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**Second meeting of the Expanded Bureau
of the ninth meeting of the Conference
of the Parties to the Basel Convention**

Geneva, 24-25 March 2011

Item 3 of the provisional agenda*

**Report on the implementation of programme of work
adopted at the ninth meeting of the Conference of the
Parties to the Basel Convention (2009-2011)**

**Report on the implementation of programme of work adopted at the
ninth meeting of the Conference of the Parties to the Basel
Convention (2009-2011)**

Note by the Secretariat

1. The present note is being submitted to the second meeting of the Expanded Bureau in accordance with paragraph 13 of decision IX/31 adopted by the ninth meeting of the Conference of the Parties (COP9). It provides information on the progress on the implementation of the programme of work for 2010 as well as related financial performance. The report on the implementation of the programme of work for 2009 was submitted to the Open-ended Working Group at its seventh session and it is contained in document UNEP/CHW/OEWG/7/INF12.
2. Annex I to this report shows the programme budget of the Secretariat for 2010. It shows all activities approved by the Conference of the Parties, as well as the status of their implementation with the corresponding funding from both Convention Trust Funds for all items except core staff costs. The core staff was authorized by the Conference of the Parties through the staffing table for the triennium 2009-2011. Expenditures for each activity are shown together with voluntary resources secured for their implementation. Any unspent balance of voluntary contributions will be carried over to the same activity of the programme budget for 2011.
3. Annex II contains the summary table of costs of activities in 2010, excluding core staff costs. The total expenditures for 2010, including the programme support costs, amounted to USD 3,726,880 of which USD 1,026,668 was funded from the Basel Convention Trust Fund and USD 2,700,212 from voluntary contributions of the Parties and other donors, which are held in the Technical Cooperation Trust Fund (BD Trust Fund).
4. The Expanded Bureau may wish to take note of the information provided.

* UNEP/SBC/BUREAU/9/2/1.

Annex I

Implementation of the Programme Budget for 2010

Conferences and meetings

Mandate:

The Secretariat's functions as mandated by Article 16 of the Convention, the Rules of procedure and subsequent decisions of the Conference of the Parties.

Objectives:

To provide appropriate facilities and logistics to ensure an effective conduct of meetings.

Indicators of achievement:

1. Adequate meeting facilities and services are secured.
2. Working documents are made available to Parties in all working languages within deadlines.
3. Efficient technical and substantive support is provided at meetings.
4. Adequate participation of representatives from developing countries and countries with economies in transition is ensured at meetings (subject to the availability of funding)

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
1	Organize and support the 10th meeting of the Conference of the Parties: conclusion of host country agreement; liaison with and assistance to the host country; organization of travel of sponsored delegates; preparation, translation and publication of all related documents; support for the Chairman before and during the meeting; registration of participants; all logistical support including interpretation and security; support to the Conference and its working groups during and between meetings. Duration: 5 days	A successfully organized meeting of the Conference of the Parties; Documentation for the meeting in the UN official languages.	Internal (funds for salaries/travel of Conference staff, translation, logistics, temporary assistance (USD 705,000) and participation of sponsored delegates at CoP10 (USD1,020,000)	The Government of Colombia offered in January 2009 to host the tenth meeting of the Conference of the Parties (COP 10) in the city of Cartagena de Indias. The Host Country Agreement with the Government of Colombia has been signed on 1 December 2010.	175'000	0	0	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
2	Organize and support the 7th session of the Open-ended Working Group including the meeting set out in para 13 of decision CRP.19; arrangement of the venue; organization of travel of sponsored delegates; preparation, translation and publication of all related documents; support for the Co-Chairmen before and during the meeting; registration of participants; all logistical support including interpretation and security; support to the Open-ended Working group and its working groups during and between meetings. Duration: 5 days	Successfully organized sessions of the Open-ended Working Group; Documentation for the meeting in the UN official languages.	Internal (funds for salaries/travel of Conference staff, translation, logistics, temporary assistance (USD 560,000) and participation of sponsored delegates (USD 501,000).	The OEWG-7 was successfully organized and took place on 10-14 May 2010 in Geneva. Preparation of documents for the meeting was delayed due to the organization of the ExCOPs (Bali, February 2010). The report of the meeting is available on the website of the Convention at www.basel.int.	530000	516'000	420'168	237'360	China, Denmark, Germany, Norway, Sweden, Slovenia, Switzerland, UK, accumulated reserve and fund balance of the BD Trust Fund	268'217
3	Organize the logistics and documentation of meetings of the Expanded Bureau: arrangement of the venue, arrangement of travel of the Bureau members, preparation and publication of all related documents.	Successfully organized meetings of the Expanded Bureau; Documentation for meetings in English only.	Internal (funds for logistics and travel of the members of the Expanded Bureau)	The Secretariat undertook the preparations for the second meeting of the Expanded Bureau of COP-9 to be held in Geneva on 24-25 March 2011.	0	0	0	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
4	Organize the logistics and documentation of meetings of the Compliance Committee; arrangement of the venue; arrangement of travel of the Committee members; preparation and publication of all related documents; support for the intersessional activities of the Committee.	Successfully organized meetings of the Compliance Committee; Documentation for meetings in English only.	Internal (funds for logistics and travel of the members of the Compliance Committee ((USD 30,000); and implementation of work programme, two 3 months consultancy at P-5 level for each year)	The 7th session of the Committee took place on 25-26 June 2009. Informal consultations took place on 10 May and 8 October 2010. The 8th session of the Committee will take place on 21-23 March 2011 in Geneva, prior to the second meeting of the EB of COP9. At that time, the Committee is expected to complete most of the activities of its 2009-2011 work programme, including consideration of ten individual submissions received from one Party and the Secretariat.	0	64'000		40'734	Sweden, Spain	45'672
5	Organize special events in celebration of the 20th anniversary of the adoption of the Basel Convention.	Celebration of the 20th anniversary of the adoption of the Basel Convention.	Internal (funds required for production of film, exhibition materials, logistical support etc)	Decision OEWG-VII/9 requests the Secretariat to develop further the concept of the Basel Waste Solutions Circle and to draft, for COP-10, processes and criteria for the selection of awardees.	0	0	0	0		0
Sub-total					705'000	580'000	420'168	278'094		313'889

Programme and cross cutting-support**Legal support****Mandates:**

- Article 13 and 16 of the Convention
- Decisions IX/23, IX/26, IX/27, IX/28, IX/29, VIII/9, VIII/15, VIII/24, VIII/25, VIII/26, VIII/27, VIII/28, VIII/30 and VIII/9, recommendations of the Open-ended Working Group, the Expanded Bureau and the Compliance Committee, and requests from Parties.

Objectives:

- To facilitate the operation of the Basel Convention, and the operation of the organs of the Convention, and its Secretariat, in a manner consistent with the provisions of the Convention and Public International Law.
- To assist Parties to implement the Basel Convention at the national level.
- To enhance compliance and implementation of the Convention.

Indicators of achievement:

- New tools to assist Parties and others to address legal aspects of Convention implementation (including on regulatory and enforcement matters) are developed, subject to availability of resources, and are approved by the tenth meeting of the Conference of the Parties or the Open-ended Working Group if necessary, for dissemination in the working languages of the Convention.
- Proportion of Parties that have developed and adopted national implementing legislation, and subsidiary legislation and mechanisms for promoting compliance and enforcement increases over the biennium 2009-2010 (as reflected by the increase in the legislation available on the website).

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
6	Collect and disseminate legislative texts and other legal materials on the Basel Convention website.	Legal information provided on website, which will assist Parties in the development of their national implementing legislation; more countries have drafted and enacted legislation; advice is provided to Parties on request of draft legislation.	Internal	Increased number of Parties adopted national legislation or other measures implementing the Convention: 27 Parties transmitted new or revised texts of national legislation; 127 Parties have national legislation and 111 Parties' national legislation is published on the BC website. SBC continues making more texts available on the BC website .	0	0	0	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
7a	Provide general and legal policy advice; respond to Parties' queries relating to the implementation of and compliance with the Convention; facilitate the resolution by the Parties of issues of interpretation of the Convention and assist Parties in implementation/review of emergency mechanism under decision V/32.	Advice provided on general legal or policy questions.	Internal	Advice and assistance provided to at least 26 Parties, 4 non-Parties and numerous other stakeholders (inter and non-governmental organizations, industry, academia etc.) on general legal or policy questions; Successfully provided support to consultations with key countries through strategic, legal, policy advice, including supporting and facilitating 3 meetings of the Indonesian-Swiss CLI.	0	0	0	38'651	Denmark, Switzerland	95'294

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
7b	Assist Parties in clarifying the scope of application of the Basel Convention in relation to ship dismantling, in collaboration with the IMO and ILO.	OEWG presents recommendations to COP 10 on whether the IMO Convention establishes an equivalent level of control to BC and whether COP should address extent to which there is a need for enhanced applications of BC to ship dismantling.	Internal (funds for staff travel)	OEWG7 developed a set of criteria to be used to determine an equivalent level of control between the two conventions; Decision OEWG-VII/12 invites Parties and other relevant stakeholders to review the work carried out by OEWG7 and to provide their preliminary assessments to the Secretariat for consideration by COP10; participated in correspondence groups and meetings of the Marine Environment Protection Committee of the IMO to develop guidelines to assist implementation of the International Convention for the Safe and Environmentally Sound Recycling of Ships.	5'000	0	10'865	0		0
8	Assist Parties to enforce the Convention and to combat illegal traffic.	Assistance is provided at the request of Parties to address alleged cases of illegal traffic and differences between Parties are resolved; in collaboration with other MEAs and organizations, tools and training activities are developed (e.g. with Stockholm and Rotterdam Conventions, the WCO, Interpol, UNEP DELC and other UNEP divisions; and through Green Customs initiative.)	Internal (funds for consultancy and staff travel)	SBC provided or is providing assistance in 6 instances of alleged or suspected cases of illegal traffic. In 2010, the SBC, jointly with SSC and SRC, was represented during national/regional Green Customs Initiative (GCI) workshops that took place in Lilongwe, Niger, Turkmenistan, Maldives, Vietnam, Dominican Republic and Lebanon. In October 2010, the SBC, jointly with SRC and SSC, also organized, during the Annual meeting of the BCRC Directors, a GCI training session for the BC and SC regional centres to better enable them to deliver GCI trainings. SBC, SRC and SSC are continuing the process of jointly developing a joint WCO e-learning tool. SBC has also offered Interpol to jointly develop a capacity building tool. SBC has received funding to support the development of the prosecutors' instruction manual and has initiated work on this tool. SBC has conceptualized, jointly with SRC and SSC, workshops on the development of legal frameworks. A first legal regional training took place in El Salvador in October 2010.	0	87'000		0	EC	37'000
Sub-total					5'000	87'000	10'865	38'651		132'294

Scientific and technical support

Mandate:

The relevant COP decisions including: Decisions VIII/2, VIII/6, VIII/16, VIII/20, VIII/33, IX/6, IX/14, IX/15, IX/16, IX/17, IX/18, IX/19, IX/20, IX/21.

Objective:

To ensure that Parties to the Basel Convention receive capacity building, technical and scientific information and guidance when required to allow them to deal with existing and emerging hazardous and other waste issues.

Indicators of achievement:

1. Parties' compliance with the provisions of the Convention is strengthened.
2. Increased awareness and ability of Parties to respond to existing and emerging issues.
3. Technical assistance and advice are promptly provided to Parties and other stakeholders.
4. Enhancement of capacity of Parties to implement the Convention through technical guidelines, manuals and other tools.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
9	Facilitate and oversee the development of technical guidelines, manuals, tools and case studies on technical aspects of the environmentally sound management of hazardous and other wastes as reflected in the Work Programme of the OEWG.	Technical guidelines, tools and manuals on the environmentally sound management of hazardous and other wastes developed and disseminated.	Internal (funds for consultancy and staff travel)	Technical guidelines on used tyres, mercury waste, and co-processing of hazardous waste in cement kilns were revised or initiated and funded directly by countries. Draft technical guidelines on e-waste was initiated by the Secretariat and the first draft was available in July 2010. Work on these guidelines are still in progress.	134'497	0	20'376	0		0
10	Provide guidance and technical support to Parties on the technical aspects of the Convention including technologies, illegal traffic, environmental impacts of wastes and emerging issues.	Scientific and technical advice provided.	Internal (funds for staff travel)	Guidance and technical support were provided as requested by Parties and other stakeholders. Participated in negotiation processes on emerging issues e.g. IMO Convention on Ship Recycling, Mercury Convention.	15'000	0	0	0		0
Sub-total					149'497	0	20'376	0		0

Partnerships**Mandate:**

Decision VIII/5 and annex and decision VIII.6

Objectives:

1. To establish effective partnerships that address in innovative ways emerging issues for the ESM of waste or other stated priorities of the Conference of the Parties to Basel Convention.
2. To establish partnerships that provide meaningful engagement of stakeholders in a forum aimed at enhancing and facilitating the sharing of experience on emerging issues and priorities of Parties.

Indicators of achievement:

1. Improved ability for Parties to use the partnership mechanism to help carry out the programme of work and to address special issues
2. Partnership-related information and products are widely distributed.
3. Increased number of regional and other related activities developed and funded through partnership mechanism.
4. Increased stakeholders participation, including developing countries and countries with economies in transition, in partnership activities.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
11	Facilitate the establishment and operation of a new Partnership and working group on ESM of used and end of life computing equipment.	Partnership and working group established; guidelines developed and other outputs widely disseminated.	Internal (funds for consultancy)	PACE Working Group (WG) established in January 2009. Project Groups were established thereafter to work on development of a guideline on testing, refurbishment and repair of used computing equipment, development of a guideline on material recovery and recycling of end-of-life computing equipment, and launch of pilot projects on e-waste in developing countries and CEIT. Three Face-to-Face Meetings of the WG were held in Geneva in May 2009, in Bonn, February 2010, and in Beijing, December 2010. Pilot projects are initiated in Burkina Faso, El Salvador, Serbia and Jordan.	40'000	0	54'593	203'839	Germany, Switzerland, UK and other organizations	355'133

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
12	Serve as Secretariat to the Mobile Phone Working Group and facilitate the functioning of and activities under the Mobile Phone Partnership Initiative.	Support to the Working Group provided; pilot projects implemented and products disseminated.	Internal	The five technical guidelines developed under the Mobile Phone Partnership Initiative (MPPI) were tested in a facility type environment, revised based on recommendations from these evaluation studies, and agreed upon by the ad-hoc follow-up group. The overall guidance document was revised to incorporate changes to summaries and recommendations contained in the five technical guidelines, based on the practical evaluations, and placed on the Basel Convention website in March 2009 for comments. Following a decision of the OEWG7, signatories, Parties and stakeholders were invited to comment on the revised overall guidance document by end of October 2010.	0	0	0	0	Unspent balance of contributions from previous years received from Switzerland and mobile phone companies	23'465
Sub-total					40'000	0	54'593	203'839		378'598

Resource mobilization**Mandate:**

1. Decision VIII/34 Resource Mobilization and Sustainable Financing

Objectives:

1. To ensure the provision of sufficient resources in the Technical Cooperation Trust Fund of the Basel Convention to support the priority focus area of the Strategic Plan.
2. To assist Parties and BCRCs to increase resources needed to implement the Convention

Indicators of achievement:

1. Financial resources are sufficient to carry out the programme of work approved by the Conference of the Parties at its 9th meeting.
2. Improved capacity of Parties and BCRCs to mobilize needed financial resources.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
15	Facilitate fund raising for activities which are not funded from the Basel Convention Trust Fund (BC); provide training and develop tools and strategies on resource mobilization .	Increase in ratio of secured funding in relation to project presented to donors; increase in ratio of sustainable funding sources in relation to ad hoc funding; four train-the-trainers' courses on resource mobilization.	Internal (funds for staff travel, training courses)	The Secretariat undertook resource mobilization efforts as part of the synergies activities of the Basel, Rotterdam and Stockholm conventions, participated in the consultative process on financing the chemicals and wastes agenda led by the Executive Director of UNEP (Nairobi, July 2009; Bangkok, October 2009; Pretoria January 2011), and discuss wastes-related issues with the GEF secretariat.	30'000	140'000	9'527	0		0
18	Finalize and distribute a framework for of cost-benefit analysis from implementing the Convention.	Framework guide to assist Parties illustrate the benefits of implementing the Convention produced and disseminated.	Internal (funds for consultancy)	Draft economic analysis of the effectiveness of the Basel Convention and draft study on benefits of action for implementation of the Basel Convention prepared. Both draft studies introduced to the project dealing with cost of inaction on sound management of chemicals and wastes, lead by UNEP Chemicals on cooperation with the chemicals and waste related conventions.	0	0	0	0		0
Sub-total					30'000	140'000	9'527	0		0

Support to Basel Convention regional and coordinating centers**Mandate:**

- 1) Article 14 of the Basel Convention.
- 2) Decisions: VI/1, VI/2, VI/3, VI/4, VII/1, VII/2, VII/8, VII/9, VIII/4, and VIII/33.
- 3) Draft decision presented to the 9th meeting of the Conference of the Parties.

Objectives:

1. To enhance the capacity of the Basel Convention regional and coordinating centers (BCRCs) to provide necessary assistance to Parties for the effective implementation of the Basel Convention and its Strategic Plan at the regional and national levels.
2. To strengthen the ability of Parties to manage hazardous wastes and other wastes in an environmentally sound manner (ESM) in order to protect human health and the environment.

Indicators of achievement:

1. Enhanced effectiveness and capacity of the BCRCs to serve the Parties in their regions
2. The priority waste streams are managed in ESM by an increased number of Parties.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
19	Provide continuous guidance and assistance to BCRCs in the implementation of the Strategic Plan focus areas, in the development and effective implementation of projects and capacity building activities contained in the Centres' respective Business Plans.	Successful implementation of three pilot projects; enhanced capacity of the Centres to prepare project proposals; seven BCRCs implement mid- to large-scale projects, subject to availability of funding; reports are put on the website for dissemination and replication.	Internal/external (funds for consultancy, staff travel and projects)	Projects supporting the operation of BCRCs and implementation of the BC through the Centre have been carried out through BCRC Pretoria (funded by Sweden, ended December 2009) and BCRC Egypt (funded by Finland, ended February 2010). Support was provided to the BCRCs in El Salvador, Argentina, Egypt, Nigeria, Senegal, South Africa, Slovakia, China, Iran and Indonesia in the development of project proposals.	20'000	756'000	1'441	95'388	Finland	107'788
20	Conclude negotiations on the signing of Framework Agreements, monitoring of implementation of such agreements.	Framework Agreements signed and implemented; better service to Parties.	Internal (funds for staff travel and consultancies)	Negotiations advanced/re-initiated for 3 BCRCs without framework agreements, meetings (face-to-face or electronic) with Directors of 14 centres and follow-up communications, leading to greater implementation of framework agreements by at least 6 centres.	10'000	0	0	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
21	Facilitate annual meetings of Directors of BCRCs.	Forum for exchange of information, experiences and coordination of activities; better cooperation and coordination among the Regional Centres.	Internal (funds for Directors' travel)	From 27 September to 1 October 2010, SBC, SRC and SSC jointly organized in Barcelona the Annual meeting of the Directors of the BC and SC Centres, in conjunction with UNEP and FAO regional offices.	0	50'000	4'908	21'069	Accumulated reserve and fund balance of the BD Trust Fund	23'808
22	Assist Parties in the review of the operation of the BCRCs, taking into account the work of the Ad Hoc Joint Working Group.	Review completed; enhanced and further programmatic cooperation and coordination with the Stockholm and Rotterdam Conventions; Regional Centres are strengthened and sustainable.	Internal/external (funds for consultancy)	The relevant documentation was prepared for the OEWG 7. As per 17 January 2011 9 Parties and 3 BCRCs have submitted comments on the documents mentioned above. A Joint publication on Basel Convention and Stockholm Conventions regional Centres, FAO and UNEP Regional Offices was prepared together with the Secretariats of the Rotterdam and Stockholm Conventions.	0	0	0		0	0
Sub-total					30'000	806'000	6'349	116'457		131'596

Programme to support the implementation of the Strategic Plan Focus areas

B1. Electrical and electronic wastes in Africa, Asia and the Pacific, Latin America

Mandate:

1. Nairobi Declaration on the Environmentally Sound Management of E-waste.
2. Decision VIII/33 B.1 (Regional, e-waste) on Programme and budget for the biennium 2007-2008.
3. Decision VIII/2 on creating innovative solutions through the Basel Convention for the environmentally sound management of electrical and electronic wastes.
4. Decision VIII/5 on Basel Convention Partnership Programme.

Objectives:

1. To promote the environmentally sound management of electrical and electronic waste in Africa, Asia and Pacific, and Latin American countries in order to protect the environment and human health, in particular vulnerable groups (women and children), as well as providing economic opportunities.
2. To equip Parties with tools to enable them to address the growing problems and impacts of e-waste, including imports of hazardous e-waste.

Indicators of achievement:

1. Elements of the environmentally and economically sound management of e-waste developed and shared in different regions.
2. Dissemination of e-waste assessments methodologies and experiences among participating countries and regions.
3. Increased capacity of Parties in Africa, Asia Pacific and Latin America to prevent illegal traffic of e-waste and benefit from the economic opportunities on the environmentally sound recycling of e-waste.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
23	Develop together with participating countries and other stakeholders a detailed inventory of e-waste and flows in and out of countries; facilitate the establishment of pilot collection, repair, refurbishment and recovery systems in Asia and Pacific.	Guidelines used, inventory reports produced and pilot projects on environmentally sound collection, storage, repair, refurbishment and disposal of used and e-waste are established.	Internal/external (funds for projects)	A regional workshop aimed at assisting Parties and BCRCs to develop projects in ESM of E-Waste was held in August 2009, in Ha Noi. As follow-up, 8 Parties and 2 BCRCs drafted project proposals. 3 national projects and 2 regional projects are on-going 2 parties are still to finalize their proposals, 1 party withdrew its proposal and another one never submitted its proposal. Currently, 2 BCRCs have submitted new proposals. A new proposal for integrated regional projects involving all BCRCs in the region is under development.	0	250'000	0	426'745	Japan	990'572
24	Conduct with countries pilot projects on the environmentally sound management of e-waste in Latin America.	Inventory reports produced. Pilot projects are implemented.	Internal/external (funds for projects)	Development of a concept and regional project approach initiated with partner countries and a potential donor.	0	100'000	0	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
25	Implement the project entitled 'Implementing the Nairobi Declaration in Africa: building local capacity to address the flow of e-wastes and e-equipment destined for reuse through the sustainable management of resources and the recovery of materials'.	National and regional studies undertaken, public-private partnerships put in place, capacity raised for prevention of illegal traffic.	Internal/external (funds for projects)	Project inception workshop held in May 2009 Technical assistance partners subcontracted The completed activities included the following: a fact-finding study on flows of used and end-of-life e-products and e-waste imported into Western African countries from Europe has been carried out; national assessments and e-waste management plans in Benin, Cote d'Ivoire, Ghana and Nigeria have been prepared and are being finalized. A socio-economic study on the operation and sustainability impacts of the e-waste sector in Nigeria is in its final phase. The exchange programme between African and European enforcement authorities in Europe was organized in September 2010.	5'000	500'000	774	713'667	EC, Norway, UK, NVMP	982'896
Sub-total					5'000	850'000	774	1'140'412		1'973'468

B2. POPs wastes (PCBs) West Africa, South America and the Mediterranean

Mandate:

1. Decisions VI/1, VIII/1, VIII/3 and VIII/33.

Objectives:

To promote the ESM of PCBs through the coordinated implementation of the Basel Convention and the Stockholm Convention with particular focus on the protection of health of vulnerable groups, including women.

Indicators of achievement:

1. Initiation of demonstration regional/national activities in West Africa, South America and the Mediterranean region and sharing of results with other regions.
2. Availability and use of guidance material and decision supportive tools for the ESM of PCBs and diffusion to parties to the Basel and Stockholm Conventions.
3. Recognition/strengthening of the role of BCRCs in the coordinated implementation of the Stockholm Convention and the Basel Convention.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
26	Collaborate with UNEP and BCRC-Dakar as executing agency for the implementation of the Project entitled 'Demonstration of a regional approach in West Africa for the ESM of PCBs'. Full size phase of a GEF co-funded project, duration 4 years, total estimated budget US\$ 15,971,257 (including USD 5,928,714 from GEF)	Economically viable, environmentally sound and socially acceptable demonstration activities for the collection, transport, decontamination of PCB containing equipment are carried out.	Internal/external. Co-executed by BCRC-Senegal and other UN agencies, with technical and institutional support from SBC	Securing co-funding was more difficult than expected and lead to delay in project submission. Project submitted and approved by GEF in October 2010 (total budget: US\$ 15,9 Million, GEF co funding: US\$ 5,39 Million)	0	5'000	0	0		0
27	Collaborate with UNEP and BCRC-Argentina as executing agency for the implementation of the Project entitled 'Regional approaches towards best practices on PCB management in South America'. Medium size phase of a GEF co-funded project, duration 2 years, total estimated budget USD 1,600,000 (including 685,000 from GEF).	National approaches coordinated at the sub-regional level regarding storage and transport of PCB waste. Identification of best practices on ESM in the sense of the BC and the SC and diffusion in the region and other regions.	Internal/external. Executed by BCRC-Argentina with technical and institutional support from SBC.	Project document was approved by GEF in April 2010 (total budget: US\$ 1,5 Million; GEF co-funding: US\$ 818,300; Finland co-funding: US\$ 131,579). Project initiation workshop was held in October 2010.	0	5'000	0	105'533	Finland	131'579
28	Conduct training programme for the ESM of POPs waste in accordance with the BC TGs on POPs as waste.	Two regional training programmes are implemented and assistance provided for the preparation of ESM plans for POPs waste. Tools and training material developed and disseminated to different sectors and in different regions.	Internal/external (funds for projects); funded by the World Bank	Funding by World Bank did not substantiate. PCB related trainings are coordinated with the Secretariat of the Stockholm Convention. BC represented at regional PCB workshops by the Secretariat or BCRCs.	0	120'000	0			0
Sub-total					0	130'000	0			131'579

B3. Obsolete stocks of pesticides in the Caribbean**Mandate:**

1. Decisions VI/1, VIII/1, VII/3 and VIII/33

Objectives:

To facilitate the implementation of the regional strategy for the final disposal of obsolete pesticides in the Caribbean and the prevention of future accumulation.

Indicators of achievement:

1. A stakeholders approach is developed and the programme is funded.
2. All obsolete pesticides are removed and disposed of.
3. A prevention programme is put in place.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
29	Assist Parties in implementing the Regional strategy for the ESM of obsolete pesticides and the prevention of future accumulation in Caribbean Island States.	Training programme by BCRC-Trinidad and Tobago for the final disposal of obsolete pesticides and the prevention of future accumulation.	Internal/external (funds for staff travel, consultancy and projects)	No funds received for this activity yet. The Secretariat is cooperating with UNEP and FAO on the development of a GEF funded project for ESM of obsolete pesticides and chemicals in the Caribbean region.	0	217'000	0	0		0
Sub-total					0	217'000	0	0		0

B4. Biomedical and healthcare waste in Africa, Asia and Pacific, Central and Eastern Europe and Latin America and the Caribbean

Mandate:

1. Decisions VI/20, VII/16 and VIII/33.

Objectives:

To promote the environmentally sound management of biomedical and health care wastes to protect human health, in particular vulnerable groups (women and children).

Indicators of achievement:

1. Active dissemination of the Technical Guidelines on the ESM of Biomedical and Healthcare Wastes, the Guidance Manual and relevant publications of the World Health Organization.
2. Increased capacity of Parties on the practical aspects of waste management pertaining to the ESM of biomedical and health-care wastes.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
30	Carry out practical training workshops focusing in the segregation and treatment of hazardous biomedical and health-care wastes using the Technical Guidelines on the ESM of Biomedical and Healthcare Wastes and other relevant publications.	Five regional workshops (one in each UN region) are carried out in cooperation with the BCRCs and the World Health Organization; results of the pilot projects carried out in Africa are disseminated.	Internal/external (funds for staff travel and workshops for 80 and 120 participants in 2009 and 2010 respectively)	No funding for this activity received. UNDP/WHO/GEF global project on health care waste is initiated. SBC disseminated ESM of biomedical and healthcare waste by participating and presenting a topic on "Preventing Disease Through Safe Waste Management, A Contribution to Public Health" at the MENA Healthcare Infrastructure Investment & Finance Summit 2010 Cairo, October 2010.	0	410'000	0	0		0
Sub-total					0	410'000	0	0		0

B5. Used lead-acid batteries (ULAB) in Asia, Latin America and the Caribbean, and the Mediterranean region.**Mandate:**

1. Decisions VI/1, VIII/1, VII/3 and VIII/33.

Objectives:

To promote the ESM of ULAB with particular emphasis on the protection of health of vulnerable groups through the development of regional approaches in several parts of the world.

Indicators of achievement:

1. Regional strategy for the ESM of ULAB in Central America, Colombia, Venezuela and Caribbean Island States is funded and implemented through a stakeholders approach, including industry.
2. Development and endorsement of a regional strategy for the ESM of ULAB in the Mediterranean or Asia.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
31	Assist BCRCs in implementing the regional strategy for the ESM of ULAB in Central America, Colombia, Venezuela and Caribbean Island States.	The national plans for the ESM of ULAB in participating countries are implemented in the context of the regional strategy. A regional certification scheme for ESM of ULAB is developed and put in place.	Internal/external (funds for staff travel, consultancy and projects).	Activity is lead by the BCRC EI Salvador. The regional strategy was finalized and national plans for ESM of ULAB are established. Regional certification scheme is under development. Secretariat consulted with main stakeholders and keeps monitoring the progress, made attempts to facilitate additional partnerships on ULAB between industry and BCRCs in Cairo, Tehran and Jakarta.	0	417'000	0	0		0

Sub-total

0 417'000 0 0 0 0

B7. Dismantling of ships

Mandate:

Decisions V/28, VI/24, VII/26, VIII/3, VIII/11 of the Conference of the Parties, and related decisions of the Open-ended Working Group

Objectives:

1. To minimize the harmful consequences on human health and the environment of ship dismantling by promotion of safe and environmentally sound ship dismantling practices, including the environmentally sound disposal of hazardous and other wastes generated by ship dismantling.
2. To develop clarity as to the control mechanisms applicable to ships destined for dismantling under Basel Convention.

Indicators of achievement:

1. Effective and collaborative working relationships are established with the International Maritime Organization and the International Labor Organization are established and outputs from cooperative activities promoting environmentally sound ship dismantling provide added value.
2. The scope of operation of the Basel Convention in relation to ship dismantling, following the anticipated adoption of the IMO International Convention on the Safe and Environmentally Sound Recycling of Ships in 2009 is clarified by COP10.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
35	Assist Parties and other stakeholders in conducting training and other activities that will promote environmentally sound ship dismantling; and facilitate exchanges of expertise and experience between relevant stakeholders to promote safe and environmentally sound ship dismantling.	Technical workshops and other activities are carried out and guidance materials developed, including training materials linkages established between relevant stakeholders.	Internal /external(funds for consultancy, staff travel and projects)	In collaboration with the Government of Turkey and industry, hosted a Ship Recycling Technology & Knowledge Transfer Workshop in Turkey in July 2010 for Pakistani government representatives and industry; granted funding for two proposals: (i) a case study to develop models for compliant ship recycling facilities and (ii) a feasibility study to identify cost effective alternative models to the beaching method, for completion in 2011 and 2012 respectively; participated in IMO Regional Workshop in Thailand to promote the adoption of the Hong Kong Convention and a consultative meeting with IMO and NORAD for capacity building in Bangladesh.	25'000	163'000	10'127	93'664	Norway, UK, Accumulated reserve and fund balance of the BD Trust Fund	140'750
Sub-total					25'000	163'000	10'127	93'664		140'750

B8. Cooperation with municipalities and development of a programme for the co-processing of hazardous waste and other waste.**Mandate:**

1. Decisions VI/1, VIII/1, VII/3, VIII/33 and UNEP GC 24/5 on waste management

Objectives:

1. To promote the ESM of hazardous waste and other waste and the efficient use of energy in existing facilities in developing countries.
2. To promote the integrated management of hazardous waste and other wastes in urban areas.

Indicators of achievement:

1. Criteria are developed for the environmentally sound co-processing of hazardous waste and other waste in the context of the Basel Convention.
2. A program is developed and funded by a large array of stakeholders, including governments, industry, NGOs and technical institutions.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
36	Develop and coordinate Basel Convention program on co processing by following a stakeholders approach.	Demonstration activities are undertaken and can be referred to for replication. Guidance material on ESM is developed and shared with parties to the Basel Convention.	Internal/external (funds for staff travel, consultancy and project); funding expected from industries	Demonstration project carried out in Ecuador with local partners and Holcim company. Final project outcome to be available for dissemination in 2011. Project on ODS and POPs destruction in Central American countries initiated through BCRC-CAM. Initial discussions for regional projects have been made with BCRCs in Tehran and Jakarta. A concept note is under development.	0	115'000	0	144'000	Norway, UK, Holcim Group	179'516
37	Develop a programme on ESM of hazardous waste and other wastes in urban areas	Two regional training workshops are organized by UNITAR and BCRCs	Internal/external (funds for staff travel, consultancy and project)	No funding received for training workshops.	0	0	0	0	0	0
Sub-total					0	115'000	0	144'000	0	179'516

B9. Asbestos wastes and mercury wastes

Mandate:

Decision VIII/33 on Proposed programme and budget for the biennium 2007–2008 as it relates to mercury and asbestos wastes as new Strategic Plan focus areas.

Objectives:

1. To promote environmentally sound management of asbestos wastes and mercury wastes taking into account vulnerable populations, local needs and conditions.

Indicators of achievement:

1. Improved public awareness regarding the adverse effects of asbestos and mercury.
2. Increased national capacity to manage mercury and asbestos wastes in ELM.
3. International or regional cooperation developed.
4. Field experience/lessons learned are considered in preparation of technical guidelines on mercury wastes.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
39	Facilitate the implementation by BCRCs of pilot projects on ESM of mercury wastes in the health sector in several countries in Latin America and the Caribbean (3-4) and in the Asia and Pacific (3).	Country assessments are undertaken and management plans are developed. Methodology on ESM is developed with relevant stakeholders, including WHO, for possible use in other countries/regions.	Internal/external; pilot projects in Asia Pacific funded by UNEP-Chemicals	Regional project on mercury on-going in Latin America through BCRC Uruguay. Discussion on programme in Asia and Pacific with a potential donor on-going.	0	120'000	0	61'739	USEPA, Norway, Spain	244'497
Sub-total					0	120'000	0	61'739		244'497

B10. Enforcement activities
Mandate:

1. Article 16 of the Convention
2. Decisions VIII/24 and VIII/26

Objectives:

1. To enhance the capacity of the parties to enforce the provisions of the Basel Convention on the monitoring and control of transboundary movements of hazardous waste, including through the coordinated implementation of MEAs on hazardous wastes and chemicals

Indicators of achievement:

1. Adequate tools and methodologies tailored to the needs of developing countries are developed and tested.
2. Reduction in cases of illegal traffic, increase in the sharing of information between customs authorities between parties to the convention.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
40	Implement the project aimed at strengthening the legislative, regulatory and enforcement capacity of LDCs, LLDCs and SIDS.	Implementation of the Project is initiated in specific countries/regions which may include: gaps and needs analysis, strengthening of regulatory framework and legislative measures, and enhancing implementation and enforcement capacity.	Internal/external (funds for consultancy and a training activity for a sub-region)	Legislative, regulatory and institutional gaps and needs analysis undertaken for Trinidad & Tobago in context of Basel, Rotterdam and Stockholm conventions; recommendations to strengthen regulatory and institutional capacity developed and consulted upon; regional training workshops to be held mid-2011.	0	70'000	0	0	0	0
41	Assist in the implementation of the UNEP/SBC Master Plan on the follow up to the Probo Koala incident in Côte d'Ivoire and the sub-region. Project to be implemented in 2008-2010. Total estimated costs USD 2,520,000 (UNEP Trust Fund for Cote d'Ivoire).	Preparation and implementation of a technical plan for the ESM of ship generated waste in the port of Abidjan. Development of an inventory of h.w in Côte d'Ivoire. Implementation by BCRCs of a sub-regional training programme on the monitoring and control of transboundary movements of hazardous wastes.	Internal/external (funds for staff travel) Executed by UNEP-DMB, BCRC-Senegal and BCRC-Nigeria, funded through UNEP with technical and institutional support from SBC.	SBC continues to cooperate with UNEP Post Conflict and Disaster Management Branch to facilitate the implementation of the UNEP/SBC master plan. SBC is facilitating a project funded by the SAICM Quick Start Programme (QSP) on the coordinated implementation of the Basel, Rotterdam and Stockholm Conventions and their enforcement. The BCRC-Senegal is implementing the QSP-funded project in Côte d'Ivoire. The project is to be replicated in 2011 in three French-speaking countries in Africa (Madagascar, Morocco and Gabon) with funding provided by the UNEP Trust Fund for Côte d'Ivoire.	0	5'000	1'423	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
42	Assist 4 BCRCs in developing and implementing training & enforcement activities on the control of transboundary movements of h.w	in collaboration with other MEAs and organizations, tools and training activities are developed (e.g. with Stockholm and Rotterdam Conventions; the WCO, Interpol, UNEP DELC and other UNEP divisions; and through Green Customs); Two training workshops organized by DCRCs with expertise provided by SBC.	Internal/external BCRCs	The SBC is facilitating the implementation of the "Probo Koala" programme in Africa. The pilot project on enforcement and the coordinated implementation of the Basel, Rotterdam, and Stockholm Conventions, being tested in Côte d'Ivoire (See Act. 41), is being replicated in African countries with port facilities. To date, the SBC facilitated the replication of this project in Djibouti (executed by BCRC Senegal), Tanzania and Kenya (executed by the DCRC South Africa). Conditional approval has been granted by the SAICM QSP for similar projects in Ethiopia and Mozambique (also to be executed by the BCRC South Africa).	0	230'000	0	94'072	Norway	274'913
Sub-total					0	305'000	1'423	94'072		274'913

Knowledge management and outreach**a. Management and circulation of information submitted by Parties in accordance with their obligation under the Convention****(i) National reporting****Mandate:**

1. National reporting: Articles 13 (3) and 16 (1) (b)
2. Decision VIII/14 and IX/13 of the Conference of the Parties

Objective:

To further develop and maintain the comprehensive information source on the control of transboundary movements of hazardous wastes and other wastes and their disposal.

Indicators of achievement:

1. Enhanced quality of data and information on the control of transboundary movements of hazardous wastes and other wastes and their disposal.
2. Increase in the number of Parties complying with reporting requirements.
3. Improved accessibility to data and information through the on-line Reporting database of the Convention.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
43	Collection, quality control, organization, follow-up and preparation of compilations of the annual data and information transmitted by Parties.	Forum for exchange of information among Parties and others; dissemination of current information in user-friendly manner and; reports to COP.	Internal	National reporting activities implemented as planned.	0	0	0	0		0
44	Validation and entry of data and information in to the Reporting database; translation of the content of national reports into English, if transmitted in any official language of the United Nations other than English, and entering these translated texts into the Reporting database.	Up-to-date and user friendly on-line Reporting database on the Convention website.	Internal (funds required for translation and information systems)	National reporting activities implemented as planned.	20'000	45'000	10'105	0		0

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
45	Enhance Parties' ability to comply with the national reporting obligations.	Guidance provided to Parties on reporting issues; annual regional workshops organized through BCRS or by other appropriate means, to provide training to the Parties that are in need of assistance to meet their reporting obligations.	Internal (funds for organization of workshops)	Continuing guidance are provided as requested. No formal training conducted due to lack of resources.	0	60'000	0	0		0
Sub-total					20'000	105'000	10'105	0		0

(ii) National definitions of hazardous wastes: (II) import prohibitions on hazardous wastes or other wastes and (iii) bilateral, multilateral and regional agreements or arrangements regarding transboundary movement**Mandate:**

- (i) National definitions of hazardous wastes: (ii) import prohibitions on hazardous wastes or other wastes and (iii) bilateral, multilateral and regional agreements or arrangements regarding transboundary movement of hazardous wastes or other wastes: Articles 3, 4(1), 11 and 16 (1)(b) of the Convention.
- Decisions VIII/27 and VIII/28 of the Conference of the Parties.

Objective:

To further develop and maintain the comprehensive information source on (i) national definitions of hazardous wastes (ii) Import prohibition on hazardous wastes or other wastes and (iii) Bilateral, multilateral and regional agreements or arrangements regarding transboundary movements hazardous wastes or other wastes.

Indicators of achievement:

- Informed decisions made by Parties concerning their transboundary shipment of hazardous waste and other wastes.
- Increased number of notifications are transmitted by Parties complying with the requirement as set out under articles 3, 4(1) and 11.
- Parties and other are provided with tools to reduce and/or prevent of illegal traffic of hazardous wastes and other wastes.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
46	Collection, quality control, organization and follow-up of the information transmitted by Parties pursuant to Articles 3, 4(1) and 11; prepare and transmit communications to Parties advising of notifications of (i) national definitions of hazardous wastes (ii) import prohibition of hazardous wastes or other wastes and (iii) Bilateral, multilateral and regional agreements or arrangements regarding transboundary movements of hazardous wastes or other wastes received.	Dissemination of current information in user-friendly manner; forum for exchange of information; notifications available on the Basel Convention website in six official languages of the United Nations, depending on the availability of resources and; reports to COP.	Internal (funds for translation)	Communications circulated to Parties/Signatories and posted on Basel Convention website; individual communications ongoing with several Parties to answer queries and to clarify whether information provided through various channels (in particular through annual national reports) was intended as notifications; additional notifications posted on the Basel Convention website; Secretariat followed up with Parties providing updated national legislation as to whether notifications required, bilateral follow up with Parties on the basis of information received through workshops and activities.	0	70'000	0	0		0
Sub-total					0	70'000	0	0	0	0

b. Publications, public information and outreach

Mandate:

Article 16 (g)

Objectives:

environmentally sound management of hazardous and other wastes.

Indicators of achievement:

- Continually increasing interest and understanding by Parties, media, NGOs and the public of the aims and programmes of the Basel Convention.
- Quantified and qualified overall client satisfaction through feedback to the Secretariat about SBC information products.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
47	As part of communication strategy, produce and disseminate Basel Convention outreach tools (audio-visual and printed) to promote awareness and necessary information about the Basel Convention, the Basel Convention Regional Centres, its activities and meetings.	Integration of the communication strategy into work done by SBC and Parties at the regional and national level, greater awareness of the Basel Convention among all target audience achieved through production and dissemination of videos, posters, exhibition structures, leaflets, brochures, photo archive, SBC presentation and the Basel Convention Bulletin and joint tool kit with other MEAs.	Internal (funds required for designing translating, producing and distributing products each year), cooperation with other MEAs and organizations	A Basel Convention Bulletin was published and distributed in September 2010. 6 leaflets a brochure, a poster and a booklet were updated and/or printed. Common Public awareness and outreach (PAO) approach, under the branding of the Safe Planet Campaign, under development. BC PAO events took place in ExCOP, Prague, Geneva, Houston Texas USA, Pune, India, Cape Town, South Africa. Basel PSA disseminated on Safe Planet social network on Facebook. Two short videos were produced for the Safe Planet Campaign and disseminated online.	19'864	10'000	16'564	12'757	Accumulated reserve and fund balance of the BD Trust Fund	14'415

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
48	Produce legal and technical publications and maintain publications' stock.	Availability of electronic and printed versions of SBC legal and technical publications (text of the Convention, technical guidelines) for end users.	Internal funds required for reproduction of publications)	BC Rules of procedure in all 6 UN languages (as amended by COP7 by decision VII/37) and 11 technical guidelines were reprinted to replenish the stock.	20'000	0	18'376	0		
49	Operate a media query desk, produce press releases, manage press relations for major SBC meetings, monitor media coverage for increased visibility of Basel Convention among media community.	Responses provided to media queries; press briefings, press releases.	Internal (funds for basic design and printing)	Several press releases and events were concluded. Media coverage was monitored on a weekly basis and press enquiries were fielded by staff. Basel information was included in other UNEP press releases (e.g. UN Climate Change Conference)	0	0	0	0		
50	Maintenance and continuous development of SBC website with increased use of database platform, including SBC archives, official reference documents and lists, comprehensive information on all SBC programmes and activities, all legal and technical information and updates, media section and virtual library with access to publications.	A constantly improving SBC website which meets the needs of the Parties and provides useful information to another target audiences such as the media, students, industry or the general public.	Internal(funds required for basic design)	Comprehensive information on all SBC programmes and activities, all legal and technical information and updates, media materials and publications were regularly uploaded to the Basel Convention website. Work is in progress on standardization and harmonization of the design and organization of the 3 conventions websites and the migration of all text and html pages from the current www.basel.int website to the common website design. Public awareness and outreach content expanded.	20'000	0	17'258	0		

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
51	Production of special publications to promote the issues covered by the Basel Convention Information material for the 2009 commemorative events	Comprehensive and user friendly information produced electronically and in printed form to illustrate Basel Convention facts and statistics for all targeted audiences. Joint project with UNEP/GRID-Arendal; Vital Waste Graphics III and regular information papers on Basel Convention issues of special interest. Special film and exhibition structure for 2009 commemorative events	Internal (funds required for external designers, printers and staff travel))	MOU was signed in Dec 2010 concerning the production of the publication Vital Waste Graphics III thanks to the funding from United States of America. Table of contents, initial set of graphics and accompanying text is being drafted.	0	0		44'240	US	50'000
52	Organization of NGO workshop at SBC COP of 2011	Increase attendance of COP and increased awareness of Basel Convention by NGO community	Internal (funds required for travel of 15 participants and production of information material)	SBC will start fundraising for this workshop.	0	0			0	0
Sub-total					59'864	10'000	52'198	56'997		64'415

Executive direction, management and strategic planning**Mandate:**

The Secretariat's functions as mandated by Article 16 of the Basel Convention.
Relevant decisions of the Conference of the Parties and its subsidiary bodies

Objectives:

1. To ensure an efficient, effective and timely delivery of the programme of work of the Secretariat for 2009-2010
2. Increased amount of positive feedback from Parties, signatories and others on the substance and organizational support provided BY SBC

Indicators of achievement:

1. Procedures are in place to ensure the implementation of the Secretariat's programme of work in a cost efficient and timely manner.

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
53	Provide overall management of the Secretariat ensuring coherence in its work and responsiveness to the needs of the Parties and to the instructions of the Parties. Coordinate the implementation of the agreed work programmes at the Unit level, plan with staff of the Unit distribution and coordination of tasks assigned. Contribute to the development of the new strategic framework.	Effective and efficient delivery by the Secretariat of the outputs envisaged under its programme of work for 2009-2011	Internal	Work on the review of the Strategic Plan was implemented as per the Programme of Work. Work on the New Strategic Framework started as decided by COP9. A pre-session meeting was conducted in May 2010 back-to-back to and including at the OEWG7. Further drafts, including draft means of implementation and indicators, were developed in 2010. A further consultative meeting was organised for February 2011. Provided advice to the Executive Secretary, Parties and other UN/inter-governmental bodies on policy, technical and legal matters related to the Convention as requested. Senior Advisory Group of Expert to the Executive Secretary was established to identify possible ways in which the Convention could support the realization of the economic potential of certain waste stream. The meeting of the Senior Advisory Group of Experts was held in Geneva from 18-19 January 2011.	0	0	25'254	11'430	USA	25'000
54	Enhance cooperation and coordination with other MEAs and intergovernmental organizations on issues of common concern.	Effective and collaborative relationships are established with other institutions, and outputs from cooperative activities provide added value. Delivery of technical assistance and capacity-building is coordinated and complementary to those of other organizations.	Internal (funds for staff travel)	The Secretariat participated in meetings of UNEP, ICCM2/SAICM, WCO, OECD, SSC, SRC, ITU, WTO, etc. and other related processes and initiatives. SBC has been cooperating with the SRC, together with other partners under the Inter-Agency Working Group on Industrial Chemicals, in a programme and pilot projects in the Asia and Pacific region on sound management of industrial chemicals focusing on asbestos in which SBC takes a distinct part in the waste regime.	40'000	0	94'875			

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2009 budget (non-staff costs)		2009 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Amount Received
55	Implement COP decisions based upon the recommendations of the Ad Hoc Joint Working Group on enhance cooperation and coordination among the Basel, Rotterdam and Stockholm Conventions.	Decisions of the COP on cooperation and coordination among the Basel, Rotterdam and Stockholm Conventions requiring actions from the Secretariat are implemented	Internal	A Synergies Oversight Team (SOT) was established to support the implementation of the synergies decisions and in preparing for the ExCOPs. The SOT is composed of the Executive Secretaries of the Conventions and a representative of the UNEP ED and of the FAO DG. A coordinators group composed of coordinators from the three secretariats and a UNEP representative was set up to support the day-to-day preparations for the ExCOPs which was held in Bali from 22 to 24 February 2010.	0	0	12'973	34'037	Denmark	33'462
56	Contribute to on-going discussions in UNHQ and UNEP on UN system wide coherence , participate at meetings of relevant bodies such as the conference of Parties to related multilateral agreements, the Governing Council of UNEP	Decisions of the Basel Convention are taken into account by the Governing Council of UNEP	Internal (funds for staff travel)	SBC contributed to the preparation of the 3rd meeting of the Consultative Process on Financing Chemicals and Waste Issues to be held in Pretoria in January 2011. BC Executive Secretary attended the GC/GMEF in February 2010.	20'000	0	8'932			0
Sub-total					60'000	0	142'034	45'467		63'462

Office equipment and services

Activity number	Activities	Expected outputs	Method of implementation internal/external	Status of activities/outputs	2010 budget (non-staff costs)		2010 expenditures		BD Trust Fund	
					BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Funds available
58	Procurement of office equipment including computer software and hardware, required licenses, furniture and supplies; rental and maintenance of equipment including photocopiers and communications equipment; costs of office rental, electricity, cleaning and communication	Effective and efficient delivery by the Secretariat of the outputs envisaged under its programmed of work for 2009-2011	Internal/external	Contracts for and usage of photocopiers and other office equipment, office maintenance including electricity and cleaning, procurement of supplies and equipment.	305'300	0	191'199			0
Sub-total					305'300	0	191'199	0		0

Annex II

Costs of activities, excluding core staff costs, in 2010

Activity number	2010 modified budget approved by OEWG7			Expenditures incurred in 2010			Variances		
	non-staff costs	BC Trust Fund	BD Trust Fund	non-staff costs	BC Trust Fund	BD Trust Fund	non-staff costs	BC Trust Fund	BD Trust Fund
1	175'000	175'000	0	0	0	0	175'000	175'000	0
2	1'046'000	530'000	516'000	657'528	420'168	237'360	388'472	109'832	278'640
3	0	0	0	0	0	0	0	0	0
4	92'000	28'000	64'000	40'734	0	40'734	51'266	28'000	23'266
5	0	0	0	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0	0
7a	0	0	0	38'851	0	38'851	-38'851	0	-38'851
7b	5'000	5'000	0	10'865	10'865	0	-5'865	-5'865	0
8	87'000	0	87'000	0	0	0	87'000	0	87'000
9	134'497	134'497	0	20'376	20'376	0	114'121	114'121	0
10	15'000	15'000	0	0	0	0	15'000	15'000	0
11	40'000	40'000	0	258'432	54'593	203'839	-218'432	-14'593	-203'839
12	0	0	0	0	0	0	0	0	0
15	170'000	30'000	140'000	9'527	9'527	0	160'473	20'473	140'000
18	0	0	0	0	0	0	0	0	0
19	776'000	20'000	756'000	96'829	1'441	95'388	679'171	18'559	660'612
20	10'000	10'000	0	0	0	0	10'000	10'000	0
21	50'000	0	50'000	25'977	4'908	21'069	24'023	-4'908	28'931
22	0	0	0	0	0	0	0	0	0
23	250'000	0	250'000	426'745	0	426'745	-176'745	0	-176'745
24	100'000	0	100'000	0	0	0	100'000	0	100'000
25	505'000	5'000	500'000	714'441	774	713'667	-209'441	4'226	-213'667
26	5'000	0	5'000	0	0	0	5'000	0	5'000
27	5'000	0	5'000	105'533	0	105'533	-100'533	0	-100'533
28	120'000	0	120'000	0	0	0	120'000	0	120'000
29	217'000	0	217'000	0	0	0	217'000	0	217'000
30	410'000	0	410'000	0	0	0	410'000	0	410'000
31	417'000	0	417'000	0	0	0	417'000	0	417'000
35	188'000	25'000	163'000	103'791	10'127	93'664	84'209	14'873	69'336
36	115'000	0	115'000	144'000	0	144'000	-29'000	0	-29'000
37	0	0	0	0	0	0	0	0	0
39	120'000	0	120'000	61'739	0	61'739	58'261	0	58'261
40	70'000	0	70'000	0	0	0	70'000	0	70'000
41	5'000	0	5'000	1'423	1'423	0	3'577	-1'423	5'000
42	230'000	0	230'000	94'072	0	94'072	135'928	0	135'928
43	0	0	0	0	0	0	0	0	0
44	65'000	20'000	45'000	10'105	10'105	0	54'895	9'895	45'000
45	60'000	0	60'000	0	0	0	60'000	0	60'000
46	70'000	0	70'000	0	0	0	70'000	0	70'000
47	29'864	19'864	10'000	29'321	16'564	12'757	543	3'300	-2'757
48	20'000	20'000	0	18'376	18'376	0	1'624	1'624	0
49	0	0	0	0	0	0	0	0	0
50	20'000	20'000	0	17'258	17'258	0	2'742	2'742	0
51	0	0	0	44'240	0	44'240	-44'240	0	-44'240
52	0	0	0	0	0	0	0	0	0
53	0	0	0	36'684	25'254	11'430	-36'684	-25'254	-11'430
54	40'000	40'000	0	94'875	94'875	0	-54'875	-54'875	0
55	0	0	0	47'010	12'973	34'037	-47'010	-12'973	-34'037
56	20'000	20'000	0	8'932	8'932	0	11'068	11'068	0
58	305'300	305'300	0	191'199	191'199	0	114'101	114'101	0
Prior years' adjustments	0	0	0	16'251	-21'182	37'433	-16'251	21'182	-37'433
Total Operational Costs	5'987'661	1'462'661	4'525'000	3'324'914	908'556	2'416'358	2'662'747	554'105	2'108'642
Programme Support Costs	778'396	190'146	588'250	401'966	118'112	283'854	376'430	72'034	304'396
Total Costs	6'766'057	1'652'807	5'113'250	3'726'880	1'026'668	2'700'212	3'039'177	626'139	2'413'038