IX/23, IX/25, IX/26, IX/27, IX/29, IX/30, recommendations of the Open-ended Working Group, the Expanded Bureau and the Implementation and Compliance Committee, and requests from parties.

#### Objectives

- To facilitate the operation of the Basel Convention, its organs and its Secretariat in a manner consistent with the provisions of the Convention and public international law.
- To assist parties to implement and enforce the Basel Convention at the national level.
- To enhance compliance with and implementation of the Convention.

#### Indicators of achievement

- Number of texts of national implementing legislation and subsidiary legislation that are entered on the Convention website within one month of receiving information from parties.
- All requests for general and legal policy advice and queries relating to the compliance with and implementation of the Convention are addressed within one month.
- Assistance is provided in response to all requests by parties to tackle alleged cases of illegal traffic.
- Number of notifications that are submitted by parties under Articles 3.1, 3.2 and 4.1 (a) and percentage of those that are entered on the Convention website within one month of submission by parties.
- Percentage of activities in the work programme of the Implementation and Compliance Committee that are implemented.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
6	Assist the Implementation and Compliance Committee to implement its work programme.	Activities in the work programme of the Committee implemented.	Internal/external (for 2012: consultants (\$50,000), publications (\$10,000); for 2013: consultants (\$50,000), publications (\$5,000)).	68 433	60 000	128 433	68 433	60 000	61 806	55 000	116 806	61 806	55 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
7	Implementation fund: assist developing-country parties or parties with economies in transition subject of a submission in accordance with para 9 of the terms of reference of the mechanism for promoting the implementation of and compliance with the Convention.	Assistance provided by Committee to parties in resolving implementation and compliance matters.	Internal/external (subcontracts (\$300,000)).	25 212	150 000	175 212	25 212	150 000	26 221	150 000	176 221	26 221	150 000
8	Collect, organize and provide quality control and follow-up of information transmitted by parties pursuant to Article 3 on national definitions, Article 4(1) on import prohibitions, Article 11 on agreements and Article 13 (2) on transmission of information. Collect and disseminate legislative texts and other legal materials on the Convention website.	Notifications available on Convention website in the six official languages of the United Nations, subject to availability of resources; legal information provided on the website to assist parties in developing national implementing legislation and national lists of prohibited hazardous wastes developed, updated and transmitted to the Secretariat under Article 3.	Internal (funds for translation of information transmitted by parties pursuant to Articles 3 and 4 (\$70,000)).	63 030	35 000	98 030	63 030	35 000	74 916	35 000	109 916	74 916	35 000
9	Provide general and legal policy advice; respond to parties' queries relating to the implementation of and compliance with the Convention; provide advice to parties to support them in meeting the	Advice provided on general, legal or policy questions; further legal clarity provided in accordance with the recommendations of the country-led initiative; common understanding	Internal	95 446	—	95 446	95 446	—	108 628	—	108 628	108 628	—

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	objectives of the strategic framework and the Indonesian-Swiss country-led initiative regarding effective implementation of parties' obligations; support parties in the implementation and review of decision V/32.	reached on definitions, interpretation and terminologies of wastes covered by the Convention.											
10	Assist parties in clarifying the scope of application of the Basel Convention in relation to ship recycling, in collaboration with the International Maritime Organization and the International Labour Organization.	Conference of the Parties decides whether the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, as adopted, establishes an equivalent level of control and enforcement as that established under the Basel Convention; how to clarify the scope of the Basel Convention in relation to this activity; and how to strengthen the relevant provisions on the Convention (e.g., environmentally sound management at the country level).	External/internal: funds for staff travel (\$16,000) and consultancy (\$40,000).	3 602	28 000	31 602	11 602	20 000	3 746	28 000	31 746	11 746	20 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
11	Assist parties to enforce the Convention and to combat illegal traffic.	Assistance provided at parties' request to address alleged cases of illegal traffic and differences between parties are resolved; in collaboration with other multilateral environmental agreements, other organizations (e.g., WCO, INTERPOL, UNEP, Green Customs initiative) and BCRCs, global tools and regional and subregional training activities developed.	Internal (funds for subcontracts including participants' travel, venue, consultant (\$189,000); staff travel to Green Customs initiative, BCRC and judiciary workshops (\$30,000)).  The amount of \$137,500 is available in 2012 from the European Commission and Norway.	54 026	109 500	163 526	59 026	104 500	46 822	109 500	156 322	51 822	104 500
<b>Subtotal</b>				<b>309 749</b>	<b>382 500</b>	<b>692 249</b>	<b>322 749</b>	<b>369 500</b>	<b>322 139</b>	<b>377 500</b>	<b>699 639</b>	<b>335 139</b>	<b>364 500</b>

**(b) Scientific and technical support****Mandate**

The relevant decisions of the Conference of the Parties, including decisions IX/14–IX/21 and IX/32.

**Objective**

To ensure that parties to the Convention receive capacity-building, technical and scientific information and guidance when required to enable them to deal with existing and emerging hazardous and other waste issues.

**Indicators of achievement**

1. Parties' compliance with the provisions of the Convention is strengthened.
2. Increased awareness and ability of parties to respond to existing and emerging issues.
3. Technical assistance and advice are provided promptly to parties and other stakeholders.
4. Enhancement of capacity of parties to implement the Convention through technical guidelines, manuals and other tools.

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
12	Facilitate and oversee the development of technical guidelines, manuals and tools on technical aspects of the environmentally sound management of hazardous and other wastes as reflected in the OEWG work programme.	Technical guidelines, tools and manuals on the environmentally sound management of hazardous and other wastes developed and disseminated.	Internal; staff travel (\$17,000), consultants (\$70,000) and publications (\$7,700).	45 022	47 350	92 372	92 372	—	28 093	47 350	75 443	75 443	—
13	Provide guidance and technical support to parties on technical aspects of the Convention, including technologies, illegal traffic, environmental impacts of wastes and emerging issues.	Scientific and technical advice provided.	Internal; staff travel (\$17,000).	18 009	8 500	26 509	26 509	—	18 729	8 500	27 229	27 229	—
14 (S7)	Support parties' implementation of the life-cycle approach to chemical management: update the general technical guidelines on the environmentally sound management of persistent organic pollutants waste to	Parties to the Basel and Stockholm conventions receive technical and scientific information and guidance when required to	Internal (consultant (\$6,000), subcontracts (\$17,000), participants' travel (\$10,000), translation and printing (\$2,000)).	21 610	17 500	39 110	21 610	17 500	22 475	17 500	39 975	22 475	17 500

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	include new persistent organic pollutants. <i>Proposed co-funding between two conventions: Basel: BDTF: \$17,500 per year; Stockholm SV: \$20,000 per year; total: \$37,500 per year.</i>	enable them to deal with new persistent organic pollutant wastes; parties receive policy guidance and technical information when required to assist them to manage chemicals following the life-cycle approach.											
<b>Subtotal</b>				<b>84 641</b>	<b>73 350</b>	<b>157 991</b>	<b>140 491</b>	<b>17 500</b>	<b>69 297</b>	<b>73 350</b>	<b>142 647</b>	<b>125 147</b>	<b>17 500</b>

**(c) Support to Basel Convention regional and coordinating centres****Mandate**

1. Article 14 of the Convention.
2. Relevant decisions of the Conference of the Parties, including decisions VI/-VI/4, VII/1, VII/2, VII/8, VII/9, VIII/4, VIII/33, IX/3, IX/4, IX/10 and BC.Ex-1/1.

**Objectives**

1. To enhance the capacity of the Basel Convention regional and coordinating centres (BCRCs) to provide necessary assistance to parties for the effective implementation of the Basel Convention and its strategic framework at the national and regional levels.
2. To strengthen parties' ability to manage hazardous wastes and other wastes in an environmentally sound manner to protect human health and the environment.

**Indicators of achievement:**

1. Number of projects are developed and channelled through the Secretariat.
2. Number of projects are facilitated by the Secretariat, developed and executed.

Activity number *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
15	Ensure effective and coordinated operation of BCRCs; follow up on review and strengthening of BCRCs, including implementation of the strategic framework objectives at the regional level; enhance further programmatic cooperation and coordination with the Stockholm and Rotterdam conventions, namely SCRCs, FAO and UNEP regional offices; monitor the implementation of the framework agreements and conclude negotiations on the signing of pending agreements.	Regional centres are strengthened and sustainable to assist parties in the implementation of the Basel Convention and the Rotterdam and Stockholm conventions, as appropriate; strategic framework successfully implemented in accordance with indicators; framework agreements implemented and signed; governance of BCRCs and service to parties improved.	Internal; staff travel (\$10,000) and publications (\$4,000).	21 610	7 000	28 610	28 610	—	18 729	7 000	25 729	25 729	—

Activity number *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
16 (S8)	Annual joint meetings: FAO and UNEP regional officers, directors/ coordinators of the Basel and Stockholm convention regional centres meet to exchange experiences and improve cooperation and coordination in implementing elements of technical assistance of the three conventions, enhance coordination on regional strategies and identify opportunities for the development of joint activities, in particular technical assistance and capacity-building activities. One meeting per year at a cost of \$100,000. <i>Proposed co-funding by the three conventions:</i> <i>Basel: BDTF: \$37,500 per year;</i> <i>Rotterdam: RV: \$22,500 per year;</i> <i>Stockholm: SV: \$40,000 per year;</i> <i>total: \$100,000 per year.</i>	Overview of financial requirements and regional resources in support of the delivery of the technical assistance programme improved. Coordination among the entities engaged in technical assistance at the regional levels enhanced thereby promoting coordination and collaboration of the agencies involved in implementation of the conventions at the national level.	Internal (conference services for annual meetings (\$6,400), participants' travel (\$68,600)).	36 017	37 500	73 517	36 017	37 500	28 093	37 500	65 593	28 093	37 500

Activity number *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
17 (S9)	South-South cooperation: Basel regional centres and FAO and UNEP regional centres address specific priority issues in their regions related to the Basel, Rotterdam and/or Stockholm conventions, e.g., transport, import/export, waste collection and disposal issues, and liaise with regional centres in other regions to identify and cooperate on issues of common interest such as technical, institutional and management approaches. <i>Proposed co-funding by the three conventions: Basel: BDTF: \$10,000 per year; Rotterdam: RV: \$20,000 per year; and Stockholm SV: \$20,000 per year; total: \$50,000 per year.</i>	Joint activities developed and implemented by regional centres on a bilateral or multilateral basis; practical tools for implementation of joint activities, best practices and lessons learned provided and disseminated via centres' and secretariats' websites.	Internal (subcontracts including participants' travel (\$16,000); translation and printing (\$4,000)).	21 610	10 000	31 610	21 610	10 000	24 348	10 000	34 348	24 348	10 000
<b>Subtotal</b>				<b>79 238</b>	<b>54 500</b>	<b>133 738</b>	<b>86 238</b>	<b>47 500</b>	<b>71 170</b>	<b>54 500</b>	<b>125 670</b>	<b>78 170</b>	<b>47 500</b>

**(d) Cross-cutting strategic issues****(i) Follow-up on the implementation of the strategic framework****Mandate**

Decisions of the Conference of the Parties, including decisions VIII/10, IX/3 and OEWG-VII/1.

**Objectives**

1. To ensure effective implementation of the Basel Convention, in particular, obligations related to transboundary movements of hazardous and other wastes.
2. To strengthen the environmentally sound management of hazardous and other wastes.
3. To promote the implementation of environmentally sound management of hazardous and other wastes in achieving the Millennium Development Goals, sustainable livelihoods and protection of human health and the environment.

**Indicators of achievement**

As per the objectives set out in the strategic framework 2012–2021.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
18	Coordinate and provide support as necessary to parties in implementing the strategic framework 2012–2021.	Support provided as per indicators of achievement set out in the strategic framework 2012–2021.	Internal (consultancy (\$80,000)).	23 411	40 000	63 411	23 411	40 000	28 093	40 000	68 093	28 093	40 000

(ii) **Follow-up on the implementation of the Indonesian-Swiss country-led initiative****Mandate**

Decisions IX/3, IX/25 and IX/26 of the Conference of the Parties.

**Objectives**

1. To ensure the effective implementation of the Convention, in particular, obligations related to transboundary movements of hazardous and other wastes.
2. To strengthen the environmentally sound management of hazardous and other wastes.
3. To prevent illegal traffic in hazardous and other wastes.
4. To support and promote capacity-building for parties at the national, regional and global levels.

**Indicators of achievement**

Number of certification system and standards for environmentally sound management of hazardous waste and other wastes developed.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
19	Coordinate and provide support to parties in the development of certification system and formulation of standards in relation to the Indonesian-Swiss country-led initiative.	Support provided in accordance with the agreed programme of work.	Internal (consultancy (\$40,000)).	7 203	20 000	27 203	7 203	20 000	7 492	20 000	27 492	7 492	20 000
<b>Subtotal</b>				<b>30 615</b>	<b>60 000</b>	<b>90 615</b>	<b>30 615</b>	<b>60 000</b>	<b>35 585</b>	<b>60 000</b>	<b>95 585</b>	<b>35 585</b>	<b>60 000</b>

### III Technical assistance and capacity-building

#### (a) Partnerships

##### Mandate

Decisions VIII/5 and annex, VIII/6, IX/7 and IX/9 of the Conference of the Parties.

##### Objectives

1. To establish effective partnerships that address in innovative ways emerging issues for the environmentally sound management of waste or other stated priorities of the Conference of the Parties to Convention.
2. To establish partnerships that provide for the meaningful engagement of stakeholders in a forum aimed at enhancing and facilitating the sharing of experiences on emerging issues and priorities of the parties.

##### Indicators of achievement

1. Number of BCRCs actively engaged in partnerships.
2. Number of stakeholders participating in partnership activities, including developing countries and countries with economies in transition.
3. Number of partnership-related information and products distributed and number of partnership website hits.
4. Number of Convention-related information and products that are introduced and taken up by related partnerships organized by other multilateral environmental agreements, international institutions and stakeholder groups.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
20	Serve as secretariat of the Partnership for Action on Computing Equipment (PACE) and facilitate the operation and activities of PACE.	Support for PACE meetings provided; guidelines tested and revised; pilot projects in developing countries and countries with economies in transition initiated; outreach material developed and partnership output widely disseminated; impact assessment initiated.	Internal/external; staff travel (\$10,000); consultancies (\$100,000), two face-to-face meetings (\$140,000), outreach material development and dissemination, pilot country assessments awareness-raising workshops (\$300,000).	30 615	275 000	305 615	55 615	250 000	22 475	275 000	297 475	47 475	250 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
21	Facilitate the involvement of international organizations and donors in the dissemination of the used lead acid batteries (ULAB) partnership concept from the Central American region through BCRCs in other regions, e.g., Asia and the Pacific and Africa.	Current information on ULAB generation, transboundary movements, management practices, common and special issues, and opportunities for improvement in each of the subregions assessed and documented by BCRCs; regional management strategies for ULAB in Asia and the Pacific and African subregions established for coordinated implementation in pilot countries; pilot or demonstration projects in the implementation of subregional strategies for the environmentally sound management of ULAB implemented in each subregion by partnership members.	Activity discontinued under zero nominal growth budget scenario.										

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
22	Provide Convention-related input into global partnerships organized and facilitated by other multilateral environmental agreements, international organizations and stakeholders, in particular, the PCBs Elimination Network, the used lead-acid batteries partnership, the UNEP Chemicals mercury, lead and cadmium partnerships, the UNEP Global Platform on Waste Management, ITU Study Group Environment and Measurement for ICT Development, WHO treated nets life-cycle initiative, Solving the E-waste Initiative (STEP), Green Lead Initiative, health-care waste cooperation, United Nations Framework Convention on Climate Change Clean Development Mechanism Partnership, World Bank and regional development banks cooperative activities on waste-related projects.	Active participation and technical input provided on Convention-related issues in meetings, activities and programmes of up to 15 global partnerships.	Internal; staff travel (\$40,000).	54 026	20 000	74 026	74 026	—	56 187	20 000	76 187	76 187	—

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
23 (S4)	Develop strategic partnerships with other multilateral environmental agreements, such as for the management and disposal of ozone-depleting substances, persistent organic pollutants and other hazardous substances. <i>Proposed co-funding by two conventions: Basel: BDTF: \$100,000 per year; and Stockholm: SV: \$100,000 per year; total: \$200,000 per year.</i>	Legal and procedural issues classified at the national level; capacity in dealing with the destruction of ozone-depleting substances and persistent organic pollutants developed; disposal operations carried out for ozone-depleting substances, persistent organic pollutant wastes and other hazardous chemicals by joint management and disposal approach.	Internal (staff travel (\$10,000)), subcontracts including participants' travel (\$180,000), translation and printing (\$10,000).	7 203	100 000	107 203	7 203	100 000	1 873	100 000	101 873	1 873	100 000
<b>Subtotal</b>				<b>91 844</b>	<b>395 000</b>	<b>486 844</b>	<b>136 844</b>	<b>350 000</b>	<b>80 535</b>	<b>395 000</b>	<b>475 535</b>	<b>125 535</b>	<b>350 000</b>

**(b) Priority waste streams****(i) Electrical and electronic wastes in Africa, Asia and the Pacific, Latin America and countries with economies in transition****Mandate**

1. Nairobi Declaration on the Environmentally Sound Management of Electrical and Electronic Waste.
2. Decisions VIII/2 and IX/6 of the Conference of the Parties.

**Objectives**

1. To promote the environmentally sound management of electrical and electronic waste, in Africa, Asia and the Pacific, and Latin America, to protect the environment and human health, in particular, vulnerable groups (women and children), as well as to provide economic opportunities.
2. To equip parties with tools to enable them to tackle the growing problem and impacts of e-waste, including imports of hazardous e-waste.

**Indicators of achievement**

1. Funds mobilized for external projects with substantial input from the Secretariat:
  - a. Total;
  - b. For the work of the Basel Convention regional and coordinating centres;
  - c. For other external projects.
2. Training activities and workshops for which Secretariat provides resources undertaken:
  - a. Number of participants;
  - b. Number of parties involved;
  - c. Level of participant satisfaction.
3. Number of publications distributed and number of website hits.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
24	Develop global e-waste information network; establish coordinating group for capacity-building on e-waste for actors involved in e-waste activities at the global, regional and national levels, harmonize e-waste information management system and	Regional database on e-waste standardized with regard to types of information and structure; subregional database for each BCRC in the region established or updated and harmonized; use of database for the	Activity discontinued under zero nominal growth budget scenario.										

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	establish network in the pilot Asia and the Pacific region.	environmentally sound management of e-waste programme or project development demonstrated in each subregion; coordination improved and duplication of efforts on e-waste activities in the pilot region avoided; synergies identified and cooperation established for introduction of the global e-waste information network in other regions.											
25	Assist parties with the development of national inventories, national e-waste management plans and formulation of e-waste policies, regulatory frameworks and strategies for implementation; develop pilot projects on collection and recycling involving public-private partnerships in Asia and the	All national inventories in the region completed to provide a basis for each party to take strategic actions or develop a strategic plan; national environmentally sound management plans or strategies on e-waste developed in at least three countries; at least one pilot	Project management, consultancy and projects (\$400,000), funding received from Japan.	—	250 000	250 000	—	250 000	—	150 000	150 000		150 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	Pacific.	public-private partnership in economically and environmentally sound collection, recycling and disposal established in each participating country in the region.											
26	Facilitate the implementation of follow-up activities on the project on “implementing the Nairobi Declaration in Africa: building local capacity to address the flow of e-wastes and e-equipment destined for reuse through the sustainable management of resources and the recovery of materials” in partner countries, with financial support from the European Community; facilitate regional collaboration in the global e-waste information network.	1. Institutional and legal capacities of partner countries are enhanced and sufficient for environmentally sound management of e-waste; 2. Successful introduction of at least three business models ensuring environmentally sound management of e-waste, 3. Awareness-raising campaigns on hazards of environmentally unsound practices for e-waste recycling.	External (European Commission co-funded project ends in 2012), external (consultancy for network collaboration in 2013) and internal (staff travel in 2013)).	12 606	300 000	312 606	12 606	300 000	13 110	30 000	43 110	13 110	30 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
27	Facilitate in cooperation with BCRCs, regional development banks and other co-funding partners the development of pilot projects on the environmentally sound management of e-waste in Latin America and countries with economies in transition and collaboration of the regions in the global e-waste information network.	Inventory reports produced. Pilot projects implemented.	Internal/external (staff travel (\$10,000) and consultants (\$60,000)).	9 004	35 000	44 004	9 004	35 000	9 364	35 000	44 364	9 364	35 000
<b>Subtotal</b>				<b>21 610</b>	<b>585 000</b>	<b>606 610</b>	<b>21 610</b>	<b>585 000</b>	<b>22 475</b>	<b>215 000</b>	<b>237 475</b>	<b>22 475</b>	<b>215 000</b>

(ii) **Persistent organic pollutant wastes and other hazardous wastes****Mandate**

Decisions VI/1, VII/I, VII/3, VIII/33 and VIII/34 of the Conference of the Parties.

**Objectives**

1. To promote the environmentally sound management of persistent organic pollutant wastes through the coordinated implementation of the Basel and Stockholm conventions with particular focus on protecting the health of vulnerable groups, including women.
2. To enhance efforts to explore and pursue opportunities for activities associated with implementation of the Convention to be funded by the Global Environment Facility (GEF), consistent with the GEF mandate, focal area operational programmes and strategic priorities.

**Indicators of achievement**

1. Funds mobilized for external projects with substantial input from the secretariat:
  - a. Total;
  - b. For the work of the Basel Convention regional and coordinating centres;
  - c. For joint projects with the Stockholm Convention, d. for other external projects.
2. Number of projects facilitated by the Secretariat and developed and executed by Basel Convention regional and coordinating centres.
3. Number of publications distributed and number of website hits.

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
28	Collaborate with GEF implementing agencies and BCRCs in the development and implementation of persistent organic pollutant waste-related projects in Africa, Asia and the Pacific, Latin America and countries with economies in transition, in particular, projects on PCB management in Southern African Development Community, Latin America	Environmentally sound management of persistent organic pollutant waste and Basel Convention provisions considered as integral part during planning and implementation for projects co-funded by GEF.	Internal/external. Co-executed by BCRCs and other regional agencies, with technical and institutional support from SBC (staff travel).	10 805	5 000	15 805	10 805	5 000	9 364	5 000	14 364	9 364	5 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	and the Caribbean and Asia, and management of obsolete persistent organic pollutants and other hazardous chemicals in Central America and the Caribbean.												
29	Assist BCRCs in developing and implementing projects on segregation and treatment of hazardous biomedical and health-care wastes using the technical guidelines on the environmentally sound management of biomedical and health-care wastes and other relevant publications.	Project development and implementation facilitated with BCRCs and WHO; results of pilot projects carried out in the regions disseminated.	Internal/external. Co-executed by BCRCs and other regional agencies, with technical and institutional support from the Secretariat (staff travel) and joint clearing-house mechanism.	3 602	5 000	8 602	3 602	5 000	3 746	5 000	8 746	3 746	5 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
30	Assist BCRCs in developing and undertaking pilot national plans for the environmentally sound management of used oils in the regions using the technical guidelines on used oil and other relevant publications.	Public-private partnership for the environmentally sound management of used oils established; national plans implemented in the context of the regional strategy; results of pilot projects carried out in the regions disseminated.	Internal/external. Co-executed by BCRCs and other regional agencies, with technical and institutional support from the Secretariat (staff travel) and joint clearing-house mechanism.	10 805	5 000	15 805	10 805	5 000	11 237	5 000	16 237	11 237	5 000
<b>Subtotal</b>				<b>25 212</b>	<b>15 000</b>	<b>40 212</b>	<b>25 212</b>	<b>15 000</b>	<b>24 348</b>	<b>15 000</b>	<b>39 348</b>	<b>24 348</b>	<b>15 000</b>

(iii) **Dismantling of ships****Mandate**

Decisions V/28, VI/24, VII/26, VII/3, VIII/11 and IX/30 of the Conference of the Parties.

**Objectives**

1. To minimize the harmful consequences on human health and the environment of ship dismantling by promoting safe and environmentally sound ship dismantling practices, including the environmentally sound disposal of hazardous waste and other wastes generated by ship dismantling.
2. To clarify what control mechanisms are applicable under the Convention to ships destined for dismantling.

**Indicators of achievement**

1. Effective and collaborative working relationships are established with IMO and ILO and outputs from cooperative activities promoting environmentally sound ship dismantling provide added value.
2. The scope of operation of the Convention in relation to ship dismantling, following the adoption of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships in 2009 is clarified.

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
31	Develop a feasibility study to identify cost-effective alternatives to the beaching method of ship dismantling; provide technical expertise to assist countries involved in ship dismantling to develop downstream capacity for the environmentally sound management of hazardous materials; facilitate exchanges of expertise and experiences between relevant stakeholders to promote safe and environmentally sound ship dismantling.	1. Case study, feasibility study, guidance and training materials developed and disseminated to parties; 2. Linkages established between relevant stakeholders. <b>(Two outputs eliminated as compared to the zero real growth budget scenario).</b>	Internal/external (funds for retention of consultants/ technical experts to develop feasibility study, etc.; funds for travel of staff and technical experts).	5 403	150 000	155 403	5 403	150 000	5 619	500 000	505 619	5 619	500 000
<b>Subtotal</b>				<b>5 403</b>	<b>150 000</b>	<b>155 403</b>	<b>5 403</b>	<b>150 000</b>	<b>5 619</b>	<b>500 000</b>	<b>505 619</b>	<b>5 619</b>	<b>500 000</b>

(iv) **Mercury wastes****Mandate**

Decision IX/7 in support of the Global Mercury Partnership.

**Objectives**

To promote environmentally sound management of mercury wastes taking into account vulnerable populations, local needs and conditions.

**Indicators of achievement**

1. Funds mobilized for Secretariat activities and external projects with substantial input from the Secretariat: a. total, b. for work of Basel Convention regional and coordinating centres, c. for other external projects.
2. Number of projects facilitated by the Secretariat, and developed and executed by Basel Convention regional and coordinating centres.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
32	Assist BCRCs in all regions in developing pilot activities to promote the implementation of the technical guidelines on the environmentally sound management of mercury waste at the national and subregional levels. Provide technical support for mercury projects undertaken by other entities (e.g., UNEP Chemicals).	Training materials, based on the technical guidelines developed and disseminated; training workshops conducted by BCRCs; pilot activities to test the technical guidelines developed.	Internal (staff travel (\$5,000)) and external (pilot projects).	21 610	505 000	526 610	21 610	505 000	22 475	505 000	527 475	22 475	505 000
<b>Subtotal</b>				<b>21 610</b>	<b>505 000</b>	<b>526 610</b>	<b>21 610</b>	<b>505 000</b>	<b>22 475</b>	<b>505 000</b>	<b>527 475</b>	<b>22 475</b>	<b>505 000</b>

**(c) Implementation of Convention-related tools to strengthen the legal system, enforcement and competent authorities at the regional and national levels****Mandate**

1. Article 16 of the Convention.
2. Decisions VIII/24 and VIII/26 of the Conference of the Parties.

**Objectives**

To enhance parties' capacity to enforce the provisions of the Convention on the monitoring and control of transboundary movements of hazardous waste, including through the coordinated implementation of the multilateral environmental agreements on hazardous wastes and chemicals.

**Indicators of achievement**

1. Funds mobilized for Secretariat activities and external projects with substantial input from the secretariat: a. total, b. for work of Basel Convention regional and coordinating centres, c. for other external projects.
2. Number of projects facilitated by the Secretariat, and developed and executed by Basel Convention regional and coordinating centres.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
33	Implement pilot projects through BCRCs for the use and implementation of Convention-related tools to strengthen the legal system, enforcement and competent authorities at the national and regional levels in Africa, Asia and the Pacific, Latin America and countries with economies in transition.	In the selected pilot countries: gaps and needs analyses developed; norms and regulations drafted; training activities undertaken for enforcement agencies and environmental authorities; awareness-raising activities carried out; Ban Amendment implementation strengthened.	External (funds for memorandums of understanding, consultants and staff travel).	21 610	1 000 000	1 021 610	21 610	1 000 000	22 475	1 000 000	1 022 475	22 475	1 000 000
<b>Subtotal</b>				<b>21 610</b>	<b>1 000 000</b>	<b>1 021 610</b>	<b>21 610</b>	<b>1 000 000</b>	<b>22 475</b>	<b>1 000 000</b>	<b>1 022 475</b>	<b>22 475</b>	<b>1 000 000</b>

**(d) Joint technical assistance and capacity-building programmes with the Rotterdam and Stockholm conventions****Mandate**

Synergies decisions (BC-IX/10, RC-4/11, SC-4/34); omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1).

**Objectives**

1. To build the capacity of the focal points and designated authorities to collect the information needed to enable parties to meet their obligations relevant to the Basel, Rotterdam and Stockholm conventions.
2. To facilitate a coordinated information collection and reporting platform at the national level.

**Indicators of achievement**

1. Training activities and workshops for which Secretariat provides resources:
  - a. Number of participants;
  - b. Number of parties involved;
  - c. Level of participant satisfaction.
2. Number of publications distributed and number of website hits.
3. Funds mobilized for external projects with substantial input from the secretariat for joint projects with the Rotterdam Convention and/or the Stockholm Convention.

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
34 (S2)	Capacity-building programmes: develop and deliver training programmes based on needs identified at the regional level regarding resource mobilization; establish network of regional experts on capacity development; provide training on the use of technical and policy guidance; legal issues. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$6,650 and BDTF: \$115,000 per year; Rotterdam RO: \$6,700 and RV: \$100,000; and</i>	Parties trained to meet their obligations under the Convention.	Internal (staff travel (\$13,300), subcontracts (\$227,000), translation and printing (\$3,000)).	57 628	121 650	179 278	64 278	115 000	41 204	121 650	162 854	47 854	115 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	<i>Stockholm: SC: \$6,650 and SV: \$120,000 per year; total: \$20,000 and \$335,000 per year.</i>												
35 (S1)	Develop and upgrade toolkit and electronic training tools, such as the tools for persistent organic pollutant waste and industrial chemicals, and make available online. <i>Proposed co-funding by the three conventions: BCTF: \$8,400 and BDTF: \$82,500 per year; Rotterdam RO: \$8,300 and RV: \$100,000 per year; and Stockholm SC: \$8,300 SV: \$132,500; total: \$25,000 and \$315,000 per year.</i>	Tools developed and updated as appropriate; parties enabled to review and update their strategies for identifying stockpiles, products and articles in use that contain or are contaminated with new persistent organic pollutants; and national programmes on industrial chemicals developed.	Internal (funds for staff travel (\$16,000), consultants on the development of updated training materials (\$32,800), subcontracts including participants' travel (\$115,000), and translation and printing (\$18,000)).	23 411	90 900	114 311	31 811	82 500	14 983	90 900	105 883	23 383	82 500
36 (S3)	Develop and implement synergies capacity-building projects for the development of national institutional frameworks for the implementation of the conventions, provide technical assistance through the regional centres and in cooperation and coordination with subregional offices of FAO and	National chemicals management programmes developed, such as systems for the control of exports and imports of hazardous chemicals and wastes; draft legal and/or administrative regulations or	Internal (funds for staff travel (\$52,000), consultants on the development of updated training materials (\$74,950) subcontracts including participants' travel (\$380,000), and translation and printing (\$31,000)).	48 623	268 150	316 773	57 773	259 000	41 204	269 800	311 004	52 004	259 000

Activity No.*	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	UNEP. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$9,150 in 2012 and \$10,180 in 2013 and BD: \$259,000 per year; Rotterdam: RO: \$9,200 in 2012 and \$10,800 in 2013 and RV: \$144,000 per year; Stockholm: SC \$9,150 in 2012 and \$10,900 in 2013 and SV: \$269 000 per year; total: \$27,500 in 2012, \$32,500 in 2013 and \$672,000 per year.</i>	a plan for putting them in place developed; partnerships and coordination at the national level among key stakeholders in the chemicals and waste management sector, including designated national authorities, national focal points and official contact points developed; capacity to manage chemicals and waste by participating parties improved; capacity to implement the conventions strengthened.											
			<b>Subtotal</b>	<b>129 662</b>	<b>480 700</b>	<b>610 362</b>	<b>153 862</b>	<b>456 500</b>	<b>97 391</b>	<b>482 350</b>	<b>579 741</b>	<b>123 241</b>	<b>456 500</b>

#### IV. Knowledge management and outreach

##### (a) Management and circulation of information submitted by parties in accordance with their obligations under the Convention

##### (i) National reporting

###### Mandate

1. National reporting: paragraph 3 of Article 13 and 1 (b) of Article 16.
2. Decision VIII/14.

###### Objective

Further to develop and maintain a comprehensive information source on the control and disposal of transboundary movements of hazardous and other wastes.

###### Indicators of achievement

1. Enhanced quality of data and information on the control and disposal of transboundary movements of hazardous and other wastes.
2. Increased number of parties complying with reporting requirements.
3. Improved accessibility to data and information through the online reporting database of the Convention.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
37	Coordinate, follow up on and compile the annual data and information transmitted by parties.	Compilations of data and information made available on the Convention website; reports sent to the Conference of the Parties.	Internal	36 017	—	36 017	36 017	—	46 822	—	46 822	46 822	—
38	Enter data and information in the reporting database; translate the content of national reports into English, if transmitted in any official language of the United Nations other than English, and enter those translated texts in the reporting database.	Up-to-date and high-quality data, including English translation of data that was reported in other languages, made available in the database.	Internal; information systems (\$40,000) and translation (\$80,000).	120 658	65 000	185 658	140 658	45 000	125 484	65 000	190 484	145 484	45 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
39	Enhance parties' ability to comply with the national reporting obligations under the Convention.	Guidance provided to parties on reporting issues; annual regional workshops organized through BCRCs or by other appropriate means to provide training to parties in need of assistance to meet their reporting obligations.	Internal; organization of workshops (\$100,000) <b>Funding available from the European Commission (\$80,000).</b>	36 017	100 000	136 017	36 017	100 000	37 458	100 000	137 458	37 458	100 000
40 (S15)	National reporting: revise the reporting systems of the Basel and Stockholm conventions and identify possible areas for streamlining; analyse the electronic reporting systems in place, define alternatives to improve them and implement the required adaptations to harmonize national reporting; develop guidance document for information collection and reporting; organize regional capacity-building workshops on national reporting for 2012 and 2013. <i>Proposed co-funding by two conventions: Basel: BDTF:</i>	Number of reports submitted to both conventions increased; system developed to support national reporting under both conventions in an integrated way; capacity-building workshops convened in 2013; coordinated and simultaneous information collection mechanism from relevant government	Internal; staff travel (\$10,000), consultants (\$30,000), subcontracts (\$55,000).	27 013	45 000	72 013	27 013	45 000	28 093	50 000	78 093	28 093	50 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	<i>\$45,000 in 2012 and \$50,000 in 2013; and Stockholm: SV: \$45,000 in 2012 and \$50,000 in 2013; total: \$90,000 in 2012 and \$100,000 in 2013.</i>	agencies for both conventions established; detailed high-quality information reported ensuring better results for national reporting processes; national mechanism for reporting relating to multilateral environmental agreements on chemicals and wastes established.											
<b>Subtotal</b>				<b>219 705</b>	<b>210 000</b>	<b>429 705</b>	<b>239 705</b>	<b>190 000</b>	<b>237 858</b>	<b>215 000</b>	<b>452 858</b>	<b>257 858</b>	<b>195 000</b>

**(b) Publications, public information, outreach and clearing-house mechanism****Mandate**

1. Article 16 (g) of the Convention.
2. Synergies decisions, paragraphs 2 and 3 of section I A, paragraph 4 of section III A & B; omnibus decisions, section III.

**Objectives**

To raise awareness and provide information about the Convention so that it is understood to be the global instrument to regulate the transboundary movements of hazardous and other wastes and to ensure the environmentally sound management of such wastes.

**Indicators of achievement**

1. Continually increasing interest and understanding by parties, media, non-governmental organizations and the public of the aims and programmes of the Convention.
2. Quantified and qualified overall client satisfaction through feedback to the Secretariat about its information products.
3. Number of publications distributed.
4. Number of website hits.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
41 (S10)	Develop cross-cutting information packages and a joint clearing-house mechanism, maintain and continue the development of the conventions' websites. <i>Proposed co-funding by the three conventions:</i> Basel: BCTF: \$28,000 and BDTF: \$37,600 per year; Rotterdam: RO: \$28,000 and RV: \$50,400 and Stockholm: SC: \$28,000 and SV: \$39,800 per year; total \$84,000 and \$127,500 per year.	Quality of information improved and access to electronic information and reporting mechanism increased.	Internal (consultants (\$113,200), subcontracts (\$6,000), software licenses (\$5,000), non-expendable equipment (\$5,000) and translation and printing (\$2,000)).	115 255	65 600	180 855	143 255	37 600	119 866	65 600	185 466	147 866	37 600

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
42 (S11)	Joint information technology services: provide joint services, including hardware, software, network administration, e-mail, fax, internet, security and helpdesk. <i>Proposed co-funding by the three conventions: Basel BC: \$31,500 and BD: \$25,000 per year; Rotterdam: RO: \$31,500 per year and RV: \$25,000 per year and Stockholm: SC: \$31,500 per year and SV: \$30,000 per year; total: \$94,500 and \$80,000 per year.</i>	Outputs envisaged under the three conventions' programmes of work for 2012–2013 delivered effectively and efficiently by the secretariats.	Internal	36 017	56 500	92 517	67 517	25 000	37 458	56 500	93 958	68 958	25 000
43 (S13)	Joint outreach and public awareness: develop outreach materials, e.g., audiovisual materials, posters, newsletters, leaflets, brochures exhibitions, press briefings, press releases and activities relevant to the Safe Planet Campaign. <i>Proposed co-funding by the three conventions: Basel: BD: \$22,000; Rotterdam: RV: \$31,000 per year; and Stockholm: SV: \$38,000 per year; total: \$91,000 per year. In addition,</i>	Support provided for the implementation of the conventions through the preparation of outreach material, including printing and publications to raise awareness of the Basel, Rotterdam and Stockholm conventions.	Internal (subcontracts).	237 714	97 000	334 714	237 714	97 000	230 367	97 000	327 367	230 367	97 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	<i>\$75,000 from BD per year to cover the "Vital Waste Graphics IV" publications.</i>												
44 (S14)	Publications: produce and disseminate various legal and technical publications, including reprinting and developing new publications. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$19,150 per year; Rotterdam: RV: \$19,500 per year and Stockholm: SC: \$19,200 per year; total: \$57,850 per year.</i>	Support provided for the implementation of the conventions through the preparation of publications, in terms of both translation and printing.	Internal: (reprinting and development of legal and technical publications (\$38,300)).	75 636	19 150	94 786	94 786	-	59 933	19 150	79 083	79 083	-
45	Organize non-governmental organization workshop at the eleventh meeting of the Conference of the Parties, in 2013.	Attendance at meetings of the Conference of the Parties and awareness of the Convention by the non-governmental organization community increased.	Internal (funds required for travel of 15 participants and production of information material).	—	—	—	—	—	3 746	50 000	53 746	3 746	50 000
<b>Subtotal</b>				<b>464 623</b>	<b>238 250</b>	<b>702 873</b>	<b>543 273</b>	<b>159 600</b>	<b>451 369</b>	<b>288 250</b>	<b>739 619</b>	<b>530 019</b>	<b>209 600</b>

## V. Executive direction, management and strategic planning

### Mandate

1. The Secretariat's functions as mandated by Article 16 of the Convention.
2. Relevant decisions of the Conference of the Parties and its subsidiary bodies.

### Objectives

1. To ensure an efficient, effective and timely delivery of the Secretariat's programme of work for 2012–2013.
2. Increased amount of positive feedback from parties, signatories and others on the substance and organizational support provided by the Secretariat.
3. To ensure the provision of sufficient resources in the Technical Cooperation Trust Fund of the Convention to support the implementation of the strategic framework and travel of eligible participants to meetings of the Conference of the Parties.

### Indicators of achievement

1. Number and percentage of projects completed in the planned time frame:
  - a. Total;
  - b. Per United Nations region.
2. Objective of the completed project achieved (achieved in full, partially achieved, not achieved).
3. Funds mobilized for Secretariat activities:
  - a. Total;
  - b. For joint activities with the Rotterdam and/or Stockholm conventions.
4. Budget allocated versus expenditure (for the BC Trust Fund).
5. Budget allocated versus expenditure (for the BD Trust Fund).
6. Number of meetings attended by the Secretariat: a. Total; b. On behalf of the three conventions.

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
46	Provide overall management of the Secretariat ensuring coherence in its work and responsiveness to the parties' needs and instructions; coordinate the implementation of the programme of work and budget adopted by the Conference of the Parties; plan the distribution and	Outputs envisaged in the programme of work for 2012–2013 delivered efficiently and effectively by the Secretariat; decisions of the Conference of the Parties on cooperation and coordination among the Basel, Rotterdam and Stockholm conventions requiring action	Internal (publications (\$6,000)).	216 104	3 000	219 104	219 104	—	224 748	3 000	227 748	227 748	—

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	coordination of tasks with the units and other secretariats; organize and convene Secretariat meetings; manage and supervise staff, including staff training and development.	from the Secretariat implemented.											
47	Enhance cooperation and coordination with other multilateral environmental agreements and intergovernmental organizations on issues of common concern.	Effective and collaborative relationships with other institutions established and enhanced and value added by outputs from cooperative activities; delivery of technical assistance and capacity-building coordinated and complementary to that of other organization; links with high-profile initiatives forged and waste issues integrated into national, regional and international agenda; collaboration with other agencies, non-governmental organizations, intergovernmental organizations and the private sector increased.	Internal (staff travel (\$30,000)).	154 874	15 000	169 874	169 874	—	151 705	15 000	166 705	166 705	—

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
48	Contribute to continuing discussions on United Nations system-wide coherence and related activities on wastes at Headquarters and UNEP; participate in meetings of relevant bodies such as the conferences of the parties to related multilateral environmental agreements, UNEP Governing Council, United Nations Conference on Sustainable Development, Commission on Sustainable Development, World Trade Organization, WHO; participate in meetings of relevant bodies, steering and expert groups and projects such as: Chemicals Branch project on cost of inaction, Chemicals Branch expert group on technical guidelines for the development of legal and institutional framework for putting chemicals	Decisions of the Conference of the Parties to the Convention taken into account by the relevant UNEP bodies, steering and expert groups and projects.	Internal (staff travel (\$40,000) and consultancy on economic incentives for introducing environmentally sound e-waste management systems (\$70,000)).	126 060	55 000	181 060	146 060	35 000	132 976	55 000	187 976	152 976	35 000

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	on the market, Chemicals Branch project on global chemicals outlook, Strategic Approach emerging issues groups on chemicals in products and on e-products, Economics and Trade Branch follow-up activities to the UNEP green economy report (waste chapter), consultative process on financing options for chemicals and wastes.												
49	Provide financial management and administration of the Secretariat.	Secretariat's financial and administrative functions delivered effectively and efficiently.	Internal (staff costs).	108 052		108 052	108 052		103 009	—	103 009	103 009	
50	Raise funds for activities under the Technical Cooperation Trust Fund.	Secured funding for planned activities for the biennium increased.	Internal (staff costs).	19 810		19 810	19 810		24 348		24 348	24 348	
51 (S5)	Work with regional liaison offices in Latin America and the Caribbean, Africa, Central and Eastern Europe and Asia in collaboration with key partners: coordinate the	Technical assistance delivered at the regional and national levels.	External. Funded via UNEP.	9 004	—	9 004	9 004	—	9 364	—	9 364	9 364	—

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	delivery of technical assistance and capacity-building programmes at the regional and national levels to ensure the optimal use of resources to benefit the parties and a synergistic approach to chemicals management at the national level. The programme will be undertaken in conjunction with UNEP and the Rotterdam and Stockholm conventions and the Division of Technology, Industry and Economics/ Strategic Approach and will be coordinated through chemicals cluster managers to be placed in the UNEP regional offices.												
52 (S16)	Resource mobilization: develop and implement joint resource mobilization and fund-raising strategies; perform donor and partner profiling; undertake monitoring and reporting in	Ratio of secure funding increased in relation to projects presented to donors.	Internal (staff travel (\$30,000)).	23 411	15 000	38 411	38 411	—	9 364	15 000	24 364	24 364	—

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
	compliance with donor requirements; hold meetings with donors. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$15,000 per year; Rotterdam: RO: \$15,000 per year; and Stockholm: SC: \$15,000 per year; total: \$45,000 per year.</i>												
53 (S17)	Review arrangements: develop questionnaire, compile responses, and prepare report for publishing on respective websites in 2012. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$10,350 in 2012; Rotterdam: RO: \$10,300 in 2012; and Stockholm: SC: \$10,350 in 2012; total: \$31,000 in 2012.</i>	Support ensured for the review arrangements process.	Internal (consultant (\$5,350) and translation and publishing of the report (\$5,000)).	18 009	10 350	28 359	28 359	—	9 364	—	9 364	9 364	—
<b>Subtotal</b>				<b>675 324</b>	<b>98 350</b>	<b>773 674</b>	<b>738 674</b>	<b>35 000</b>	<b>664 879</b>	<b>88 000</b>	<b>752 879</b>	<b>717 879</b>	<b>35 000</b>

## Office equipment and services

Activity No. *	Activities	Expected outputs	Method of implementation internal/external	2012			Source of funding		2013			Source of funding	
				Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund	Staff costs	Non-staff costs	Total costs	BC Trust Fund	BD Trust Fund
54	Procure office equipment, including computer software and hardware, required licenses, furniture and supplies; rent and maintain equipment, including photocopiers and communications equipment; cover costs of office rental, electricity, cleaning and communication.	Outputs envisaged under the programme of work for 2012–2013 delivered effectively and efficiently by the Secretariat.	Internal/external.		233 500	233 500	233 500	—		233 500	233 500	233 500	—
<b>Subtotal</b>				—	<b>233 500</b>	<b>233 500</b>	<b>233 500</b>	—	—	<b>233 500</b>	<b>233 500</b>	<b>233 500</b>	—
<b>Total</b>				<b>2 701 296</b>	<b>5 922 100</b>	<b>8 623 396</b>	<b>4 067 796</b>	<b>4 555 600</b>	<b>2 809 348</b>	<b>5 607 500</b>	<b>8 416 848</b>	<b>3 815 248</b>	<b>4 601 600</b>

Note: \* S indicates synergy activity; these activities are reflected in the programme budget for the biennium 2012–2013 of the Stockholm Convention and are expected to be reflected in the budget of the Rotterdam Convention. A synergistic approach will be promoted in implementing all relevant activities set out in the budget.

## Annex I (a)

### Programme budget for 2012–2013 based on maintaining the average annual operational budget at the 2009–2011 level in nominal terms

#### Summary table of costs and requirements

	2012			2013		
	BC Trust Fund	BD Trust Fund	Total	BC Trust Fund	BD Trust Fund	Total
<b>I Conferences and meetings</b>	1 346 400	615 000	1 961 400	1 155 785	631 000	1 786 785
<b>Subtotal</b>	1 346 400	615 000	1 961 400	1 155 785	631 000	1 786 785
<b>II Programme and cross-cutting support</b>						
a) Legal support	322 749	369 500	692 249	335 139	364 500	699 639
b) Scientific and technical support	140 491	17 500	157 991	125 147	17 500	142 647
c) Support to Basel Convention regional and coordinating centres	86 238	47 500	133 738	78 170	47 500	125 670
d) Cross-cutting strategic issues	30 615	60 000	90 615	35 585	60 000	95 585
<b>Subtotal</b>	580 092	494 500	1 074 592	574 041	489 500	1 063 541
<b>III Technical assistance and capacity-building</b>						
a) Partnerships	136 844	350 000	486 844	125 535	350 000	475 535
b) Priority waste streams						
i. Electrical and electronic wastes in Africa, Asia and the Pacific, Latin America and countries with economies in transition	21 610	585 000	606 610	22 475	215 000	237 475
ii. Persistent organic pollutant wastes and other hazardous wastes	25 212	15 000	40 212	24 348	15 000	39 348
iii. Dismantling of ships	5 403	150 000	155 403	5 619	500 000	505 619
iv. Mercury wastes	21 610	505 000	526 610	22 475	505 000	527 475
c) Implementation of Convention-related tools to strengthen the legal system, enforcement and competent authorities at the regional and national levels	21 610	1 000 000	1 021 610	22 475	1 000 000	1 022 475
d) Joint technical assistance and capacity-building programmes with the Rotterdam and Stockholm conventions	153 862	456 500	610 362	123 241	456 500	579 741
<b>Subtotal</b>	386 152	3 061 500	3 447 652	346 166	3 041 500	3 387 666

	2012			2013		
	BC Trust Fund	BD Trust Fund	Total	BC Trust Fund	BD Trust Fund	Total
<b>IV Knowledge management and outreach</b>						
a) Management and circulation of information submitted by parties in accordance with their obligation under the Convention	239 705	190 000	429 705	257 858	195 000	452 858
b) Publications, public information, outreach and clearing-house mechanism	543 273	159 600	702 873	530 019	209 600	739 619
<b>Subtotal</b>	782 978	349 600	1 132 578	787 877	404 600	1 192 477
<b>V Executive direction, management and strategic planning</b>	738 674	35 000	773 674	717 879	35 000	752 879
<b>VI Office equipment and services</b>	233 500	—	233 500	233 500	—	233 500
<b>Programme requirements</b>	4 067 796	4 555 600	8 623 396	3 815 248	4 601 600	8 416 848
<b>Programme support costs (13 per cent)</b>	528,813	592 228	1 121 041	495 982	598 208	1 094 190
<b>Total programme requirements</b>	4 596 609	5 147 828	9 744 437	4 311 230	5 199 808	9 511 038

## Annex I (b)

**Programme budget for 2012–2013 funded from the Basel Convention Trust Fund (BC Trust Fund):  
maintaining the average annual operational budget at the 2009–2011 level in nominal terms**

	Programme budget approved by the Conference of the Parties at its ninth meeting				Programme budget proposed for 2012–2013		
	2009	2010	2011	Triennium 2009–2011	2012	2013	Biennium 2012–2013
<b>10 Personnel component</b>							
<i>1100 Professional staff</i>							
1102 Executive Secretary (D-1)	235 900	245 336	255 149	736 385	273 416	284 353	557 769
1103 Chief, Convention Services and Governance Unit (P-5)	208 800	217 152	225 838	651 790	244 088	253 852	497 940
1104 Chief, Programme Support Unit (P-5)	208 800	217 152	225 838	651 790	244 088	253 852	497 940
1105 Chief, Implementation and Capacity-Building Unit (P-5)	208 800	217 152	225 838	651 790	244 088	253 852	497 940
1106 Policy and Legal Adviser (P-4)	180 400	187 616	195 121	563 137	206 336	214 589	420 925
1107 Programme Officer - Project Development (P-4)	180 400	187 616	195 121	563 137	—	—	—
1108 Administrative / Fund Management Officer (P-4) *		—	—	—	—	—	—
1109 Programme Officer - National Reporting (P-3)	151 800	157 872	164 187	473 859	172 432	179 329	351 761
1110 Programme Officer - Information Officer (P-3)	151 800	157 872	164 187	473 859	172 432	179 329	351 761
1111 Programme Officer - Project Development (P-3)	151 800	157 872	164 187	473 859	172 432	179 329	351 761
1112 Associate Programme Officer - Computer Systems (P-2)	127 000	132 080	137 363	396 443	135 928	141 365	277 293
1113 Associate Legal Officer (P-2)	127 000	132 080	137 363	396 443	135 928	141 365	277 293
<b>1199 Subtotal, Professional staff</b>	<b>1 932 500</b>	<b>2 009 800</b>	<b>2 090 192</b>	<b>6 032 492</b>	<b>2 001 168</b>	<b>2 081 215</b>	<b>4 082 383</b>

	Programme budget approved by the Conference of the Parties at its ninth meeting				Programme budget proposed for 2012–2013		
	2009	2010	2011	Triennium 2009–2011	2012	2013	Biennium 2012–2013
<i>1200 Consultants</i>							
1201 General consultancies	100 000	100 000	100 000	300 000	—	—	—
1202 Consultants (technical guidelines)	—	—	—	—	35 000	35 000	70 000
1203 Consultants (technical support for PACE)	—	—	—	—	20 000	20 000	40 000
1280 Consultants (joint- technical assistance/training tools)	—	—	—	—	8 400	8 400	16 800
1281 Consultants (joint- scientific and technical)	—	—	—	—	6 650	6 650	13 300
1282 Consultants (joint- clearing house development)	—	—	—	—	20 000	20 000	40 000
1283 Consultants (joint- websites maintenance)	—	—	—	—	8 000	8 000	16 000
1284 Consultants (joint- brokering service)	—	—	—	—	6 650	8 300	14 950
1285 Consultants (joint- review arrangements)	—	—	—	—	5 350	—	5 350
<b>1299 Subtotal, consultants</b>	<b>100 000</b>	<b>100 000</b>	<b>100 000</b>	<b>300 000</b>	<b>110 050</b>	<b>106 350</b>	<b>216 400</b>
<i>1300 Administrative Support</i>							
1301 Administrative Assistant (G-6)*	—	—	—	—	—	—	—
1302 Personal Assistant to the Executive Secretary (G-6)	145 000	150 800	156 832	452 632	162 240	168 730	330 970
1303 Meetings / Documents Assistant (G-6)	145 000	150 800	156 832	452 632	162 240	168 730	330 970
1304 Personnel Assistant (G-5)	115 000	119 600	124 384	358 984	125 216	130 225	255 441
1305 Information Assistant (G-5)	115 000	119 600	124 384	358 984	125 216	130 225	255 441
1306 Programme Assistant (G-5)	115 000	119 600	124 384	358 984	—	—	—
1307 Legal Assistant (G-5)	115 000	119 600	124 384	358 984	125 216	130 225	255 441
1308 Finance and Budget Assistant (G-6)*	—	—	—	—	—	—	—
1320 Temporary assistance posts (short-term)	30 000	30 000	30 000	90 000	—	—	—
1329 Subtotal, administrative support	<b>780 000</b>	<b>810 000</b>	<b>841 200</b>	<b>2 431 200</b>	<b>700 128</b>	<b>728 133</b>	<b>1 428 261</b>

	Programme budget approved by the Conference of the Parties at its ninth meeting				Programme budget proposed for 2012–2013		
	2009	2010	2011	Triennium 2009–2011	2012	2013	Biennium 2012–2013
<i>Conference servicing costs</i>							
1321	—	175 000	500 000	675 000	300 000	95 000	395 000
1322	300 000	32 000	—	332 000	360 000	—	360 000
1323	—	—	—	—	—	259 600	259 600
1324	—	198 000	—	198 000	159 300	—	159 300
<b>1399</b>	<b>1 080 000</b>	<b>1 215 000</b>	<b>1 341 200</b>	<b>3 636 200</b>	<b>1 519 428</b>	<b>1 082 733</b>	<b>2 602 161</b>
<i>1600 Travel on official business</i>							
1601	175 000	180 000	190 000	545 000	95 000	95 000	190 000
1681	—	—	—	—	6 650	6 650	13 300
1682	—	—	—	—	15 000	15 000	30 000
<b>1699</b>	<b>175 000</b>	<b>180 000</b>	<b>190 000</b>	<b>545 000</b>	<b>116 650</b>	<b>116 650</b>	<b>233 300</b>
<b>1999</b>	<b>3 287 500</b>	<b>3 504 800</b>	<b>3 721 392</b>	<b>10 513 692</b>	<b>3 747 296</b>	<b>3 386 948</b>	<b>7 134 244</b>
<b>20</b>	<b>Subcontract component</b>						
<i>2100 Subcontracts component</i>							
2101	20 000	20 000	20 000	60 000	20 000	20 000	40 000
<b>2199</b>	<b>20 000</b>	<b>20 000</b>	<b>20 000</b>	<b>60 000</b>	<b>20 000</b>	<b>20 000</b>	<b>40 000</b>
<b>2999</b>	<b>20 000</b>	<b>20 000</b>	<b>20 000</b>	<b>60 000</b>	<b>20 000</b>	<b>20 000</b>	<b>40 000</b>

	Programme budget approved by the Conference of the Parties at its ninth meeting				Programme budget proposed for 2012–2013		
	2009	2010	2011	Triennium 2009–2011	2012	2013	Biennium 2012–2013
<b>30 Meetings and conferences</b>							
3300 <i>Travel and DSA costs of participants</i>							
3303 Expanded Bureau (English only) - one meeting over the biennium	32 000	—	32 000	64 000	—	45 100	45 100
3304 Committee for Implementation and Compliance (English only) - one meeting over the biennium	—	28 000	28 000	56 000	—	49 200	49 200
3305 Joint Bureau (English only) - one meeting over the biennium	—	—	—	—	—	18 500	18 500
<b>3399 Subtotal meetings and conferences</b>	<b>32 000</b>	<b>28 000</b>	<b>60 000</b>	<b>120 000</b>	<b>—</b>	<b>112 800</b>	<b>112 800</b>
<b>3999 Total meetings and conferences</b>	<b>32 000</b>	<b>28 000</b>	<b>60 000</b>	<b>120 000</b>	<b>—</b>	<b>112 800</b>	<b>112 800</b>
<b>40 Equipment and premises component</b>							
4100 <i>Expendable equipment</i>							
4101 Office supplies	24 500	24 500	24 500	73 500	10 000	10 000	20 000
<b>4199 Subtotal, expendable equipment</b>	<b>24 500</b>	<b>24 500</b>	<b>24 500</b>	<b>73 500</b>	<b>10 000</b>	<b>10 000</b>	<b>20 000</b>
4200 <i>Non-expendable equipment</i>							
4201 Computer equipment, printers, furniture, multimedia and others	20 000	20 000	20 000	60 000	10 000	10 000	20 000
4280 Office equipment: hardware and software (joint IT services)	—	—	—	—	31 500	31 500	63 000
<b>4299 Subtotal, non-expendable equipment</b>	<b>20 000</b>	<b>20 000</b>	<b>20 000</b>	<b>60 000</b>	<b>41 500</b>	<b>41 500</b>	<b>83 000</b>
4300 <i>Premises</i>							
4301 Office space fees, building maintenance, security, utilities and insurance	110 000	110 000	110 000	330 000	120 000	120 000	240 000
<b>4399 Total, premises</b>	<b>110 000</b>	<b>110 000</b>	<b>110 000</b>	<b>330 000</b>	<b>120 000</b>	<b>120 000</b>	<b>240 000</b>
<b>4999 Total, equipment and premises component</b>	<b>154 500</b>	<b>154 500</b>	<b>154 500</b>	<b>463 500</b>	<b>171 500</b>	<b>171 500</b>	<b>343 000</b>

	Programme budget approved by the Conference of the Parties at its ninth meeting				Programme budget proposed for 2012–2013		
	2009	2010	2011	Triennium 2009–2011	2012	2013	Biennium 2012–2013
<b>50</b> <b>Miscellaneous component</b>							
5100 <i>Operation and maintenance of equipment</i>							
5101 Maintenance of office equipment	71 300	71 300	71 300	213 900	38 500	38 500	77 000
<b>5199 Subtotal, maintenance of equipment</b>	<b>71 300</b>	<b>71 300</b>	<b>71 300</b>	<b>213 900</b>	<b>38 500</b>	<b>38 500</b>	<b>77 000</b>
5200 <i>Reporting costs</i>							
5201 Newsletters, publications and other media	45 000	35 000	35 000	115 000	8 850	8 850	17 700
5280 Translation and publishing (joint - publications)	—	—	—	—	19 150	19 150	38 300
5281 Translation and publishing (joint-brokering service)	—	—	—	—	2 500	2 500	5 000
5282 Translation and publishing (joint - review arrangements)	—	—	—	—	5 000	—	5 000
<b>5299 Subtotal, reporting costs</b>	<b>45 000</b>	<b>35 000</b>	<b>35 000</b>	<b>115 000</b>	<b>35 500</b>	<b>30 500</b>	<b>66 000</b>
5300 <i>Sundry</i>							
5301 Communications, freight and other	70 000	70 000	70 000	210 000	50 000	50 000	100 000
<b>5399 Subtotal sundry</b>	<b>70 000</b>	<b>70 000</b>	<b>70 000</b>	<b>210 000</b>	<b>50 000</b>	<b>50 000</b>	<b>100 000</b>
5400 <i>Hospitality</i>							
5401 Hospitality	9 500	9 500	9 500	28 500	5 000	5 000	10 000
<b>5499 Subtotal hospitality</b>	<b>9 500</b>	<b>9 500</b>	<b>9 500</b>	<b>28 500</b>	<b>5 000</b>	<b>5 000</b>	<b>10 000</b>
<b>5999 Total, miscellaneous component</b>	<b>195 800</b>	<b>185 800</b>	<b>185 800</b>	<b>567 400</b>	<b>129 000</b>	<b>124 000</b>	<b>253 000</b>
<b>99 Total operational costs</b>	<b>3 689 800</b>	<b>3 893 100</b>	<b>4 141 692</b>	<b>11 724 592</b>	<b>4 067 796</b>	<b>3 815 248</b>	<b>7 883 044</b>

	Programme budget approved by the Conference of the Parties at its ninth meeting				Programme budget proposed for 2012–2013		
	2009	2010	2011	Triennium 2009–2011	2012	2013	Biennium 2012–2013
13 per cent programme support costs	479 674	506 103	538 420	1 524 197	528 813	495 982	1 024 796
<b>Total budget of the trust fund</b>	<b>4 169 474</b>	<b>4 399 203</b>	<b>4 680 112</b>	<b>13 248 789</b>	<b>4 596 609</b>	<b>4 311 230</b>	<b>8 907 840</b>

\* Posts funded by UNEP from the 13 per cent programme support costs

<b>Average annual budget for the triennium 2009–2011</b>					<b>4 416 263</b>		
<b>Average annual budget for the biennium 2012–2013</b>						<b>4 453 920</b>	
<b>Increase in the average annual operational budget</b>						<b>0.85%</b>	
<b>Percentage increase from year to year</b>	-2.70%	5.20%	6.00%		-1.8%	-6.2%	
Deduction from reserve and fund balance							
Increase in working capital reserve					19 525	<b>5 649</b>	
<b>Covered by parties</b>	4 188 999	4 399 203	4 680 112	13 268 314	4 602 258	4 311 230	8 913 488
Percentage increase in contributions from year to year	5.60	4.80	6.00		<b>-1.7%</b>	<b>-6.3%</b>	
<b>Average annual contributions for the triennium 2009–2011</b>					<b>4 422 771</b>		
<b>Average annual contributions for the biennium 2012–2013</b>						<b>4 456 744</b>	
<b>Increase in the average annual contributions</b>						<b>0.77%</b>	
<b>Working capital reserve based on the average operational budgets for 2009–2011 (15%)</b>					<b>662 439</b>		
<b>Working capital reserve based on the average operational budgets for 2012–2013 (15%)</b>						<b>668 088</b>	

## Annex I (c)

**Trust Fund for the Basel Convention on the Control of  
Transboundary Movements of Hazardous Wastes and Their  
Disposal: Scale of contributions for 2012–2013 for the programme  
budget scenario based on maintaining the average annual  
operational budget at the 2009–2011 level in nominal terms**

	Party	United Nations scale of assessment for 2010, 2011, 2012*	Adjusted scale of contribution with 22% ceiling and no least developed country paying more than 0.01 per cent	Annual contributions for 2012	Annual contributions for 2013
		(percentage)	(percentage)		
1	Albania	0.010	0.0125	576	539
2	Algeria	0.128	0.1601	7 368	6 902
3	Andorra	0.007	0.0088	403	377
4	Antigua and Barbuda	0.002	0.0025	115	108
5	Argentina	0.287	0.3590	16 520	15 475
6	Armenia	0.005	0.0063	288	270
7	Australia	1.933	2.4176	111 266	104 230
8	Austria	0.851	1.0644	48 985	45 887
9	Azerbaijan	0.015	0.0188	863	809
10	Bahamas	0.018	0.0225	1 036	971
11	Bahrain	0.039	0.0488	2 245	2 103
12	Bangladesh	0.010	0.0125	576	539
13	Barbados	0.008	0.0100	460	431
14	Belarus	0.042	0.0525	2 418	2 265
15	Belgium	1.075	1.3445	61 879	57 966
16	Belize	0.001	0.0013	58	54
17	Benin	0.003	0.0038	173	162
18	Bhutan	0.001	0.0013	58	54
19	Bolivia (Plurinational State of)	0.007	0.0088	403	377
20	Bosnia and Herzegovina	0.014	0.0175	806	755
21	Botswana	0.018	0.0225	1 036	971
22	Brazil	1.611	2.0149	92 731	86 868
23	Brunei Darussalam	0.028	0.0350	1 612	1 510
24	Bulgaria	0.038	0.0475	2 187	2 049
25	Burkina Faso	0.003	0.0038	173	162
26	Burundi	0.001	0.0013	58	54
27	Cambodia	0.003	0.0038	173	162
28	Cameroon	0.011	0.0138	633	593
29	Canada	3.207	4.0111	184 600	172 926
30	Cape Verde	0.001	0.0013	58	54
31	Chad	0.002	0.0025	115	108
32	Central African Republic	0.001	0.0013	58	54
33	Chile	0.236	0.2952	13 584	12 725
34	China	3.189	3.9886	183 563	171 956
35	Colombia	0.144	0.1801	8 289	7 765

	Party	United Nations scale of assessment for 2010, 2011, 2012*	Adjusted scale of contribution with 22% ceiling and no least developed country paying more than 0.01 per cent	Annual contributions for 2012	Annual contributions for 2013
		(percentage)	(percentage)		
36	Comoros	0.001	0.0013	58	54
37	Congo	0.003	0.0038	173	162
38	Cook Islands	0.001	0.0013	58	54
39	Costa Rica	0.034	0.0425	1 957	1 833
40	Côte d'Ivoire	0.010	0.0125	576	539
41	Croatia	0.097	0.1213	5 583	5 230
42	Cuba	0.071	0.0888	4 087	3 828
43	Cyprus	0.046	0.0575	2 648	2 480
44	Czech Republic	0.349	0.4365	20 089	18 819
45	Democratic Republic of Korea	0.007	0.0088	403	377
46	Democratic Republic of the Congo	0.003	0.0038	173	162
47	Denmark	0.736	0.9205	42 365	39 686
48	Djibouti	0.001	0.0013	58	54
49	Dominica	0.001	0.0013	58	54
50	Dominican Republic	0.042	0.0525	2 418	2 265
51	Ecuador	0.040	0.0500	2 302	2 157
52	Egypt	0.094	0.1176	5 411	5 069
53	El Salvador	0.019	0.0238	1 094	1 025
54	Equatorial Guinea	0.008	0.0100	460	431
55	Eritrea	0.001	0.0013	58	54
56	Estonia	0.040	0.0500	2 302	2 157
57	Ethiopia	0.008	0.0100	460	431
58	Finland	0.566	0.7079	32 580	30 520
59	France	6.123	7.6582	352 449	330 161
60	Gabon	0.014	0.0175	806	755
61	Gambia	0.001	0.0013	58	54
62	Georgia	0.006	0.0075	345	324
63	Germany	8.018	10.0283	461 528	432 342
64	Ghana	0.006	0.0075	345	324
65	Greece	0.691	0.8642	39 775	37 260
66	Guatemala	0.028	0.0350	1 612	1 510
67	Guinea	0.002	0.0025	115	108
68	Guinea-Bissau	0.001	0.0013	58	54
69	Guyana	0.001	0.0013	58	54
70	Honduras	0.008	0.0100	460	431
71	Hungary	0.291	0.3640	16 750	15 691
72	Iceland	0.042	0.0525	2 418	2 265
73	India	0.534	0.6679	30 738	28 794
74	Indonesia	0.238	0.2977	13 700	12 833
75	Iran (Islamic Republic of)	0.233	0.2914	13 412	12 564
76	Iraq	0.020	0.0250	1 151	1 078
77	Ireland	0.498	0.6229	28 666	26 853
78	Israel	0.384	0.4803	22 104	20 706
79	Italy	4.999	6.2524	287 750	269 553

	Party	United Nations scale of assessment for 2010, 2011, 2012*	Adjusted scale of contribution with 22% ceiling and no least developed country paying more than 0.01 per cent	Annual contributions for 2012	Annual contributions for 2013
		(percentage)	(percentage)		
80	Jamaica	0.014	0.0175	806	755
81	Japan	12.530	15.6715	721 245	675 636
82	Jordan	0.014	0.0175	806	755
83	Kazakhstan	0.076	0.0951	4 375	4 098
84	Kenya	0.012	0.0150	691	647
85	Kiribati	0.001	0.0013	58	54
86	Kuwait	0.263	0.3289	15 139	14 181
87	Kyrgyzstan	0.001	0.0013	58	54
88	Lao People's Democratic Republic	0.001	0.0013	58	54
89	Latvia	0.038	0.0475	2 187	2 049
90	Lebanon	0.033	0.0413	1 900	1 779
91	Lesotho	0.001	0.0013	58	54
92	Liberia	0.001	0.0013	58	54
93	Libyan Arab Jamahiriya	0.129	0.1613	7 425	6 956
94	Liechtenstein	0.009	0.0113	518	485
95	Lithuania	0.065	0.0813	3 741	3 505
96	Luxembourg	0.090	0.1126	5 181	4 853
97	Madagascar	0.003	0.0038	173	162
98	Malawi	0.001	0.0013	58	54
99	Malaysia	0.253	0.3164	14 563	13 642
100	Maldives	0.001	0.0013	58	54
101	Mali	0.003	0.0038	173	162
102	Malta	0.017	0.0213	979	917
103	Marshall Islands	0.001	0.0013	58	54
104	Mauritania	0.001	0.0013	58	54
105	Mauritius	0.011	0.0138	633	593
106	Mexico	2.356	2.9467	135 615	127 039
107	Micronesia (Federated States of )	0.001	0.0013	58	54
108	Monaco	0.003	0.0038	173	162
109	Mongolia	0.002	0.0025	115	108
110	Montenegro	0.004	0.0050	230	216
111	Morocco	0.058	0.0725	3 339	3 127
112	Mozambique	0.003	0.0038	173	162
113	Namibia	0.008	0.0100	460	431
114	Nauru	0.001	0.0013	58	54
115	Nepal	0.006	0.0075	345	324
116	Netherlands	1.855	2.3201	106 776	100 024
117	New Zealand	0.273	0.3414	15 714	14 721
118	Nicaragua	0.003	0.0038	173	162
119	Niger	0.002	0.0025	115	108
120	Nigeria	0.078	0.0976	4 490	4 206
121	Norway	0.871	1.0894	50 136	46 966
122	Oman	0.086	0.1076	4 950	4 637
123	Pakistan	0.082	0.1026	4 720	4 422

	Party	United Nations scale of assessment for 2010, 2011, 2012*	Adjusted scale of contribution with 22% ceiling and no least developed country paying more than 0.01 per cent	Annual contributions for 2012	Annual contributions for 2013
		(percentage)	(percentage)		
124	Panama	0.022	0.0275	1 266	1 186
125	Papua New Guinea	0.002	0.0025	115	108
126	Paraguay	0.007	0.0088	403	377
127	Peru	0.090	0.1126	5 181	4 853
128	Philippines	0.090	0.1126	5 181	4 853
129	Poland	0.828	1.0356	47 661	44 647
130	Portugal	0.511	0.6391	29 414	27 554
131	Qatar	0.135	0.1688	7 771	7 279
132	Republic of Korea	2.260	2.8266	130 089	121 863
133	Republic of Moldova	0.002	0.0025	115	108
134	Romania	0.177	0.2214	10 188	9 544
135	Russian Federation	1.602	2.0037	92 213	86 382
136	Rwanda	0.001	0.0013	58	54
137	Saint Kitts and Nevis	0.001	0.0013	58	54
138	Saint Lucia	0.001	0.0013	58	54
139	Saint Vincent and the Grenadines	0.001	0.0013	58	54
140	Saudi Arabia	0.830	1.0381	47 776	44 755
141	Samoa	0.001	0.0013	58	54
142	Senegal	0.006	0.0075	345	324
143	Serbia	0.037	0.0463	2 130	1 995
144	Seychelles	0.002	0.0025	115	108
145	Singapore	0.335	0.4190	19 283	18 064
146	Slovakia	0.142	0.1776	8 174	7 657
147	Slovenia	0.103	0.1288	5 929	5 554
148	Somalia	0.001	0.0013	58	54
149	South Africa	0.385	0.4815	22 161	20 760
150	Spain	3.177	3.9735	182 873	171 309
151	Sri Lanka	0.019	0.0238	1 094	1 025
152	Sudan	0.010	0.0125	576	539
153	Swaziland	0.003	0.0038	173	162
154	Sweden	1.064	1.3308	61 245	57 372
155	Switzerland	1.130	1.4133	65 044	60 931
156	Syrian Arab Republic	0.025	0.0313	1 439	1 348
157	Thailand	0.209	0.2614	12 030	11 270
158	The former Yugoslav Republic of Macedonia	0.007	0.0088	403	377
159	Togo	0.001	0.0013	58	54
160	Tonga	0.001	0.0013	58	54
161	Trinidad and Tobago	0.044	0.0550	2 533	2 373
162	Tunisia	0.030	0.0375	1 727	1 618
163	Turkey	0.617	0.7717	35 515	33 270
164	Turkmenistan	0.026	0.0325	1 497	1 402
165	Uganda	0.006	0.0075	345	324
166	Ukraine	0.087	0.1088	5 008	4 691
167	United Arab Emirates	0.391	0.4890	22 507	21 083

	Party	United Nations scale of assessment for 2010, 2011, 2012*	Adjusted scale of contribution with 22% ceiling and no least developed country paying more than 0.01 per cent	Annual contributions for 2012	Annual contributions for 2013
		(percentage)	(percentage)		
168	United Kingdom of Great Britain and Northern Ireland	6.604	8.2598	380 136	356 097
169	United Republic of Tanzania	0.008	0.0100	460	431
170	Uruguay	0.027	0.0338	1 554	1 456
171	Uzbekistan	0.010	0.0125	576	539
172	Venezuela (Bolivarian Republic of)	0.314	0.3927	18 074	16 931
173	Viet Nam	0.033	0.0413	1 900	1 779
174	Yemen	0.010	0.0125	576	539
175	Zambia	0.004	0.0050	230	216
176	European Community	2.500	2.5000	115 056	107 781
	<b>Total</b>	80.455	100.00000	4 602 258	4 311 230

\*As derived from General Assembly resolution 64/248 of 24 December 2009.

## Annex II

## Comparison of the programme budget scenarios for 2012–2013 with the programme budget for 2009–2011 (non-staff costs of activities)

### I. Conferences and meetings

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
1 (former 1)	Eleventh meeting of the Conference of the Parties.	80 000	80 000	—	1 235 000	635 000	600 000	300 000	300 000	—	954 600	354 600	600 000				175 000	175 000	—	1 520 000	500 000	1 020 000
2 (2)	Eighth session of the Open-ended Working Group.	1 152 000	552 000	600 000	—	—	—	1 119 300	519 300	600 000	—	—	—	300 000	300 000	—	746 000	230 000	516 000			
3 (3)	Meetings of the Expanded Bureau and one joint Bureau meeting in 2013.	—	—	—	63 600	63 600	—	—	—	—	63 600	63 600	—	32 000	32 000	—				32 000	32 000	
4 (4)	Meetings of the Implementation and Compliance Committee.	—	—	—	65 200	49 200	16 000	—	—	—	65 200	49 200	16 000	64 000	—	64 000	92 000	28 000	64 000	78 000	78 000	
5 (S6)	Support the work of and coordination between the scientific bodies under the conventions. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$6,650 and BDTF: \$15,000 per year; Rotterdam: RO: \$6,650 and RV: \$25,000 per year; and Stockholm: SC: \$7,700 and SV: \$25,000 per year; total \$20,000 and \$65,000 per year.</i>	21 650	6 650	15 000	21 650	6 650	15 000	21 650	6 650	15 000	21 650	6 650	15 000									
	<b>Subtotal</b>	<b>1 253 650</b>	<b>638 650</b>	<b>615 000</b>	<b>1 385 450</b>	<b>754 450</b>	<b>631 000</b>	<b>1 440 950</b>	<b>825 950</b>	<b>615 000</b>	<b>1 105 050</b>	<b>474 050</b>	<b>631 000</b>	<b>396 000</b>	<b>332 000</b>	<b>64 000</b>	<b>1 013 000</b>	<b>433 000</b>	<b>580 000</b>	<b>1 630 000</b>	<b>610 000</b>	<b>1 020 000</b>

## II. Programme and cross cutting-support

### (a) Legal support

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011									
		2012			2013			2012			2013			2009			2010			2011			
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
6 (new)	Assist the Implementation and Compliance Committee in implementing its work programme.	60 000		60 000	55 000		55 000	60 000		60 000	55 000		55 000										
7 (new)	Implementation fund: assist developing-country parties or parties with economies in transition that are the subject of a submission made in accordance with paragraph 9 of the terms of reference of the mechanism for promoting the implementation of and compliance with the Convention. <b>This is a new activity. The implementation fund was established by the Conference at its ninth meeting and the Committee considered for the first time specific submissions during its eighth session (March 2011). There is already work under way between four parties and the Committee towards the development and implementation of a compliance plan aimed at resolving difficulties with national reporting. Lack</b>	150 000		150 000	150 000		150 000	150 000		150 000	150 000		150 000										

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
	of reporting is attributable, in the first instance, to a lack of inventory. The proposed budget corresponds to five times \$60,000, which is the estimated cost of an inventory.																					
8 (46)	Collect, organize and provide quality control and follow-up of information transmitted by parties pursuant to Article 3, on national definitions, Article 4 (1) on import prohibitions, Article 11 on agreements, and Article 13 (2) on transmission of information.	35 000		35 000	35 000		35 000		35 000	35 000		35 000	70 000	—	70 000	70 000	—	70 000	35 000	35 000		
9 (7a)	Provide general and legal policy advice to parties.	—		—	—		—		—	—		—	-	-								
10 (7b)	Assist parties in clarifying the scope of application of the Basel Convention in relation to ship recycling, in collaboration with IMO and ILO.	35 000	15 000	20 000	35 000	15 000	20 000	28 000	8 000	20 000	28 000	8 000	20 000	5 000	5 000	—	5 000	5 000	—	10 000	10 000	—

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
11 (8)	Assist parties to enforce the Convention and to combat illegal traffic. This activity reflects former activity 8 while activity 33 integrates former activities 40, 41 and 42. The difference between activities 10 and 28, as far as legal and enforcement work is concerned, is that activity 10 comprises the development of tools at the global level and training at the regional level, while activity 28 comprises project-based activities, i.e., mainly at the national level (national tools, national training).	109 500	5 000	104 500	109 500	5 000	104 500	109 500	5 000	104 500	109 500	5 000	104 500	87 000	—	87 000	87 000		87 000	190 000	55 000	135 000
	Subtotal	389,500	20,000	369,500	384,500	20,000	364,500	382,500	13,000	369,500	377,500	13,000	364,500	162,000	5,000	157,000	162,000	5,000	157,000	235,000	100,000	135,000

**(b) Scientific and technical support**

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
12 (9)	Facilitate and oversee the development of technical guidelines, manuals and tools on technical aspects of the environmentally sound management of hazardous and other wastes. <b>This reflects former activity 9.</b>	53 850	53 850	—	53 850	53 850	—	47 350	47 350	—	47 350	47 350	—	75 000	75 000	—	75 000	75 000	—	75 000	75 000	—
13 (10)	Provide guidance and technical support to parties on the technical aspects of the Convention. <b>This reflects former activity 10.</b>	10 000	10 000	—	10 000	10 000	—	8 500	8 500	—	8 500	8 500	—	15 000	15 000	—	15 000	15 000	—	15 000	15 000	—
14 (S7)	Support parties' implementation of the life cycle approach to chemical management: update the general technical guidelines on the environmentally sound management of persistent organic pollutants waste to include new persistent organic pollutants. <i>Proposed co-funding by two conventions: Basel: BDTF: \$17,500 per year; and Stockholm SV: \$20,000 per year, total: \$37,500 per year</i>	17 500	—	17 500	17 500	—	17 500	—	17 500	17 500	—	17 500	—	17 500	—	17 500	—	—	—	—	—	—
<b>Subtotal</b>		<b>81 350</b>	<b>63 850</b>	<b>17 500</b>	<b>81 350</b>	<b>63 850</b>	<b>17 500</b>	<b>73 350</b>	<b>55 850</b>	<b>17 500</b>	<b>73 350</b>	<b>55 850</b>	<b>17 500</b>	<b>90 000</b>	<b>90 000</b>	<b>—</b>	<b>90 000</b>	<b>90 000</b>	<b>—</b>	<b>90 000</b>	<b>90 000</b>	<b>—</b>

## (c) Support to Basel Convention regional and coordinating centres

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012		Source of funding		2013		Source of funding		2013		Source of funding		2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
15(19,20,22)	Ensure effective and coordinated operation of BCRCs. Activity 15 is the product of former activities 19, 20 and 22 having been merged. The funding has decreased owing to the fact that the Secretariat has focused increasingly on facilitating and technically supporting projects carried out by BCRCs rather than taking a lead role. In this case, the funding is provided by Finland directly to BCRCs in Egypt and South Africa and not through the BD Trust Fund.	12 000	12 000	—	12 000	12 000	—	7 000	7 000	—	7 000	7 000	—	1 281 000	30 000	1 251 000	786 000	30 000	756 000	40 000	40 000	
16 (\$8) (21)	Annual joint meetings: FAO and UNEP regional officers, directors/coordinators of the Basel and Stockholm convention regional centres. <i>Proposed co-funding by the three Conventions: Basel: BDTE: \$37,500 per year; Rotterdam: RV: \$22,500 per year; and Stockholm: SV: \$40,000 per year, total: \$100 000 per year. This reflects former activity 21.</i>	37 500		37 500	37 500		37 500		37 500	37 500		37 500	50 000		50 000	50 000		50 000	80 000	30 000	50,000	

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012 Source of funding			2013 Source of funding			2012 Source of funding			2013 Source of funding			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
17 (S9)	South-South cooperation: regional centres and FAO and UNEP regional centres address specific priority issues in their regions related to the Basel, Rotterdam and/or Stockholm conventions. <i>Proposed co-funding by the three Conventions: Basel: BDTF: \$10,000 per year; Rotterdam: RV: \$20,000 per year; and Stockholm: SV: \$20,000 per year; total: \$50,000 per year.</i>	10 000		10 000	10 000		10 000		10 000	10 000		10 000										
<b>Subtotal</b>		<b>59 500</b>	<b>12 000</b>	<b>47 500</b>	<b>59 500</b>	<b>12 000</b>	<b>47 500</b>	<b>54 500</b>	<b>7 000</b>	<b>47 500</b>	<b>54 500</b>	<b>7 000</b>	<b>47 500</b>	<b>1 331 000</b>	<b>30 000</b>	<b>1 301 000</b>	<b>836 000</b>	<b>30 000</b>	<b>806 000</b>	<b>120 000</b>	<b>70 000</b>	<b>50 000</b>

**(d) Cross-cutting strategic issues**

**(i) Follow-up on the implementation of the strategic framework**

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
18 (new)	Coordinate and provide support as necessary to parties in implementing the strategic framework 2012–2021. Previously reflected in activity 53.	40 000	—	40 000	40 000	—	40 000	40 000	—	40 000	40 000	—	40 000									

**(ii) Follow-up on the implementation of country-led initiative**

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
19 (new)	Coordinate and provide support to parties in the development of certification system and formulation of standards in relation to the Indonesian-Swiss country-led initiative. Previously reflected in activity 7a.	20 000	—	20 000	20 000	—	20 000	20 000	—	20 000	20 000	—	20 000									
<b>Subtotal</b>		<b>60 000</b>	<b>—</b>	<b>60 000</b>	<b>60 000</b>	<b>—</b>	<b>60 000</b>	<b>60 000</b>	<b>—</b>	<b>60 000</b>	<b>60 000</b>	<b>—</b>	<b>60 000</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>

### III. Technical assistance and capacity-building

#### (a) Partnerships

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
20 (11)	Serve as secretariat of the Partnership for Action on Computing Equipment (PACE) and facilitate the operation and activities of PACE. <b>This reflects former activity 11. The increase in funding is needed to cover activities agreed under PACE, i.e., awareness-raising, pilot projects, consultancies, meetings. Funds are to be raised from PACE membership and voluntary contributions.</b>	275 000	25 000	250 000	275 000	25 000	250 000	275 000	25 000	250 000	275 000	25 000	250 000	35 000	35 000		40 000	40 000		250 000	80 000	170 000
21 (31)	Facilitate the involvement of international organizations and donors in the dissemination of the ULAB partnership concept from the Central American region through BCRCs in other regions, e.g., Asia and the Pacific and Africa. <b>The decrease in the budget is owing to the fact that the Secretariat is increasingly focusing increasingly on facilitating partnerships, providing relevant expert advice and monitoring, and not being directly responsible for the implementation of projects in the environmentally sound management of ULAB. Pilot activities are to be implemented directly by BCRCs and other partners in the regions.</b>	5 000	5 000	—	5 000	5 000	—							617 000	—	617 000	417 000	—	417 000			

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
22 (new)	Provide Convention-related input into global partnerships organized and facilitated by other multilateral environmental agreements, international organizations and stakeholders. <b>This is a new activity. The Secretariat is expanding its engagement and networking in global initiatives of other institutions and partners related to waste disposal or waste minimization issues. Previously reflected under activity 54, among others.</b>	30 000	30 000	—	30 000	30 000	—	20 000	20 000	—	20 000	20 000	—									
23 (S4) (36)	Develop strategic partnerships with other multilateral environmental agreements. <i>Proposed co-funding by two Conventions: Basel: BDTF: \$100,000 per year; and Stockholm: SV: \$100 000 per year; total \$200,000 per year. Follow-up and expansion of former pilot activity 36. This is a new activity related to the Basel and Stockholm conventions on the management and disposal of ozone-depleting substances, persistent organic pollutants and other hazardous substances.</i>	100 000	-	100 000	100 000	-	100 000	100 000	-	100 000	100 000	-	100 000	115 000	—	115 000	115 000	—	115 000	160 000	—	160 000
<b>Subtotal</b>		<b>410 000</b>	<b>60 000</b>	<b>350 000</b>	<b>410 000</b>	<b>60 000</b>	<b>350 000</b>	<b>395 000</b>	<b>45 000</b>	<b>350 000</b>	<b>395 000</b>	<b>45 000</b>	<b>350 000</b>	<b>767 000</b>	<b>35 000</b>	<b>732 000</b>	<b>572 000</b>	<b>40 000</b>	<b>532 000</b>	<b>410 000</b>	<b>80 000</b>	<b>330 000</b>

**(b) Priority waste streams****(i) Electrical and electronic wastes in Africa, Asia and the Pacific, Latin America and countries with economies in transition**

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011									
		2012			2013			2012			2013			2009			2010			2011			
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
24 (new)	Develop global e-waste information network; establish coordinating group for capacity-building on e-waste for actors involved in e-waste activities at the global, regional and national levels, harmonize e-waste information management system and establish network in all regions. Pilot activities implemented in Asia and the Pacific region and initiated in other regions. <b>This is a new activity. Asia and the Pacific was chosen as it is considered relatively advanced in the environmentally sound management of e-waste activities. Other regions to follow.</b>	185 000	5 000	180 000	75 000	5 000	70 000																
25 (23)	Assist parties with the development of national inventories, national e-waste management plans and formulation of e-waste policies, regulatory frameworks and strategies for implementation, develop pilot projects on collection and recycling involving public-private partnerships in Asia and the Pacific. <b>Regional and national activities will gradually be taken over by BCRCs.</b>	257 000	7 000	250 000	157 000	7 000	150 000	250 000		250 000	150 000	—	150 000	250 000	—	250 000	250 000	—	250 000	400 000	—	400 000	

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011													
		2012			2013			2012			2013			2009			2010			2011							
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund					
26 (25)	Facilitate the implementation of the follow-up activities on the project entitled "Implementing the Nairobi Declaration in Africa: building local capacity to address the flow of e-wastes and e-equipment destined for reuse through the sustainable management of resources and the recovery of materials". <b>Regional and national activities will gradually be taken over by BCRCs.</b>	300 000		300 000	30 000		30 000			300 000			30 000			30 000			505 000	—	505 000	505 000	5 000	500 000	720 000	15 000	705 000
27 (24)	Facilitate in cooperation with BCRCs, regional development banks and other co-funding partners, the development of pilot projects on the environmentally sound management of e-waste in Latin America and countries with economies in transition and collaboration of the regions in the global e-waste information network. <b>Regional and national activities in these regions are to be implemented directly by BCRCs or other partners.</b>	35 000	5 000	30 000	35 000	5 000	30 000	35 000			35 000			35 000			100 000	—	100 000	100 000	—	100 000	500 000	—	500 000		
<b>Subtotal</b>		<b>777 000</b>	<b>17 000</b>	<b>760 000</b>	<b>297 000</b>	<b>17 000</b>	<b>280 000</b>	<b>585 000</b>	<b>—</b>	<b>585 000</b>	<b>215 000</b>	<b>—</b>	<b>215 000</b>	<b>855 000</b>	<b>—</b>	<b>855 000</b>	<b>855 000</b>	<b>5 000</b>	<b>850 000</b>	<b>1 620 000</b>	<b>15 000</b>	<b>1 605 000</b>					

## (ii) Persistent organic pollutant wastes and other hazardous substances wastes

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
28 (26–29)	Collaborate with GEF implementing agencies and BCRCs in the development and implementation of <b>persistent organic pollutant waste</b> -related projects in Africa, Asia and the Pacific, Latin America and countries with economies in transition, in particular, projects on PCB management in Southern African Development Community, Latin America and the Caribbean and Asia, and management of obsolete persistent organic pollutants and other hazardous chemicals in Central America and the Caribbean. <b>Integrates former activities 26, 27 and 28. Funds to support GEF projects to be channelled directly through BCRCs and other partners.</b>	5 000	5 000	—	5 000	5 000	—	5 000		5 000	5 000		5 000	347 000	—	347 000	347 000	—	347 000	5 000	5 000	
29 (30)	Assist BCRCs in developing and implementing projects on segregation and treatment of <b>hazardous biomedical and health-care wastes</b> using the technical guidelines on the environmentally sound management of biomedical and health-care wastes and other relevant publications. <b>Reflects former activity 30. Secretariat focus on networking and related partnerships as reflected in activity 18 (new).</b>	5 000		5 000	5 000		5 000	5 000		5 000	5 000		5 000	280 000	—	280 000	410 000	—	410 000			

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0"nominal growth scenario						Programme budget for 2009–2011												
		2012		Source of funding		2013		Source of funding		2012		Source of funding		2013		Source of funding		2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
30 (new)	Assist BCRCs in developing and undertaking pilot national plans for the environmentally sound management of used oils in the regions using the technical guidelines on used oil and other relevant publications.	5 000		5 000	5 000		5 000		5 000	5 000		5 000		5 000		5 000		5 000		5 000		5 000		5 000		
<b>Subtotal</b>		<b>15 000</b>	<b>5 000</b>	<b>10 000</b>	<b>15 000</b>	<b>5 000</b>	<b>10 000</b>	<b>15 000</b>	<b>—</b>	<b>15 000</b>	<b>15 000</b>	<b>—</b>	<b>15 000</b>	<b>—</b>	<b>15 000</b>	<b>627 000</b>	<b>—</b>	<b>627 000</b>	<b>757 000</b>	<b>—</b>	<b>757 000</b>	<b>5 000</b>	<b>5 000</b>	<b>—</b>		

(iii) Dismantling of ships

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0"nominal growth scenario						Programme budget for 2009–2011												
		2012		Source of funding		2013		Source of funding		2012		Source of funding		2013		Source of funding		2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
31 (35)	Provide technical expertise to assist countries involved in ship dismantling to develop downstream capacity for the environmentally sound management of hazardous materials. Reflects former activity 35	150 000		150 000	500 000		500 000		500 000	150 000	—	150 000	500 000	—	500 000	183 000	20 000	163 000	183 000	20 000	163 000	350 000	50 000	300 000		
<b>Subtotal</b>		<b>150 000</b>	<b>—</b>	<b>150 000</b>	<b>500 000</b>	<b>—</b>	<b>500 000</b>	<b>150 000</b>	<b>—</b>	<b>150 000</b>	<b>500 000</b>	<b>—</b>	<b>500 000</b>	<b>183 000</b>	<b>20 000</b>	<b>163 000</b>	<b>183 000</b>	<b>20 000</b>	<b>163 000</b>	<b>350 000</b>	<b>50 000</b>	<b>300 000</b>				

(iv) Mercury wastes

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0"nominal growth scenario						Programme budget for 2009–2011												
		2012		Source of funding		2013		Source of funding		2012		Source of funding		2013		Source of funding		2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
32 (39)	Assist BCRCs in all regions in developing pilot activities to promote implementation of the technical guidelines on the environmentally sound management of mercury waste at the national and subregional levels.	505 000		505 000	505 000		505 000		505 000	505 000	—	505 000	505 000	—	505 000	130 000	—	130 000	120 000	—	120 000	245 000	5 000	240 000		
<b>Subtotal</b>		<b>505 000</b>	<b>—</b>	<b>505 000</b>	<b>505 000</b>	<b>—</b>	<b>505 000</b>	<b>505 000</b>	<b>—</b>	<b>505 000</b>	<b>505 000</b>	<b>—</b>	<b>505 000</b>	<b>130 000</b>	<b>—</b>	<b>130 000</b>	<b>120 000</b>	<b>—</b>	<b>120 000</b>	<b>245 000</b>	<b>5 000</b>	<b>240 000</b>				

**(c) Implementation of Convention-related tools to strengthen the legal system, enforcement and competent authorities at the regional and national levels**

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
33 (40,41,42)	Implement pilot projects through BCRCs for the use and implementation of Convention-related tools to strengthen the legal system, enforcement and competent authorities at national and regional levels in Africa, Asia and the Pacific, Latin America and countries with economies in transition. Integrates former activities 40, 41 and 42. This relates directly to activity 11, which comprises the development of tools at the global level and training at the regional level, while activities under 33 are project-based, i.e., national tools, national training and capacity-building.	1 000 000	—	1 000 000	1 000 000	—	1 000 000	1 000 000	—	1 000 000	1 000 000	—	1 000 000	305 000	—	305 000	305 000	—	305 000	245 000	15 000	230 000
<b>Subtotal</b>		<b>1 000 000</b>	<b>—</b>	<b>1 000 000</b>	<b>1 000 000</b>	<b>—</b>	<b>1 000 000</b>	<b>1 000 000</b>	<b>—</b>	<b>1 000 000</b>	<b>1 000 000</b>	<b>—</b>	<b>1 000 000</b>	<b>305 000</b>	<b>—</b>	<b>305 000</b>	<b>305 000</b>	<b>—</b>	<b>305 000</b>	<b>245 000</b>	<b>15 000</b>	<b>230 000</b>

(d) Joint technical assistance and capacity-building programmes with the Rotterdam and Stockholm conventions

Activity number	Activities	Programme budget for 2012–2013 "0" real growth scenario											Programme budget for 2009–2011									
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
34 (S2)	Capacity- building programmes: develop and deliver training programmes based on needs identified at regional level regarding resource mobilization. <i>Proposed co-funding by the three Conventions: Basel: BCTF: \$6,650 and BDTF: \$115,000 per year; Rotterdam: RO: \$6,700 and RV: \$100,000; and Stockholm: SC: \$6,650 and SV: \$120,00 per year; total: \$20,000 and \$335,000 per year.</i>	121 650	6 650	115 000	121 650	6 650	115 000	121 650	6 650	115 000	121 650	6 650	115 000									
35 (S1)	Develop and upgrade toolkit and electronic training tools. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$8,400 and BDTF: \$82,500 per year; Rotterdam: RO:\$8,300 and RV: \$100,000 per year; and Stockholm: SC: \$8,300 and SV: \$132,500m; total: \$25,000 and \$315,000 per year</i>	90 900	8 400	82 500	90 900	8 400	82 500	90 900	8 400	82 500	90 900	8 400	82 500									

Activity number	Activities	Programme budget for 2012–2013 "0" real growth scenario									Programme budget for 2009–2011												
		2012			2013			2012			2013			2009			2010			2011			
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
36 (S3)	Develop and implement synergies capacity-building projects for the development of national institutional frameworks for the implementation of the conventions, provide technical assistance through the regional centres and in cooperation and coordination with subregional offices of FAO and UNEP. <i>Proposed co-funding by the three Conventions: Basel: BCTF: \$9,150 in 2012 and \$10,180 in 2013 and BD: \$259,000 per year; Rotterdam: RO: \$9,200 in 2012 and \$10,800 in 2013 and RV: \$144,000 per year; Stockholm: SC: \$9,150 in 2012 and \$10,900 in 2013 and SV: \$269,000 per year; total: \$27,500 in 2012 and \$32,500 in 2013 and \$672,000 per year.</i>	268 150	9 150	259 000	269 800	10 800	259 000	268 150	9 150	259 000	269 800	10 800	259 000										
<b>Subtotal</b>		<b>480 700</b>	<b>24 200</b>	<b>456 500</b>	<b>482 350</b>	<b>25 850</b>	<b>456 500</b>	<b>480 700</b>	<b>24 200</b>	<b>456 500</b>	<b>482 350</b>	<b>25 850</b>	<b>456 500</b>	—	—	—	—	—	—	—	—	—	—

## IV. Knowledge management and outreach

### (a) Management and circulation of information submitted by parties in accordance with their obligations under the Convention

#### (i) National reporting

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
37 (43)	Collect, monitor quality, organize, follow up on and compile the annual data and information transmitted by parties.																					
38 (44)	Validate and enter data and information in the reporting database.	65 000	20 000	45 000	65 000	20 000	45 000	65 000	20 000	45 000	65 000	20 000	45 000	65 000	20 000	45 000	65 000	20 000	45 000	65 000	65 000	
39 (45)	Enhance parties' ability to comply with the national reporting obligations.	100 000		100 000	100 000		100 000	100 000		100 000	100 000		100 000	60 000	—	60 000	60 000	—	60 000	100 000	—	100 000
40 (S15)	National reporting: implement decisions on joint reporting of the Basel and Stockholm conventions. <i>Proposed co-funding by two conventions: Basel: BDTF: \$45,000 in 2012 and \$50,000 in 2013; and Stockholm: SV: \$45,000 in 2012 and \$50,000 in 2013, total: \$90,000 in 2012 and \$100,000 in 2013.</i>	45 000		45 000	50 000		50 000	45 000		45 000	50 000		50 000									
	<b>Subtotal</b>	<b>210 000</b>	<b>20 000</b>	<b>190 000</b>	<b>215 000</b>	<b>20 000</b>	<b>195 000</b>	<b>210 000</b>	<b>20 000</b>	<b>190 000</b>	<b>215 000</b>	<b>20 000</b>	<b>195 000</b>	<b>125 000</b>	<b>20 000</b>	<b>105 000</b>	<b>125 000</b>	<b>20 000</b>	<b>105 000</b>	<b>165 000</b>	<b>65 000</b>	<b>100 000</b>

## (b) Publications, public information, outreach and clearing-house mechanism

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011												
		2012		Source of funding		2013		Source of funding		2012		Source of funding		2013		Source of funding		2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
41(S10) (50)	Develop joint clearing-house mechanism for information exchange. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$28,000 and BDTF: \$37,600 per year; Rotterdam: RO: \$28,000 and RV: \$50,400 and Stockholm: SC: \$28,000 and SV: \$39,800 per year; total \$84,000 and \$127,500 per year. Reflects former activity 50.</i>	65 600	28 000	37 600	65 600	28 000	37 600	65 600	28 000	37 600	65 600	28 000	37 600	10 000	10 000		10 000	10 000		10 000	10 000		10 000	10 000		
42 (S11)	Joint information technology services. <i>Proposed co-funding by the three conventions: Basel: BC: \$31,500 and BD: \$25,000 per year; Rotterdam: RO: \$31,500 per year and RV: \$25,000 per year and Stockholm: SC: \$31,500 per year and SV: \$30,000 per year; total: \$94,500 per year and \$80,000 per year. Reflected in former activity 58.</i>	56 500	31 500	25 000	56 500	31 500	25 000	56 500	31 500	25 000	56 500	31 500	25 000													
43 (S13) (47,49)	Joint outreach and public awareness. <i>Proposed co-funding by the three Conventions: Basel: BD: \$22,000; Rotterdam: RV: \$31,000 per year; and Stockholm: SV: \$38,000 per year; total: \$91,000 per year. \$75,000 from BD per year have been added to cover the "Vital Waste Graphics IV publications. Integrates former activities 47 and 49.</i>	97 000		97 000	97 000		97 000	97 000		97 000	97 000		97 000	25 000	15 000	10 000	25 000	15 000	10 000	25 000	15 000	10 000	25 000	15 000	10 000	

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
44 (S14) (48, 51)	Publications: produce and disseminate various legal and technical publications including reprinting and development of new publications. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$19,150 per year; Rotterdam: RV: \$19,500 per year and Stockholm: SC: \$19,200 per year; total: \$57,500 per year. Integrates former activities 48 and 51.</i>	19 150	19 150	-	19 150	19 150	-	19 150	19 150	-	19 150	19 150	-	45 000	20 000	25 000	10 000	10 000	—	136 000	61 000	75 000
45 (52)	Organize non-governmental organization workshop at the eleventh meeting of the Conference of the Parties in 2013.	—	—	—	50 000	—	50 000	—	—	—	50 000	—	50 000	—	—	—	—	—	—	50 000	—	50 000
<b>Subtotal</b>		<b>238 250</b>	<b>78 650</b>	<b>159 600</b>	<b>288 250</b>	<b>78 650</b>	<b>209 600</b>	<b>238 250</b>	<b>78 650</b>	<b>159 600</b>	<b>288 250</b>	<b>78 650</b>	<b>209 600</b>	<b>80 000</b>	<b>45 000</b>	<b>35 000</b>	<b>45 000</b>	<b>35 000</b>	<b>10 000</b>	<b>221 000</b>	<b>86 000</b>	<b>135 000</b>

## V. Executive direction, management and strategic planning

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
46 (53)	Provide overall management of the Secretariat.	3 000	3 000	—	3 000	3 000	—	3 000	3 000	—	3 000	3 000	—							41 500	41 500	
47 (54)	Enhance cooperation and coordination with other multilateral environmental agreements and inter governmental organizations on issues of common concern.	15 000	15 000	—	15 000	15 000	—	15 000	15 000	—	15 000	15 000	—	40 000	40 000	—	40 000	40 000	—	55 000	55 000	—
48 (56)	Contribute to continuing discussions and related activities on wastes at UNEP and cooperation and coordination with partners and other inter governmental organizations.	55 000	20 000	35 000	55 000	20 000	35 000	55 000	20 000	35 000	55 000	20 000	35 000	20 000	20 000	—	20 000	20 000	—	25 000	25 000	—
49 (new)	Provide financial management and administration of the Secretariat. <b>This is a new activity.</b>		—		—	—			—		—	—										
50 (15)	Raise funds for activities under the Technical Cooperation Trust Fund.		—		—	—			—		—	—		175 000	35 000	140 000	170 000	30 000	140 000	80 000	40 000	40 000
51 (S5)	Work with regional liaison offices in Latin America and the Caribbean, Africa, Central and Eastern Europe and Asia in collaboration with key partners	—	o	—	—	—	—	—	o	—	—	—	—									
52 (S16)	Resource mobilization: develop and implement joint resource mobilization and fund-raising strategies. <i>Proposed co-funding by the three conventions: Basel: BCTF: \$15,000 per year; Rotterdam: RO: \$15,000 per year; and Stockholm: SC: \$15,000 per year; total: \$45,000 per year.</i>	15 000	15 000	—	15 000	15 000	—	15 000	15 000	—	15 000	15 000	—									

	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011									
		2012			2013			2012			2013			2009			2010			2011			
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	
53 (S17)	Provide support to review the synergies decisions. Proposed co-funding by the three conventions: Basel: BCTF: \$10,350 in 2012; Rotterdam: RO: \$10,300 in 2012; and Stockholm: SC: \$10,350 in 2012; total: \$31,000 in 2012.	10 350	10 350	—	—	—	—	—	—	10 350	10 350	—	—	—	—	—	—	—	—	—	—	—	—
<b>Subtotal</b>		<b>98 350</b>	<b>63 350</b>	<b>35 000</b>	<b>88 000</b>	<b>53 000</b>	<b>35 000</b>	<b>98 350</b>	<b>63 350</b>	<b>35 000</b>	<b>88 000</b>	<b>53 000</b>	<b>35 000</b>	<b>235 000</b>	<b>95 000</b>	<b>140 000</b>	<b>230 000</b>	<b>90 000</b>	<b>140 000</b>	<b>201 500</b>	<b>161 500</b>	<b>40 000</b>	

## VI. Office equipment and services

Activity number	Activities	Programme budget for 2012–2013 "0" real growth scenario						Programme budget for 2012–2013 "0" nominal growth scenario						Programme budget for 2009–2011								
		2012			2013			2012			2013			2009			2010			2011		
		Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund	Non-staff costs	BC Trust Fund	BD Trust Fund
54 (58)	Procurement of office equipment and services. Reflects former activity 58.	242 500	242 500	—	242 500	242 500	—	233 500	233 500	—	233 500	233 500	—	305 300	305 300	—	305 300	305 300	—	305 300	305 300	—
		242 500	242 500	—	242 500	242 500	—	233 500	233 500	—	233 500	233 500	—	305 300	305 300	—	305 300	305 300	—	305 300	305 300	—
		5 970 800	1 245 200	4 725 600	6 013 900	1 352 300	4 661 600	5 922 100	1 366 500	4 555 600	5 607 500	1 005 900	4 601 600	5 591 300	977 300	4 614 000	5 598 300	1 073 300	4 525 000	5 842 800	1 657 800	4 185 000

Note: At its seventh session, the Open-ended Working Group approved an increase of \$505,675 in the programme budget for 2011 to be financed from the reserve and fund balance of the Basel Convention Trust Fund

### Activities completed/discontinued from the 2009–2011 programme of work

Activity 5	Organize special events in celebration of the twentieth anniversary of the adoption of the Basel Convention.	120 000	—	120 000	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
Activity 37	Develop a programme on ESM of hazardous waste and other wastes in urban areas	5 000	—	5 000	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
Subtotal		125 000	—	125 000	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
<b>Approved budget for 2009–2011</b>		<b>5 716 300</b>	<b>977 300</b>	<b>4 739 000</b>	<b>5 598 300</b>	<b>1 073 300</b>	<b>4 525 000</b>	<b>5 842 800</b>	<b>1 657 800</b>	<b>4 185 000</b>												

### Activities reduced/discontinued under the "0" nominal growth scenario

Activity 1	Provision for translation costs reduced by \$60,400 (100 pages less)
Activity 2	Provision for translation costs reduced by \$32,700 (55 pages less)
Activity 10	Travel reduced by \$7,000 per year
Activity 12	Provision for consultancy reduced by \$5,000 per year and travel budget reduced by \$1,500 per year
Activity 13	Travel reduced by \$1,500 per year
Activity 15	Travel reduced by \$5,000 per year
Activity 21	Discontinued
Activity 22	Travel reduced by \$10,000 per year
Activity 24	Discontinued
Activity 25	Project management has to be funded through voluntary contributions
Activity 27	No provision for travel
Activity 28	No provision for travel
Activity 54	Reduced by \$9,000 per year

## Annex III

### Comparison of programme budget scenarios and their consequences for programme implementation

	<b>Executive Secretary's assessment of the required rate of growth of the programme budget (based on a zero nominal growth for the biennium 2012–2013)</b>	<b>Scenario based on maintaining the average annual operational budget at the 2009–2011 level in real terms</b>
<b>Professional staff and administrative support component</b>	This scenario would eliminate one P-4 post of Programme Officer and one G-5 post of Programme Assistant.	This scenario would maintain the current staffing level.
<b>Conference and meetings component</b>	Reduced budget for translation of documents for meetings of the Conference of Parties and sessions of the Open-ended Working Group.	Adequate budget to maintain servicing of meetings of the Conference of the Parties and Open-ended Working Group at the same level as the triennium 2009–2011.
<b>Technical assistance and capacity-building</b>	Under this scenario some activities would be reduced or eliminated.	Maintained at the same level as the triennium 2009–2011.
<b>Knowledge management and outreach</b>	Maintained at the same level under both budget scenarios.	
<b>Travel</b>	Under this scenario the travel funding would be reduced by \$50,000 per year.	Maintained at the same level as the triennium 2009–2011.
<b>Office equipment and services</b>	Budget reduced budget by \$9,000 per annum (hospitality and non-expendable equipment)	Maintained at the same level as the triennium 2009–2011.
<b>Synergies - joint activities</b>	Maintained at the same level under both budget scenarios.	

## Annex IV

## Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal

### Statement of income and expenditure and changes in reserve and fund balance for the years 2001–2011 and cumulative

Income	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011*
Voluntary contributions	3 157 795	3 126 879	3 119 791	3 119 791	3 361 077	3 854 735	3 855 440	3 969 755	4 188 933	4 399 199	4 680 112
Interest income	563 080	412 684	231 754	93 228	111 155	162 351	126 885	95 515	55 561	41 791	-
Deferred income	7 955	-	-	-	-	-	-	-	-	-	-
Miscellaneous income	(9 074)	-	-	390	53	-	-	1 276	40 982	119 764	-
<b>Total income</b>	<b>3 719 756</b>	<b>3 539 563</b>	<b>3 351 545</b>	<b>3 213 409</b>	<b>3 472 285</b>	<b>4 017 086</b>	<b>3 982 325</b>	<b>4 066 546</b>	<b>4 285 476</b>	<b>4 560 754</b>	<b>4 680 112</b>
<b>Expenditure</b>											
Staff and other personnel costs	1 475 656	2 301 622	2 771 755	3 291 631	2 527 876	3 251 328	2 726 125	2 981 587	2 580 703	3 180 830	3 606 392
Travel	201 832	176 446	92 207	195 548	188 744	126 106	150 375	154 293	125 951	165 353	275 000
Contractual services	577 973	534 623	402 570	528 199	283 288	346 768	270 305	202 369	56 998	100 740	251 500
Operating expenses	595 329	1 065 424	257 274	475 537	290 276	331 443	217 018	180 294	100 740	114 113	154 500
Acquisitions	102 008	89 891	82 647	87 575	69 895	105 292	97 799	117 894	102 370	131 981	476 800
Programme support costs	383 864	541 836	468 839	595 204	436 810	540 834	448 400	470 460	387 956	480 092	619 345
<b>Total expenditure</b>	<b>3 336 662</b>	<b>4 709 842</b>	<b>4 075 292</b>	<b>5 173 694</b>	<b>3 796 889</b>	<b>4 701 771</b>	<b>3 910 022</b>	<b>4 106 897</b>	<b>3 354 718</b>	<b>4 173 109</b>	<b>5 383 537</b>

Income	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011*
Excess of income over expenditure	383 094	(1 170 279)	(723 747)	(1 960 285)	(324 604)	(684 685)	72 303	(40 351)	930 758	387 645	(703 425)
Prior period adjustments	(6 635)	(1 320)	(9 110)	(82)	-	-	-	-	-	-	-
Transfer to reserves	-	-	-	-	(642 914)	-	-	-	-	(19 525)	-
Fund balance at the beginning of the period	6 896 412	7 272 871	6 101 272	5 368 415	3 408 048	2 440 530	1 755 845	1 827 721	1 787 370	2 718 128	3 086 248
Fund balance at the end of the period	7 272 871	6 101 272	5 368 415	3 408 048	2 440 530	1 755 845	1 827 721	1 787 370	2 718 128	3 086 248	2 382 823
Unpaid pledges	405 520	649 055	124 547	658 075	196 928	909 251	1 106 083	508 396	752 166	853 923	1 043 586
Deferred pledges	413 803	50 394	353 426	-	-	106 345	792 895	871 787	969 249	1 178 874	-
Fund balance carried forward	7 281 154	5 502 611	5 597 294	2 749 973	2 243 602	952 939	1 514 533	2 150 761	2 935 211	3 411 199	1 339 237
Fund balance excluding unpaid contributions	6 867 351	5 452 217	5 243 868	2 749 973	2 243 602	846 594	721 638	1 278 974	1 965 962	2 232 325	1 339 237

\* Projection based on 100 per cent rate of expenditures, 85 per cent rate for the payment of assessed contributions for 2011 and 60 per cent rate for the payment of prior year's unpaid contributions which were experienced in the past. At its seventh session, the Open-ended Working Group approved an increase of \$505,675 in the 2011 budget to be financed from the reserve and fund balance of the BC Trust Fund.

## Annex V

**Trust Fund to assist developing countries and other countries in need of technical assistance in the implementation of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal**

**Statement of income and expenditure and changes in reserve and fund balance for the years 2001–2010 and cumulative**

<b>Income</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>
Voluntary contributions	378 875	488 839	680 466	651 875	1 486 522	1 194 273	1 965 573	2 601 141	1 565 578	2 123 050
Interest income	159 405	147 012	100 105	61 499	94 486	185 423	206 728	138 389	60 874	45 613
Miscellaneous income	262	6 038	(2 640)	-	-	-	124 916	43 488	60 113	2 204
<b>Total income</b>	<b>538 542</b>	<b>641 889</b>	<b>777 931</b>	<b>713 374</b>	<b>1 581 008</b>	<b>1 379 696</b>	<b>2 297 217</b>	<b>2 783 018</b>	<b>1 686 565</b>	<b>2 170 867</b>
<b>Expenditure</b>										
Staff and other personnel costs	20 030	26 683	1 659	220 379	133 653	459 380	251 350	308 753	279 549	392 762
Travel	4 660	2 090	3 189	10 492	17 825	30 718	7 521	8 260	41 502	162 677
Contractual services	159 634	221 248	75 484	666 300	368 346	816 473	653 287	513 909	617 949	1 422 386
Meetings and conferences	31 863	-	-	-	-	-	-	-	-	-
Operating expenses	-	539 527	141 813	187 079	225 295	475 771	489 296	331 120	300 471	923 423
Acquisitions	990	6 537	5 020	273	(127)	-	-	-	1 390	(1 390)
Programme support costs	28 233	103 491	29 341	140 988	96 849	231 660	182 233	151 065	153 258	278 876
<b>Total expenditure</b>	<b>245 410</b>	<b>899 576</b>	<b>256 506</b>	<b>1 225 511</b>	<b>841 841</b>	<b>2 014 002</b>	<b>1 583 687</b>	<b>1 313 107</b>	<b>1 394 119</b>	<b>3 178 734</b>

Income	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010
Excess of income over expenditure	293 132	(257 687)	521 425	(512 137)	739 167	(634 306)	713 530	1 469 911	292 446	(1 007 867)
Refund to donors	-	-	(53 841)	-	-	-	-	-	(47 335)	(128 312)
Prior period adjustments	-	124 279	(15 173)	(103 707)	13 170	(14 011)	-	-	-	-
Provisional savings on or cancellation of prior periods' obligations	-	(70 922)	70 922	-	-	-	-	-	-	-
Fund balance at the beginning of the period	2 722 055	3 015 187	2 810 857	3 334 190	2 718 346	3 470 681	2 822 364	3 535 896	5 005 807	5 250 917
Fund balance at the end of the period	3 015 187	2 810 857	3 334 190	2 718 346	3 470 681	2 822 364	3 535 896	5 005 807	5 250 917	4 114 738

\* The exchange loss of \$464,306 due to the revaluation of the EUR cash pool is included under operating expenses in 2010.

\*\* A significant proportion of the accumulated fund balance has been earmarked by donors.

\*\*\* As at 30 June 2011, \$994,127 had been received from donors in 2011.